-	TOTAL OZONE COLUMN DERIVED FROM GOME AND SCIAMACHY USING KNMI								
2	RETRIEVAL ALGORITHMS: VALIDATION AGAINST BREWER MEASUREMENTS								
	AT THE IBERIAN PENINSULA								
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#### 27 ABSTRACT

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29 This article focuses on the validation of the total ozone column (TOC) data set acquired by the 30 Global Ozone Monitoring Experiment (GOME) and the SCanning Imaging Absorption 31 spectrometer for Atmospheric CHartographY (SCIAMACHY) satellite remote sensing instruments 32 using the TOGOMI and TOSOMI retrieval algorithms developed by the Royal Netherlands 33 Meteorological Institute (KNMI). In this analysis, spatially co-located, daily averaged ground-34 based observations performed by five well-calibrated Brewer spectrophotometers at the Iberian Peninsula are used. The period of study runs from January 2004 to December 2009. The 35 agreement between satellite and ground-based TOC data is excellent ( $R^2$  higher than 0.94). 36 37 Nevertheless, the TOC data derived from both satellite instruments underestimate the ground-based 38 data. On average, this underestimation is 1.1% for GOME and 1.3% for SCIAMACHY. The 39 SCIAMACHY-Brewer TOC differences show a significant solar zenith angle (SZA) dependence 40 which causes a systematic seasonal dependence. By contrast, GOME-Brewer TOC differences 41 show no significant SZA dependence and hence no seasonality although processed with exactly the 42 same algorithm. The Satellite-Brewer TOC differences for the two satellite instruments show a 43 clear and similar dependence on the viewing zenith angle (VZA) under cloudy conditions. In 44 addition, both the GOME-Brewer and SCIAMACHY-Brewer TOC differences reveal a very similar 45 behavior with respect to the satellite cloud properties, being cloud fraction and cloud top pressure, 46 which originate from the same cloud algorithm (FRESCO+) in both the TOSOMI and TOGOMI retrieval algorithms. 47

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# 50 1 INTRODUCTION

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52 Upper tropospheric ozone plays a vital role in weather and climate on regional to global spatial 53 scales when acting as a major greenhouse gas (Kiehl et al., 1999; Rex et al., 2004). In addition, the 54 stratospheric ozone performs another vital function: That is to protect the biosphere from the most 55 energetic part of the ultraviolet (UV) solar radiation spectrum. Therefore, close monitoring of the 56 total ozone column has become a subject of major concern both by the scientific community and the 57 general public.

58 Several studies have shown that there have been significant negative trends in stratospheric 59 ozone abundances in the middle and high latitude regions of the two hemispheres since the end of the 1970s until the beginning of the 1990s [e.g., Stolarski et al., 1992; Callis et al., 1997; Solomon, 60 61 1999; Staehelin et al., 2001]. These negative trends have been associated with dynamical factors 62 [Hood et al., 1997; Steinbrecht et al., 1998; Fusco and Salby, 1999; Appenzeller et al., 2000; 63 Hadjinicolaou et al., 2002] and photochemical losses related to anthropogenic causes [Molina and 64 Rowland, 1974; Farman et al., 1985; Stolarski et al., 1986, 1992; Bojkov et al., 1990; Harris et al., 65 1997]. The successful implementation of the Montreal Protocol and its Amendments has halted the increase of substances that deplete the stratospheric ozone layer. Scientists now see the first signs 66 67 of a reduction of ozone-depleting substances which has created high expectations about the 68 recovery of the global ozone layer towards pre-1980s amounts [World Meteorological Organization (WMO), 2010] in the second half of the  $21^{st}$  century. 69

Remote sensing instruments operating on satellite platforms offer the most effective vantage point to monitor the global ozone layer by accurately deriving the geographical and temporal distribution and variability of the total ozone column (TOC) from measurements of backscattered solar UV radiation [McPeters et al., 1998; Bovensmann et al., 1999; Burrows et al., 1999; Levelt et al., 2006; Munro et al., 2006]. These satellite observations have proven to be crucial for accurately assessing the current state of the global ozone layer and to foster trustworthy predictions of its 76 future changes. Satellite TOC data complement ground-based observations, providing daily images 77 of the global ozone distribution with good spatial resolution. Within this framework, the two 78 European satellite-borne atmospheric sensors named GOME (Global Ozone Monitoring 79 Experiment) [Burrows et al., 1999] and SCIAMACHY (SCanning Imaging Absorption 80 spectrometer for Atmospheric CHartographY) [Bovensmann et al., 1999] provide an outstanding 81 global ozone data record. While SCIAMACHY is currently operational and in good health, GOME 82 has unfortunately been switched off in July 2011, offering the potential for an assessment of the 83 global TOC distribution covering a time span of over 16 years

84 The accuracy of the TOC data currently retrieved from the observations by satellite 85 instruments covering the ultraviolet (UV) spectral range is, in general, very high as they compare to 86 well-established ground-truth reference data within a few percent [Fioletov et al., 2002; Bramstedt 87 et al., 2003; Balis et al., 2007a; 2007b; Lerot et al., 2009; Antón et al., 2010a; Lovola et al, 2011]. 88 To assure these high-quality observations and to clarify local to regional specific sources of 89 uncertainties, validation exercises on a regular basis against accurate and independent 90 measurements inferred from reference ground-based instruments are required. For instance, the 91 Spanish Network of Brewer spectrophotometers consists of five well-calibrated and well-92 maintained instruments located on the Iberian Peninsula. These instruments follow exactly the 93 same protocol of calibration and in this way the ozone calibration of all Spanish Brewer 94 spectrophotometers is traceable to the triad of international reference Brewers maintained by 95 Environment Canada (EC) at Toronto [Fioletov et al., 2005]. The main advantage of using a dense 96 local ground-based network for validation purposes is that all instruments involved measure the 97 same atmospheric quantity at the same time and at nearly the same location which further improves 98 their correspondence. This regional network has been successfully used to perform exhaustive 99 validation exercises on satellite TOC data derived from instruments onboard several satellite 100 platforms [Antón et al., 2008; 2009a; 2009b; 2010a; 2010b; 2011]. The TOC data recorded by the 101 Spanish Brewer Network have also been successfully used to analyze the influence of clouds on the

102 TOC observations provided by several UV-type satellite instruments [Antón and Loyola, 2011].

103 The main objective of this work is to validate the TOC data derived from the observations 104 by the GOME and SCIAMACHY instruments using as a reference the spatially and temporally 105 collocated ground-based observations from five Brewer spectrophotometers in the Iberian 106 Peninsula. TOC data recorded between January 2004 and December 2009 are used for this satellite-107 ground-based inter-comparison. In this work, the satellite TOC data inferred from the retrieval 108 algorithms developed by the Royal Netherlands Meteorological Institute (KNMI) which employ the 109 by now standard Differential Optical Absorption Spectroscopy (DOAS) technique [e.g., Solomon et 110 al., 1987; Platt, 1994, 1999] are used. These two retrieval algorithms are the Total Ozone retrieval 111 scheme for the GOME instrument based on the Ozone Monitoring Instrument DOAS algorithm 112 (TOGOMI) [Valks and van Oss, 2003], and the corresponding application to the SCIAMACHY 113 instrument (TOSOMI) [Eskes et al., 2006]. Although global-scale validation exercises of GOME 114 and SCIAMACHY TOC data derived from the KNMI algorithms have been independently 115 performed before [e.g., Balis et al., 2003; van Oss et al., 2004; Eskes et al., 2005; 2006], the present 116 work should be considered to be complementary since a simultaneous validation of the two KNMI 117 algorithms using the same reference ground-based instruments and with a focus on the influence of 118 cloud properties has not yet been performed in detail. Furthermore, in this paper the latest version 119 of both algorithms is used which has not been analyzed before. It is therefore expected that this 120 paper will contribute to improving the understanding of the quality of the GOME and 121 SCIAMACHY TOC observations retrieved by the KNMI algorithms.

122 The ground-based instrumentation and the satellite data used in this paper are described in 123 section 2. Section 3 describes the methodology of the analysis. Section 4 presents and discusses 124 the results obtained and, finally, section 5 summarizes the main conclusions drawn from this work.

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## 2 TOTAL OZONE COLUMN DATA

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# 129 **2.1 Satellite observations**

130 The ESA GOME instrument is an across-track scanning nadir-viewing UV-VIS spectrometer on 131 board the Second European Remote Sensing Satellite (ERS-2) [Burrows et al., 1999]. GOME has 132 been recording global TOC observations from July 1995 until June 2003, when due to the failure of 133 the tape recorder of ERS-2 the data coverage of GOME became limited to the north hemispheric 134 receiving stations of ESA. Nevertheless, the Iberian Peninsula has been continuously covered by 135 GOME from July 1995 until the instrument was switched off in July 2011. Nominally, global 136 coverage at the Equator is achieved by GOME within three days. The ground swath (960 km) is 137 divided into three ground pixels of 320 km (across orbit)  $\times$  40 km (along orbit). The SCIAMACHY 138 instrument is a joint German–Dutch–Belgian contribution to the ESA ENVIronmental SATellite 139 (ENVISAT) platform which was launched in March 2002 [Bovensmann et al., 1999]. This satellite 140 instrument records atmospheric spectra from alternating nadir and limb viewing geometries, and in 141 addition, provides measurements from solar and lunar occultation modes. In this work, only data 142 derived from nadir mode have been used. SCIAMACHY has a total swath width of 960 km with a 143 typical spatial resolution in nadir of 60 km across track by 30 km along track and it achieves global 144 coverage in approximately six days at the equator because of the additional limb observations.

145 The retrieval of TOC data from these two European satellite instruments is performed by 146 three different DOAS-type retrieval algorithms: GDOAS/SDOAS developed from BIRA-IASB and 147 DLR [van Roozendael et al., 2006; Lerot et al., 2009], GOME-WFDOAS/SCIA-WFDOAS from 148 University of Bremen [Coldewey-Egbers et al., 2004; Bracher et al., 2005] and TOGOMI/TOSOMI 149 from KNMI [Valks and van Oss, 2003; Eskes et al., 2006]. TOGOMI (version 2.0) and TOSOMI 150 (version 2.0) are the retrieval algorithms used in this work for deriving TOC data from the 151 observations by GOME and SCIAMACHY, respectively. These two algorithms are based on the 152 DOAS method developed by KNMI for the Ozone Monitoring Instrument (hereafter denoted as OMI-DOAS) [Veefkind et al., 2006]. The differences between the TOGOMI and TOSOMI
retrieval algorithms are only on the programming level (e.g., different level-1B reading routines).

155 Thus, the main characteristics of TOGOMI/TOSOMI algorithms (version 2.0) are:

156 1. The use of the BDM (Brion, Daumont, Malicet) ozone absorption cross-section.

157 2. The use of a semi-spherical polarization-dependent radiative transfer model for the simulations

158 of spectra and, consequently, for the calculation of the air mass factor (AMF) [De Haan, 1987].

3. AMF computation as a function of Sun-satellite geometry, surface reflectivity, surface pressuresand ozone profile using an empirical approach [Marquard et al., 2000].

4. The ozone profiles are taken from TOMS version 8 ozone profile climatology [Bhartia andWellemeyer, 2002].

163 5. Treatment of the atmospheric temperature sensitivity by using effective ozone cross-sections164 calculated from ECMWF temperature profiles.

165 6. The Fast Retrieval Scheme for Clouds from the Oxygen A-band (FRESCO+) algorithm (version 166 6) is used for the treatment of clouds [Wang et al., 2008]. In FRESCO+, the cloud top albedo is 167 assumed to have a fixed value of 0.8, and the so-called "effective" cloud top pressure (CTP) and 168 "effective" cloud fraction (CF) are fitted using reflectances around the Oxygen A-band. The 169 version 6 of FRESCO+ algorithm uses the new MERIS surface albedo climatology in the Oxygen 170 A-band over land [Popp et al., 2011], and over ocean the GOME surface albedo climatology, and 171 the HITRAN 2008 database of molecular spectroscopy, which were not yet incorporated in the 172 previous versions of FRESCO+ algorithm.

173 7. A new treatment of Raman scattering in DOAS which explicitly accounts for the Raman174 smoothing of the solar Fraunhofer lines as well as the ozone absorption structures [De Haan, 2003].

The TOGOMI/TOSOMI version 2.0 algorithms replace the previous versions (1.3 for TOGOMI and 0.43 for TOSOMI) and they are improved with respect to the interpolation of the surface reflectivity and the use of the latest version of the FRESCO+ cloud algorithm (version 6). SCIAMACHY level-1B data is of version 7 and GOME level-1B data is of version 4. TOGOMI and TOSOMI TOC data are distributed via internet through the Tropospheric Emission Monitoring

180 Internet Service (TEMIS) which can be found at http://www.temis.nl .

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# 182 2.2 Ground-based measurements

183 The Spanish Brewer Network consists of five Brewer spectrophotometers at the Iberian Peninsula 184 located from North to South at: A Coruña (43.33°N, 8.42°W), Zaragoza (41.01°N, 1.01°W), Madrid 185 (40.45°N, 3.72°W), Murcia (38.03°N, 1.17°W) and El Arenosillo (37.06°N, 6.44°W). All Brewer 186 instruments are type MK-IV (single monocromator), except the Brewer MK-III (double 187 monocromator) located at El Arenosillo. Figure 1 shows the distribution of these five Brewer 188 locations over the Iberian Peninsula. This dense local network is managed by the Spanish Agency 189 of Meteorology (AEMET) which has accumulated nearly twenty years of experience in measuring 190 TOC data with Brewer spectrophotometers. The Spanish Brewer Network possesses an excellent 191 maintenance record since all spectrophotometers are biannually calibrated by inter-comparison with 192 travelling references Brewer 017 from International Ozone Services (IOS) and Brewer 185 from the 193 Regional Brewer Calibration Centre-Europe (RBCC-E). Comparisons between these two traveling 194 reference instruments confirm the reliability of the Spanish Brewer calibration [Redondas et al., 195 2002; 2008].

196 The Brewer instruments rely on the method of differential absorption in the Huggins band of 197 the ultraviolet spectral region where solar radiation experiences a strong absorption by atmospheric 198 ozone. TOC data are obtained by taking the ratio of sunlight intensities at four wavelengths between 306 and 320 nm with a resolution of 0.6 nm, and by using the Bass and Paur (BP) ozone 199 200 absorption cross-sections at a fixed temperature of -45°C [Kerr, 2002]. Literature shows that 201 Brewer systems yield near similar results when its operational retrieval is being performed with 202 either the BDM or BP ozone absorption cross section data set [Redondas and Cede, 2006]. These 203 authors have also shown that with either cross section data sets there is little to no dependence of 204 the Brewer TOC estimate on the atmospheric temperature at which the ozone resides. When Brewer

- 205 spectrophotometers are properly calibrated and regularly maintained, as is the case for the entire
- 206 Spanish Brewer Network, the TOC records obtained through the direct sunlight (DS) measurements
- 207 have the potential to maintain a precision of 1% over long periods of time [WMO, 1996].

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### 210 **3 METHODOLOGY**

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212 The Brewer TOC data used in this work are obtained from direct sun (DS) measurements only 213 which are exclusively measured under cloud-free conditions during the day. Here cloud-free means 214 those observations that are preceded and followed by truly cloud free observations over a time span 215 of 3 minutes while the Brewer instrument records direct sunlight. In contrast, the satellite takes the 216 corresponding punctual observation under any sky condition. In our analysis we differentiate 217 between three different sky conditions: cloud-free, broken-cloud and fully clouded. The "effective" 218 cloud fraction derived from the FRESCO+ algorithm is used to make this distinction where satellite 219 ground pixels with a cloud fraction (CF) smaller than 5% correspond to cloud-free conditions, those 220 with a CF higher than 50% are related to fully clouded conditions, and the cases with the CF 221 between 5% and 50% are associated with broken-cloud conditions.

For inter-comparison purposes, the several Brewer measurements performed each day are averaged. The use of daily averaged ground-based TOC data instead of, for example, hourly averaged data centered on the satellite overpass provides a significant increase of the number of satellite-Brewer data pairs in the analysis as there is less interference by clouds on the Brewer observations. Over the Iberian Peninsula the ozone layer is largely dominated by the stratospheric contribution which is assumed to be stable during daytime, owing to the well-known long-term chemical stability of stratospheric ozone over middle latitudes.

In this work, the satellite pixel most closely collocated with the ground-based stations is selected as the best match everyday. The SCIAMACHY overpass is selected such that the distance between the center of the satellite pixel and the location of the ground-based stations is always less than 100 km while the GOME overpass is selected for a distance less than 200 km. This large difference in the spatial collocation criteria is related to the different satellite footprint ground pixel size of the two instruments:  $60 \times 30 \text{ km}^2$  (SCIAMACHY) and  $320 \times 40 \text{ km}^2$  (GOME).

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The relative differences (RD) between the daily Brewer (Bre) TOC data and the satellite

236 TOC data (Sat) were calculated for each ground-based station using the following expression:

$$237 RD_i = 100 \times \frac{Sat_i - Bre_i}{Bre_i} (1)$$

From these relative differences, the mean bias (MB) and the mean absolute bias (MAB) parameterswere also calculated as:

$$MB = \frac{1}{N} \sum_{i=1}^{N} RD_i$$
 (2)

241 
$$MAB = \frac{1}{N} \sum_{i=1}^{N} \left| RD_i \right|$$
(3)

where N is the number of data pairs Satellite-Brewer recorded in each ground-based station. While the MB parameter shows the degree of underestimation or overestimation of the TOC data derived from satellite instruments with respect to the reference Brewer measurements, the MAB parameter reports about the absolute value of the relative differences between satellite and ground-based data.

Time series of both satellite and ground-based TOC data extend from January 2004 to December 2009. Table 1 shows the number of pairs of ground-based-satellite data used in this work. In addition, a linear regression analysis is performed between the TOC values recorded by the Brewer spectrophotometers and the two satellite instruments. Regression coefficients, coefficients of correlation ( $\mathbb{R}^2$ ) and the root mean square errors ( $\mathbb{R}MSE$ ) are evaluated in this analysis.

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# 4 RESULTS AND DISCUSSION

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# 256 4.1 Regression analysis

257 Firstly, a linear regression analysis between the ground-based Brewer TOC data and the satellite-258 based TOC data derived from GOME and SCIAMACHY observations is performed in order to 259 analyze their proportionality and similarity. Statistical parameters obtained from the linear fitting 260 between satellite-based and ground-based data are shown in Table 1 for the five ground-based 261 stations and for the "Iberian Peninsula" dataset (all data). The correlation between the satellitebased and ground-based TOC data is significantly high for both satellite instruments with 262 263 correlation coefficients higher than 0.94 for all cases. In addition, the statistical analysis renders slopes very close to unity, indicative of their proportionality. The two scatter plots shown in Figure 264 265 2 between satellite-based and ground-based TOC data reveal this high degree of agreement. The 266 solid line is the unit slope line with zero bias. The minus sign of the MB parameters for the two 267 correlations indicate that both GOME and SCIAMACHY TOC data underestimate the Brewer data. 268 On average, the underestimation is 1.08% with  $\pm 2.29\%$  one standard deviation for GOME and 269  $(1.26 \pm 2.25)\%$  for SCIAMACHY. A value of the standard deviation smaller than 3% suggests that 270 the random and systematic errors of TOC data inferred from both satellite instruments are relatively 271 small. Our results are in accordance with global validation exercises of TOGOMI/TOSOMI 272 retrieval algorithms. For instance, Eskes et al. [2005] reported that TOSOMI TOC data (version 273 0.32) have an offset of about  $(-1.7 \pm 4.4)\%$  with respect to ground-based observations. Balis et al. [2003] indicated that the satellite TOC data from TOGOMI are on the average slightly lower 274 275  $(\sim 0.5\%)$  than the ground-based ones.

Table 1 shows that for the two satellite instruments, the MB and MAB parameters have similar absolute values. This fact reveals the presence of a significant bias in the satellite data with respect to the reference ground-based measurements. Thus, the MAB parameter present a value of  $(1.90 \pm 1.68)\%$  for GOME, and  $(1.99\pm 1.63)\%$  for SCIAMACHY. Additionally, the statistical 280 parameters obtained for each ground-based station are compared with each others since the surface 281 albedo may affect the TOC data derived from satellite instruments. The surface albedo comes into 282 play via the cloud fraction and cloud top height estimates, which are used to correct for the 283 tropospheric ghost column that the clouds are hiding from the satellite instrument to see. These 284 cloud parameters are obtained from the visible spectral range of the satellite instruments where the 285 radiance is sensitive to the surface albedo. Thus, for instance, at coastal stations, the nearby sea 286 (which has a low albedo) could affect the ozone retrieval, since the satellite ground pixel may be 287 filled with part land and part ocean scene. Table 1 shows that the statistical parameters for inland 288 and coastal Brewer stations (see Figure 1) are similar. For example, the difference between the 289 maximum MAB value (2.23% at Coruña) and the minimum (1.49% at El Arenosillo) for the 290 GOME-Brewer analysis is only 0.74% while for the SCIAMACHY-Brewer analysis, the difference 291 between the maximum MAB value (2.52% at Murcia) and the minimum (1.57% at El Arenosillo) is 292 0.95%. These small values for the station-to-station biases indicate that the locations of the five 293 Brewer stations present no significant influence on the satellite - ground-based differences. This 294 result underlines both the consistency and high reliability of the Spanish Brewer Network and the 295 success in the correction of the albedo effects by the satellite retrievals.

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## **4.2** Temporal evolution of the satellite - ground-based differences

298 It is interesting to analyze the temporal evolution of the daily relative differences between ground-299 based and satellite-based TOC data. The daily relative difference for a specific day is obtained as 300 the mean value of all relative differences for each day (a maximum of five values per day from the 301 five ground-based stations). The time series of the ten-day running average of the daily mean 302 relative differences for the period 2004–2009 is shown in the two plots of Figure 3. A slight 303 seasonal dependence can be seen in the relative differences between SCIAMACHY TOC data and 304 the Brewer TOC data for the entire period of comparison with the largest differences occurring in 305 the summer. In contrast, Figure 3 (bottom) does not reveal any seasonality for GOME-Brewer

306 differences, showing a remarkably constant behavior over the period of comparison. This result is 307 in agreement with the global validation results of TOGOMI data given by Balis et al. [2003] that also showed no significant seasonal variability over most of the northern hemisphere. The relative 308 309 differences between SCIAMACHY and Brewer TOC data (equation 1) present values within  $\pm 1\%$ , 310  $\pm 3\%$  and  $\pm 5\%$  for 34%, 82% and 97% of all days, respectively. For GOME–Brewer relative 311 differences, the percentages increase to 38%, 87% and 98%, respectively. These results indicate 312 that the general bias is slightly less for GOME than for SCIAMACHY instrument. Furthermore, 313 there is no evidence for significant change in the GOME and SCIAMACHY TOC data over the 314 period of comparison despite the regular decontaminations of the SCIAMACHY instrument and the 315 unavoidable optical and detector performance degradation over the course of the satellite instrument 316 lifetimes.

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#### **4.3 Dependence of the differences on geometrical parameters**

319 The seasonal dependence presented in Figure 3 (top) for SCIAMACHY suggests that its TOC 320 observations may depend on the ground pixel solar zenith angle (SZA). Using 5° bins of SZA, 321 Figure 4 (top) shows the mean relative differences between ground-based and satellite TOC data as 322 a function of satellite ground pixel SZA for SCIAMACHY. The SZA dependence has been 323 analyzed using four datasets: all data (in black), cloud-free cases (CF<5%, in red), fully clouded 324 condition (CF>50%, in blue), and broken-cloud cases (5%<CF<50%, in pink), with CF being the 325 "effective" cloud fraction derived from FRESCO+ algorithm as explained in section 2.1. The percentage of cases selected is about 33% for cloud-free conditions, 14% for fully clouded 326 327 conditions, and 53% for broken-cloud conditions. Error bars represent the standard errors which are 328 only plotted for cloud-free and fully clouded conditions, in the interest of clarity. The curves 329 corresponding to all sky conditions, fully clouded conditions and broken-cloud cases follow a 330 similar pattern, showing a monotonic decrease in underestimation as a function of satellite SZA. 331 Nevertheless, the SCIAMACHY-Brewer relative differences under fully clouded and broken-cloud 332 conditions reveal a higher amplitude in their SZA dependence (from -2% to +1%, and from -2.5%333 to -0.5%, respectively) than the differences for all sky cases (from -2% to -0.5%). By contrast, the curve associated with cloud-free conditions shows a more stable behaviour for the whole range of 334 335 SZA. It can be seen that for SZA values up to 50°, the underestimation is always smaller for both 336 cloud-free and cloudy cases than for broken-cloud conditions. In addition, for these angles up to 337 50°, the curve associated with fully clouded cases shows no significant dependence on SZA, with 338 values between -1% and -2%. Nevertheless, this curve shows a large jump around the satellite SZA 339 of 45°-50°. Thus, the satellite to ground-based differences under fully clouded cases present values 340 close to 0% for SZA values higher than 50° (except the last bin where the relative difference 341 increases to 1%). All these results indicate that the SZA dependence observed for SCIAMACHY 342 TOC data could be related to sky conditions in terms of cloud fraction. This result is in agreement 343 with the work of Antón and Lovola [2011] which showed that the SCIAMACHY TOC data derived 344 from the SDOAS prototype algorithm developed by BIRA-IASB and DLR presented no significant 345 dependence on SZA for cloud-free cases, while showing a clear SZA dependence during cloudy conditions for angles higher than 50°, but not for smaller angles. In addition, these authors also 346 347 showed that the satellite-Brewer differences obtained with the OMI-DOAS algorithm, which 348 formed the early basis for the TOGOMI/TOSOMI algorithms, have a large dependence on SZA for 349 cloudy cases only. This SZA dependence under cloudy cases was explained by the fact that the 350 effects of the presence of clouds in the scene on the ozone retrieval decreases with increasing SZA 351 since at high SZA the radiative transfer is dominated by scattering-absorption processes in the 352 stratosphere rather than the scattering-absorption processes occurring in the troposphere which 353 contribute more to the slant column for low SZA [Koelemeijer and Stammes, 1999].

Figure 4 (bottom) shows the mean relative differences between Brewer data and GOME data as a function of satellite ground pixel SZA for the four datasets corresponding to all, cloud-free (CF<5%), fully clouded conditions (CF>50%), and broken-cloud cases (5%<CF<50%). It is noted that for the GOME data set, 26% of the cases are for cloud-free conditions, 13% are for fully 358 clouded conditions and 61% for broken-cloud cases. The curves associated with all, cloud-free and 359 broken-cloud cases show practically no dependence on the GOME SZA over the Iberian Peninsula, 360 in agreement with and confirming the null-seasonal behavior shown in Figure 3 (bottom) using all 361 data. The curve corresponding to fully clouded cases also presents a constant negative bias around -362 1.5% for SZA smaller than 45°, showing at this SZA a large jump. Thus, the satellite to ground-363 based differences for the fully clouded conditions are between -1% and +0.5% for SZA higher than 364 45°. Therefore, the SZA dependence observed in SCIAMACHY, but not in GOME, almost 365 certainly is not related to problems in the retrieval algorithm, since the TOSOMI and TOGOMI algorithms used in this work to retrieve TOC data from SCIAMACHY and GOME are practically 366 367 identical. This SZA dependence (and seasonality) found for SCIAMACHY data could be due to 368 inaccuracies in the level 0 (raw data) to level-1B (calibrated radiances) processing. In this sense, 369 despite substantial efforts to improve the radiometric calibration of SCIAMACHY regarding 370 polarization effects, spectral effects and the reanalysis of pre-flight calibration data [e.g., Tilstra and 371 Stammes, 2005; Skupin et al., 2005; Gurlit et al., 2005], there are still uncertainties about the 372 radiometric calibration of SCIAMACHY in the UV.

373 Another outstanding parameter that describes the viewing geometry of the satellite 374 observations is the viewing zenith angle (VZA) of the satellite ground pixel. The SCIAMACHY 375 instrument measures 16 scenes along the ground swath, one for each satellite VZA stepping at 5° 376 intervals between  $-40^{\circ}$  and +40. By contrast, the GOME instrument has only three scan positions 377 between a VZA of  $-30^{\circ}$  and  $+30^{\circ}$ . Thus, it is very interesting to analyze whether the variation of 378 the satellite VZA affects the differences between satellite and ground-based TOC data. Figure 5 379 shows two plots where the Satellite-Brewer relative TOC differences are plotted as a function of the 380 satellite VZA for SCIAMACHY (top) and GOME (bottom). Four curves are shown in each plot 381 corresponding to all cases, cloud-free conditions, fully clouded conditions, and broken-cloud cases. 382 For the SCIAMACHY instrument, the satellite to ground-based difference under cloud-free 383 conditions shows a slight dependence on satellite VZA, varying from -1.2% for the outermost east 384 pixels (negative scan angles) to -0.3% for the outermost west pixels (positive scan angles). On the 385 other hand, the SCIAMACHY TOC data corresponding to all sky conditions, fully clouded 386 conditions and broken-cloud cases present for west pixels a greater underestimation with respect to 387 Brewer data than for east pixels. In addition, there is a clear difference between the curve 388 corresponding to cloud-free conditions and the other curves for west pixels. Similar results were shown by Antón and Loyola (2011) for the SCIAMACHY TOC data derived from the SDOAS 389 390 prototype algorithm in which the FRESCO+ cloud parameters are ingested off-line by running the 391 FRESCO+ algorithm. Figure 5 (bottom) shows a significant variation between the GOME-Brewer 392 relative difference obtained during fully clouded conditions for the east scene (+0.4%) and the 393 difference for the west scene (-1.7%). In contrast, for cloud-free conditions, the variations of the 394 relative differences between the east and the west scene are significantly smaller. Therefore, the 395 notable influence of VZA on the Satellite-Brewer differences under cloudy conditions for both 396 SCIAMACHY and GOME could be related to sky conditions in terms of effective cloud fraction 397 and cloud top pressure derived by the FRESCO+ algorithm.

398 If polarization, or a polarization calibration issue, would be the reason that the SZA 399 dependence of the Total Ozone Column Differences (DTOC) is much stronger for SCIAMACHY 400 than for GOME, the scattering angle should be used as an x-value or parameter. The reason is that 401 polarization of atmospheric radiation depends on the scattering angle, that is the angle between 402 incident sunlight and reflected light towards the satellite. Some information on the DTOC as a 403 function of the scattering angle is presented in Figure 5. Furthermore, Figure 5 shows that the 404 dependence of the DTOC on VZA for SCIAMACHY and GOME is of the same order of 405 magnitude. The east viewing directions have a scattering angle closer to 90 degrees than the west 406 viewing directions. This causes the Rayleigh scattered sunlight received in the east viewing 407 directions to be more strongly polarized than the west viewing directions. In case of a strong 408 polarization dependent sensitivity of SCIAMACHY and GOME, an East-West difference in DTOC 409 should appear most clearly for the cloud free scenes when Rayleigh scattering dominates the 410 received signal. In the case of cloudy scenes, the light scattered by clouds is depolarized and will 411 dominate the scene brightness hence a dependence on VZA of DTOC is then not expected. 412 However, our analysis shows that the opposite situation is the case hence a polarization dependent 413 sensitivity of SCIAMACHY or GOME does not seem to play a role.

414

# 415 **4.4 Dependence of the differences on cloud parameters**

Under cloudy conditions, the accurate determination of the effective cloud fraction and the effective cloud-top pressure by the satellite retrieval algorithm plays an important role in two respects: (1) the calculation of the air mass factor (AMF) which makes the conversion from the ozone slant column to the vertical column density, and (2) the estimation of the ozone amount below the effective cloud top, labeled the ghost column, since the satellite is only sensitive to the ozone concentration above the effective cloud top. Thus, it is interesting to analyze the influence of the cloud properties (effective cloud fraction and effective cloud-top pressure) in the satellite-ground-based difference.

423 The relative differences as a function of cloud fraction (using bins of 10%) as reported by 424 SCIAMACHY (top) and GOME (bottom) are shown in Figure 6. Each plot shows three curves 425 corresponding to all (in black), low (in red) and high (in blue) SZA values. Error bars (standard 426 error) are plotted for the curves related to low and high SZA. It can be seen that SCIAMACHY 427 (Figure 6, top) shows large biases between the similar curves corresponding to low and high SZA 428 cases for all sky conditions. Thus, the TOC data inferred from this satellite instrument for small 429 SZA values clearly show a larger underestimation of the ground-based data than the TOC data for 430 high SZA values while its dependence on cloud fraction is similar. In contrast, the GOME data 431 (Figure 6, bottom) shows a more homogeneous pattern for the three curves. Nevertheless, the 432 wave-like evolution of the relative differences as function of CF is very similar for the two satellite 433 instruments. This behavior is in accordance with the two satellite algorithms using the same 434 algorithm for the treatment of clouds (FRESCO+). Thus, the underestimation of Brewer data by 435 SCIAMACHY and GOME data slightly increases from cloud-free conditions until partially cloudy

436 cases (CF between 10% and 20%). For instance, the SCIAMACHY relative differences using all 437 data vary from (-1.1±0.1)% (0%<CF<5%) to (-1.8±0.1)% (20%<CF<30%). Then, there is a 438 reversal of this negative bias, thus the underestimation shows a significant decrease until CF  $\approx$ 75%. 439 Following the example, the SCIAMACHY relative differences using all data present a value of -440 0.1% for the CF interval between 70% and 80%. Finally, a second negative trend appears for fully 441 clouded cases, where the SCIAMACHY differences reach values of -1.4% for the CF interval 442 between 90% and 100%. A similar evolution of the SCIAMACHY-Brewer differences as a 443 function of CF was shown by Antón and Loyola [2011]. These authors worked with SCIAMACHY 444 TOC data derived from the SDOAS prototype algorithm using the FRESCO+ algorithm. Therefore, 445 this cloud algorithm could very well be the main culprit for the behavior shown in Figure 6 for both 446 SCIAMACHY and GOME data.

Figure 7 shows the dependency of the satellite-ground-based relative differences with 447 448 respect to the satellite cloud-top pressure (CTP) for all, low and high SZA values. The CTP values 449 are derived from the fitting of the reflectances around the Oxygen A-band as was explained in the 450 subsection 2.1. This analysis was performed where CF > 5%. It can be seen that the behavior with 451 CTP is very similar for the two satellite instruments but with larger biases between the curves 452 corresponding to low and high SZA cases for SCIAMACHY and a smoother behavior for GOME in 453 accordance with Figure 6. The relative differences show a marked negative dependence with 454 respect to the CTP. For SCIAMACHY, a slight overestimation (~ 1%) can be seen for high clouds 455 (CTP between 200 mb and 300 mb) when all data are used (black curve). A similar pattern of overestimation of ground-based TOC data was found for the OMI-DOAS algorithm by Antón and 456 457 Loyola [2011] who suggested that it could be related to the underestimation of the cloud-top 458 pressure for high clouds and the consequently overestimated "ghost" column added to the retrieved 459 above-cloud column amount for these cases. In addition, the two plots in Figure 7 show a clear 460 underestimation for the curves corresponding to all and small SZA under the lowest clouds (CTP 461 between 900 mb and 1000 mb). This underestimation is stronger for SCIAMACHY than for

GOME, although the bias of both instruments is similar for the curve associated with high SZA. Thus, this issue seems to originate from the SZA dependence and not from the CTP itself. Other possible explanation could be in the different percentage of cloudy cases (CF>5%) with CTP values higher than 900 mb found for the two satellite instruments. While SCIAMACHY presents about 17% of all cloudy cases with CTP values higher than 900 mb, GOME has about 9% of these cases. Antón and Loyola [2011] reported that many of the SCIAMACHY cases classified as low clouds really correspond to cloud-free observations.

469

#### 470 **4.5** Dependence of the differences on ground-based TOC data

471 Finally, the relative differences between ground-based and satellite TOC data as a function of the 472 Brewer TOC data (using bins of 20 DU) are analyzed in Figure 8 (top, SCIAMACHY; bottom, 473 GOME) for all, low and high SZA values. The SCIAMACHY relative differences show a negative 474 dependence with TOC between 240 and 320 DU when all SZA conditions are used in the analysis. 475 Here the relative differences vary from 0% (240 DU) to -1.8% (320 DU). For the rest of ground-476 based TOC values, the SCIAMACHY data show a constant underestimation of the Brewer data. 477 The blue and red curves corresponding to low and high SZA values show a very different behavior. 478 While the blue curve has an almost smooth negative dependence on ground-based TOC, the red 479 curve reveals a constant negative bias around -2%. This result is in accordance with the temporal 480 evolution showed in Figure 3 (top), where the SCIAMACHY data underestimate the TOC by -2% 481 to -3 for the months between May and September. On the other hand, Figure 8 (bottom) also shows 482 that the three datasets of GOME relative differences have a clear dependence with respect to 483 ground-based data for a broad range of TOC values. For instance, the relative differences change 484 from -0.5% (250 DU) to -2% (400 DU). These results are in agreement with the GOME TOC data 485 derived from the GDOAS algorithm developed by BIRA-IASB and DLR [Antón et al., 2008; 486 2009]. The near-linear dependence on ground-based TOC found for SCIAMACHY (between 240 487 DU and 320 DU) and GOME (between 250 DU and 400 DU) can be associated with the 488 SZA/seasonal dependence explained in subsections 4.2 and 4.3.

489

# 491 **5 CONCLUSIONS**

492

493 The main conclusion drawn from this validation exercise is that the SCIAMACHY TOC data 494 derived by the TOSOMI algorithm present a significant SZA dependence which produces a 495 systematic seasonal dependence with respect to reference ground-based TOC observations. This 496 behavior is not found for the GOME data inferred from TOGOMI algorithm using the same well-497 calibrated ground-based spectrophotometers and the same period of study (2004-2009). TOSOMI 498 and TOGOMI retrieval algorithms are identical with differences only in the level-1B reading 499 routines. Therefore, the strong SZA dependence observed for TOSOMI data being absent in 500 TOGOMI data should be mainly associated with instrumental differences in terms of calibration 501 issues which then propagate into the level-1B (calibrated radiances) data of SCIAMACHY.

The Satellite-ground-based relative differences reveal a significant dependence on satellite VZA under cloudy conditions for both SCIAMACHY and GOME instruments. In contrast, the relative differences for cloud-free cases show a near constant behavior, suggesting that the dependence found for cloudy cases should be associated with the ingested cloud properties originating from the FRESCO+ by algorithm for the TOSOMI and TOGOMI algorithms

507 This work has also shown that for both GOME and SCIAMACHY the satellite-ground-508 based differences present a rather similar behaviour with respect to satellite pixel cloud properties 509 (effective cloud fraction and effective cloud top pressure). This similarity is due to the cloud 510 information given by the FRESCO+ code used in the two retrieval algorithms. Nevertheless, it 511 should be underlined that GOME TOC data present a smoother behavior than SCIAMACHY TOC 512 data which could be related to the issues commented above.

513 Finally, the satellite-ground-based relative differences show a negative dependence on total 514 ozone for SCIAMACHY (between 240 DU and 320 DU) and for GOME (between 250 DU and 400 515 DU) which may be related the SZA/seasonal dependence.

516

This study leads us to conclude that despite these observations, which all fall within the  $\pm 5\%$ 

range, the TOSOMI/TOGOMI algorithms from KNMI provide a total ozone data set of greatquality which is highly suitable for global ozone column monitoring.

The conclusions drawn from this work should only be considered as representative for the area of study. All results are based on five ground-based instruments located on the Iberian Peninsula, and hence this validation exercise should be seen as complimentary to global scale validation studies.

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525

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#### 730 FIGURES LEGENDS

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Figure 1. Locations of the five Brewer spectrophotometers at the Iberian Peninsula: A Coruña
(43.33°N, 8.42°W), Zaragoza (41.01°N, 1.01°W), Madrid (40.45°N, 3.72°W), Murcia (38.03°N,
1.17°W) and El Arenosillo (37.06°N, 6.44°W).

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Figure 2. Correlation between satellite and ground-based TOC data gathered over the Iberian
Peninsula during six consecutive years (2004–2009). (top) SCIAMACHY versus Brewer and
(bottom) GOME versus Brewer. The solid line represents the unit slope to with which the data
almost agree.

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Figure 3. Time series of the daily relative difference between satellite (top, SCIAMACHY; bottom,
GOME) and ground-based TOC data gathered over the Iberian Peninsula during six consecutive
years (2004–2009). Here a running mean over ten days was applied.

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Figure 4. Differences between TOC data retrieved by satellite (top, SCIAMACHY; bottom,
GOME) and ground-based Brewer as function of satellite solar zenith angle for all, cloud-free,
broken-cloud, and fully clouded conditions.

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Figure 5. Differences between TOC data retrieved by satellite (top, SCIAMACHY; bottom,
GOME) and ground-based Brewer as function of satellite viewing zenith angle for all, cloud-free,
broken-cloud, and fully clouded conditions.

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Figure 6. Differences between TOC data retrieved by satellite (top, SCIAMACHY; bottom,
GOME) and ground-based Brewer as function of satellite cloud fraction for all, low and high solar
zenith angles.

756	Figure 7. Differences between TOC data retrieved by satellite (top, SCIAMACHY; bottom,
757	GOME) and ground-based Brewer as function of satellite cloud top pressure for all, low and high
758	solar zenith angles.

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Figure 8. Differences between TOC data retrieved by satellite (SCIAMACHY, top; GOME,
bottom) and ground-based Brewer as function of ground-based TOC data for all, low and high solar
zenith angles.

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# 765 TABLES AND THEIR LEGENDS

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767**Table 1.** Parameters obtained in the correlation analysis between SCIAMACHY TOC data (upper768rows) and Brewer measurements as gathered over the Iberian Peninsula during the period 2004-7692009. Results for the GOME correlation are shown in the lower rows. The parameters are the770following: the number of data (N), the slope of the regression, the correlation coefficients ( $\mathbb{R}^2$ ), the771root-mean-square errors (RMSE), the mean bias (MB) and the mean absolute bias (MAB).

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	Ν	Slope	$\mathbf{R}^2$	<b>RMSE (%)</b>	<b>MB</b> (%)	MAB (%)
Madrid	407	1.00±0.01	0.96	2.29	-0.90±2.26	1.76±1.68
	497	1.02±0.01	0.96	2.31	-0.76±2.29	1.78±1.63
Murcia	633	0.99±0.01	0.95	2.23	-2.09±2.18	2.52±1.67
	847	1.00±0.01	0.94	2.42	-1.45±2.39	2.14±1.79
Coruña	555	1.01±0.01	0.96	2.36	-1.41±2.32	2.12±1.70
	787	0.99±0.01	0.95	2.48	-1.56±2.45	2.23±1.87
Zaragoza	558	1.00±0.01	0.96	2.23	-0.98±2.20	1.85±1.55
8	773	1.00±0.01	0.96	2.15	-0.93±2.13	1.75±1.52
Arenosillo	570	0.98±0.01	0.96	1.99	-0.70±1.98	1.57±1.39
	748	1.00±0.01	0.95	2.00	-0.51±1.98	1.49±1.40
Iberian	2723	1.00±0.01	0.95	2.28	-1.26±2.25	1.99±1.63
Peninsula	3652	1.00±0.01	0.95	2.32	-1.08±2.29	1.90±1.68

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SCIAMACHY Ozone (DU)





Date



Difference GOME-Brewer (%)



Satellite SZA (°)



Satellite SZA (°)



Satellite VZA (°)



Satellite VZA (°)





Satellite Cloud Fraction (%)



Satellite Cloud Fraction (%)



Satellite Cloud Top Pressure (mb)



Satellite Cloud Top Pressure (mb)



Brewer Ozone (DU)



Brewer Ozone (DU)