Evaluation of atmospheric fields from the ECMWF seasonal forecasts over a 15 year period

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Abstract

Since 1997 the European Centre for Medium-Range Weather Forecasts (ECMWF) has made seasonal forecasts with ensembles of a coupled oceanatmosphere model (S1). In January 2002, a new version (S2) was introduced. For the calibration of these models, hindcasts have been performed starting in 1987, so that 15 years of hindcasts and forecasts are now available for verification.

The main cause of seasonal predictability is El Niño and La Niña perturbing the average weather in many regions and seasons throughout the world. As a baseline to compare the dynamical models with, a set of simple statistical models (STAT) is constructed. These are based on persistence and a lagged regression with the first few EOFs of SST 1901–1986 wherever the correlations are significant. The first EOF corresponds to ENSO, the second to decadal ENSO. The temperature model uses 1 EOF, the SLP model 5 EOFs and the precipitation model uses 2 EOFs but excludes persistence.

As the number of verification data points is very low (15), the simplest measure of skill is used: the correlation coefficient of the ensemble mean. To further reduce the sampling uncertainties we restrict ourselves to areas and seasons of known ENSO teleconnections.

The dynamical ECMWF models show better skill in 2-meter temperature forecasts over sea and the tropical land areas than STAT, but the modeled ENSO teleconnection pattern to North America is shifted relative to observations, leading to little pointwise skill. Precipitation forecasts of the ECMWF models are very good, better than those of the statistical model, in South-East Asia, the equatorial Pacific and in the Americas in December–February. In March–May

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the skill is lower. Overall, S1(S2) show better skill than STAT at lead time 2 months in 29(32) out of 40 regions and seasons of known ENSO teleconnections.

1 Introduction

The goal of seasonal forecasts is predicting the average weather on a timescale of seasons with a lead time of a few months to a year. This is possible in some regions and seasons, due to the influence of slowly varying boundary conditions, the most important of which is El Niño — Southern Oscillation (ENSO). Fifteen years of hindcasts and forecasts of two state-of-the-art numerical seasonal forecast systems from the ECMWF are compared with the results of statistical models in the companion paper. In this paper we compare the performance in forecasting global fields of surface air temperature, mean sea level pressure and precipitation.

To keep the length of the paper manageable, most of the assessment is based on comparison of maps of temporal correlation between ensemble-mean forecast and analyzed values. The use of correlation as a measure of forecast skill does not tell the full story: it ignores any problems of bias and scaling in the model forecasts and problems with the estimated probabilities (e.g., under/over confidence), although any such problems can in principle be dealt with by appropriate post-processing of the model output. It provides a first-order estimate of the forecast skill by ignoring more refined methods of signal detection based on probability thresholds adjusted to cost/loss properties of a specific application (Palmer, 2002). This is particularly relevant in mid-latitudes, where predictability based on the ensemble mean is often low, and skill is better assessed by looking at the Relative Operative Characteristics (ROC) (Wilks, 1995; Mason, 2003). However, a shift in the ensemble mean is still a useful indicator of possible skill, and it is a robust measure with relatively small uncertainties on the limited number of verification years available.

The period over which the forecasting schemes are verified and compared is 1987–2001. The fact that we have only 15 years of verification inevitably limits the power of the comparisons we can make: the fluctuations in skill due to the small sample size will often be as large as the differences between the models. Another problem is that investigating a large number of independent regions and seasons implies that the chance of ascribing 'good forecast skill' to a random fluctuation is also high.

These are generic problems with verifying seasonal forecasts, particularly in regions where the ratio of predictable 'signal' to unpredictable 'noise' is low (e.g., Anderson et al., 1999; Sardeshmukh et al., 2000). We will give 95% confidence intervals on the correlation coefficients wherever practicable to indicate the uncertainty. We also restrict our comparisons to areas and seasons of known ENSO teleconnections in order to minimize the chances of being misled by random fluctuations. Finally, it is possible that there is true low-frequency variability in teleconnections and seasonal predictability, beyond the apparent variability inherent in a noisy system. This will be investigated using a Monte Carlo approach similar to the one used by Gershunov et al. (2001). We try to be moderate in our discussion of the results of this paper, but the reader is advised to be cautious in interpreting apparent differences in the plotted forecast performance of the various systems, as the differences are only significant when many cases are considered together.

All maps in the paper were obtained from the KNMI Climate Explorer web site (climexp.knmi.nl), which allows the reader to investigate regions and seasons not covered in this paper.

A similar comparison between the ECHAM and NCEP dynamical models and statistical models has been performed by Peng et al. (2000) for the much longer period 1950–1994 in an uncoupled context: the models were forced with observed SST. In contrast to their Regression model, the STAT model also includes persistence and multiple modes. The Demeter project (Palmer et al., 2004) generated longer time series for February, May, August and November 6-month coupled runs. Statistical models were not included, however, and the analysis methodology was different.

The numerical and statistical models used were described in the companion paper, as are the observations against which they are verified. The predictions of 2 meter temperature fields are compared with observations in section 2.1, sea level pressure in 2.2 and precipitation fields in 2.3. This is mostly in the form of global maps, although precipitation is discussed regionally and seasonally because of the smaller scales of variability and the strong dependence of skill on the season. We consider both the estimated correlation skill of the models, and their ability to reproduce observed teleconnections of ENSO, on which most of the skill is based. Section 3 summarizes and concludes the paper.

2 Verification of seasonal forecasts

Seasonal forecasts attempt to predict deviations from climatology of the weather averaged over two to four months. As in the companion paper discussing ENSO forecasts, the correlation coefficient will be used as a measure of skill. This skill strongly depends on the location and the season. With only 15 years of data, each combination of location, season and lead time only has 15 independent verifications. To give an idea of the uncertainty in the correlation coefficients, note that for a correlation of zero and Gaussian errors, the 95% confidence level is at r = 0.44 for the one-sided t-test appropriate for skill estimates. This means that on average 5% of the area of global maps of correlation coefficients will show correlations higher than r = 0.44 even in the absence of any skill. Fields with large spatial correlations can easily show larger areas with apparent skill (Livezey and Chen, 1983). On the other hand, low values of the correlation in a sample of this size could correspond to relatively high skill.

To reduce the number of areas where skill might arise by chance we look specifically at well-known El Niño teleconnections. El Niño is known to be the largest single source of predictable interannual variability. We measure the teleconnections by looking at the simultaneous correlation between Niño3 SST anomalies and the forecast anomalies around the world. (Correlations with the Niño3.4 index are very similar and are not shown.) This measurement can be made for both the observations and the coupled forecast models. Much of the seasonal climate variability in mid-latitudes is unpredictable, and so the correlation between Niño3 SST and local weather is relatively low. In the case of the model integrations, it would be possible to obviate this by taking the ensemble mean and correlating with the ensemble mean Niño3 SST. In this case the unpredictable 'noise' would be largely removed, and the correlations would be high for those regions where El Niño dominated the predictable part of the variability. Although this is an interesting statistic, it is not one that can be easily compared with observations and it is therefore not used here.

Instead, we calculate the simultaneous correlation for all ensemble members, each with its own model Niño3 index. This is done by constructing two extended time series by the juxtaposition of the individual ensemble members. The fact that the model correlations are estimated over many realizations reduces the sampling uncertainty, but does not change the expected outcome for a single model run. For both S1 and S2, the number of ensemble members available for each year varies, because of the way the ensembles were constructed (see the companion paper). This would give years with fewer ensemble members less weight than other years. In order to weigh all years equally, we augmented the ensemble sizes to the maximum size (29, 30 or 31 for S1, 41 for S2) by repeatedly and equally sampling the available ensemble members. When the maximum size is not an integer times the real size, the remaining members are chosen randomly. This changes the correlation slightly, but much less than the uncertainty from other sources.

The observed teleconnections are estimated using the the verification dataset over the 15 years of the verification period. The figures are directly comparable to those in Peng et al. (2000) for the uncoupled atmospheric model response to prescribed SST.

In the case of large sample sizes and assuming stationarity of ENSO teleconnection, the skill of any statistical model based only on linear correlations with Niño3 SST will be equal to the absolute value of the observed teleconnections scaled down by the skill in predicting Niño3 SST. The skill of the STAT model is thus expected to bear some similarity to the absolute value of the Niño3 teleconnection pattern. The similarity, however, may not be too close as the STAT model may not be dominated by Niño3, 15 independent realizations is not a large sample size (the 95% confidence level for a two-sided *t*-test that should be used to asses the significance of the teleconnections, is at r = 0.51) and the ENSO teleconnections may not be stationary (Diaz et al., 2001; Gershunov et al., 2001).

Most forecasts are compared with the NCEP/NCAR reanalysis (Kalnay et al., 1996), which contains the NCEP OI SST analysis (Reynolds and Smith, 1994). For precipitation the GPCP dataset is used (Huffman et al., 1995).

2.1 Global 2m temperature fields

First we consider the prediction of temperature outside the equatorial east Pacific region that was discussed in the companion paper, considering land areas as well as ocean. Although a measure of land surface temperature is possible, a more commonly used variable is the air temperature at a height of 2m, denoted by T2m. Over the oceans this temperature is strongly correlated with SST.

Figure 1 shows the skill of the Dec–Feb averaged ensemble-mean temperature prediction from forecasts started on 1st October, measured as the correlation with the T2m for Dec–Feb from the NCEP/NCAR reanalysis. Panel a) shows the results from S1, panel c) from S2 and panel e) from the STAT model. Again, one should keep in mind that every point of the maps of correlation coefficients with observations has data from only 15 years and so the uncertainty in the correlation coefficient is quite large.

In all three models, the skill in the central east Pacific is quite high although parts of the area are blanked out for the STAT model because of a shortage of data. In the north Pacific, too, there is a suggestion of useful skill in the coupled models but this is less apparent in the statistical model. In the Indian ocean the skill of the two coupled models is quite high, but lower in the case of STAT. In the tropical Atlantic the skill of the two coupled models is lower than in the Pacific but still significantly higher than that of STAT. All models have skill in predicting SST in the northern subtropical Atlantic Ocean. They also seem to have skill south of Greenland, most evident in STAT; further analysis shows that this is largely due to persistence. Over land, predictability of T2m is generally lower than over the ocean, reflecting in part the lower heat capacity of land which results in less persistence. Nonetheless there appears to be some skill in the coupled models over parts of South America and of Africa.

In the northern Pacific Ocean and Indian Ocean the skill of both S1 and S2 is higher than expected on the basis of ENSO teleconnections and persistence, which are included in the statistical model. This points to other physics contributing to the skill. The teleconnection in the area extending from the southern



Figure 1: Verification of Dec–Feb T2m forecasts from 1 Oct against the NCEP/NCAR reanalysis. a) Skill of S1 ensemble mean, b) S1 Niño3 teleconnection patterns, c), d) same for S2, e) skill of STAT (white points did not have enough data or strong enough teleconnections to merit a prediction), and f) Niño3 teleconnection patterns over 1987–2002.

Caribbean north-eastwards to Europe (Hstenrath and Heller, 1977; Enfield and Mayer, 1997; Penland and Matrosova, 1998; Czaja et al., 2002) is simulated well by all three models.

There are sizable ENSO teleconnections to temperature in the Americas at the peak of El Niño in boreal winter (van Loon and Madden, 1981). As in Peng et al. (2000), the South American teleconnections are reproduced quite well by the numerical models (Fig. 1b,d). The statistical model suffers from lack of data in northern South America.

In North America, long-term observations show on average milder winter weather during El Niño along the west coast and the Canada-U.S. border, and warmer weather during La Niña along the Gulf Coast (note the asymmetry). Linear correlation coefficients are around 0.3 for these teleconnections, which were also present during the verification period (Fig. 1f). The linear statistical model only shows skill along the coast (Fig. 1e), probably due to the large nonlinearity of the teleconnection (Hoerling et al., 1997; Sardeshmukh et al., 2000; Wu et al., 2003). Both ECMWF models have correct warm teleconnections in South Alaska (Fig. 1b,d). However, instead of the extension eastwards along the border (Fig. 1f), they show a northward extension of the teleconnection pattern. The cooling pattern along the Gulf Coast is also situated too far north and is too strong in S2. Due to these teleconnection errors forecast skill is limited to the west coast (Fig. 1a,c). These errors could possibly be corrected for by a downscaling technique, although how much they are due to sampling error is unclear. Similar problems in GCM forecasts for North America were found by Barnston and Smith (1996), Anderson et al. (1999) and Peng et al. (2000).

2.2 Surface pressure

In the extratropics, the shift of circulation patterns due to El Niño and La Niña is a major source of predictability. The ECMWF models reproduce the overall sense of the winter North American ENSO teleconnection pattern reasonably well (Fig. 2b,d). However, the teleconnections over land appear to be too weak: the center of the Aleutian low in the North Pacific is shifted 20–30° too far west, resulting in the poor teleconnections over land that were noted in the T2m forecasts (section 2.1). As in observations, the models have no projection of the ENSO teleconnection patterns onto the NAO: the correlation for ensemble members started on 1 Nov between the Dec–Mar averaged Azores-Iceland NAO and the Niño3 index is $r = -0.10^{+9}_{-9}$ in S1, $r = -0.15^{+8}_{-8}$ in S2. This is in good agreement with the observed value over 1865–1996, $r = -0.05^{+17}_{-18}$.

Dec–Feb sea-level pressure is also predicted well in the area of the Southern Oscillation, although the pattern extends too far to the west over the Indian Ocean. Most southern hemisphere teleconnection patterns are also captured, the high pressure region in the South Pacific pattern at 60°S is also shifted to the



Figure 2: Verification of Dec–Feb SLP forecasts from 1 Oct against the NCEP/NCAR reanalysis. a) Skill of S1 ensemble mean, b) S1 Niño3 teleconnection patterns, c), d) same for S2, e) skill of STAT, and f) Niño3 teleconnection patterns over 1987–2002.



Figure 3: Verification of Jun–Aug SLP forecasts from 1 Apr against the NCEP/NCAR reanalysis. a) Skill of S1 ensemble mean, b) S1 Niño3 teleconnection patterns, c), d) same for S2, e) skill of STAT, and f) Niño3 teleconnection patterns over 1987–2002.

west.

In contrast, in Jun–Aug the forecast skill is not quite so good, especially over the East Pacific and Atlantic Oceans. (Fig. 3). This may be because the ENSO teleconnections are weaker as panel f) indicates. The predictability is less dominated by ENSO at this time which in turn may be related to the weaker amplitude of El Niño at this time. It is also harder to predict ENSO from April starting dates. Still, the different shapes of modeled and observed Southern Oscillation patterns (Figs 3b,d,f) suggest that model error plays a large role.

Apart from ENSO teleconnections there seems to be some skill in the predictions for eastern North-America and northern and southern Europe in both ECMWF models. The skill in Europe may be connected with the summer predictability discussed in Colman and Davey (1999). There is also good skill (r > 0.6) unconnected to ENSO in forecasts of sea-level pressure north-east of New Zealand, especially in S1.

2.3 Precipitation

The spatial decorrelation scales of precipitation are small, making the dangers of 'bump-hunting' correspondingly higher: the chance of finding statistically 'significant' correlations with no physical basis is proportional to the number of independent possible events investigated. As will be shown quantitatively in section 2.3.1, seasonal predictability of precipitation is based mainly on ENSO teleconnections. This is used to restrict the search space: we consider only regions and seasons of known teleconnections (Ropelewski and Halpert, 1987; Kiladis and Diaz, 1989). First the main areas and seasons with ENSO teleconnections are mapped, then for a list of 40 regions and seasons with teleconnections |r| > 0.4 over 1901–1986, the skills of STAT, S1 and S2 for 1987–2001 starts are compared. We consider the skill per grid-box; area-averaged precipitation will have higher skill (e.g., Gong et al., 2003).

2.3.1 ENSO-related skill

The connection between ENSO and the predictability of precipitation can be shown from a plot of the skill of the forecasts against the strength of El Niño. During strong El Niño and La Niña events the skill is higher than during neutral conditions, as shown in Fig. 4. For this plot the skill is the spatial anomaly correlation of the ensemble-averaged forecast with observations. This measure is dominated by high-rainfall regions in the tropics. As expected, the model skill in forecasting precipitation patterns is higher during El Niño and La Niña than during neutral conditions. The excursion to the right represents the large 1997/98 El Niño. Precipitation was not predicted as well during its onset (lower branch) as during its demise (upper branch), as the Niño3 index was underpredicted during the onset and some teleconnections lag El Niño.



Figure 4: Skill of the global +2 month precipitation forecasts (spatial correlation of the S1 (a) and S2 (b) 3-month average ensemble mean with the GPCP observations) as a function of the value of the Niño3 index. The numbers denote the starting month and year of the 3-month season, the dashed line gives the best fit to the absolute value of Niño3, $r = 0.23 + 0.17|N_3|$ for S1, $r = 0.16 + 0.18|N_3|$ for S2.

A simple model of ENSO-forced precipitation can be constructed by taking precipitation anomalies to be proportional to the Niño3 index N_3 plus a noise term ϵ : $p_{obs} = AN_3 + \epsilon$. The model prediction should be similar, $p_{mod} = AN_3 + \eta$. In the case of an ensemble average we normally have $\eta^2 \ll (AN_3)^2$: the model noise is reduced by the ensemble averaging. On the other hand, the weather noise is not necessarily small and in places may be larger than the signal. The correlation can be written as

$$r = \frac{\overline{p_{\text{obs}}p_{\text{mod}}}}{\sqrt{p_{\text{obs}}^2}\sqrt{p_{\text{mod}}^2}} = \frac{(AN_3)^2}{\sqrt{(AN_3)^2 + \epsilon^2}\sqrt{(AN_3)^2 + \eta^2}} \approx \frac{|AN_3|}{\sqrt{\epsilon^2}} \tag{1}$$

where the bar denotes spatial averages. If the ENSO signal is smaller than the weather noise, this gives a quasi-linear relationship between correlation and size of El Niño. Motivated by this we attempt a straight line fit to the data, while acknowledging it will not be appropriate for high values of correlation.¹

Fig. 4 shows that the correlation can be approximated by $r = 0.23 \pm 0.03 + (0.17 \pm 0.03)|N_3|$ for S1 and by $r = 0.16 \pm 0.02 + (0.18 \pm 0.02)|N_3|$ for S2 (the range denotes 2σ symmetrical error estimates). The non-zero constant term shows that there are more factors than ENSO that give rise to predictability that are captured by the ECMWF models.

Limited by the short sample of ENSO events included in the 1987-2001 period it is not possible to infer the existence of asymmetry/symmetry in the skill with respect to El Niño and La Niña. Neither can one exclude the possibility that the seasonal forecast system might present different levels of skill during the positive/negative ENSO phases (Sardeshmukh et al., 2000).

2.3.2 South-East Asia, Australia and West Pacific

August-November Globally, the strongest observed effects of ENSO on precipitation occur during the dry season (Aug-Nov) in eastern Indonesia (Berlage, 1957) and the western equatorial Pacific. For example, of 10941 stations in the Global Historical Climate Network (GHCN, Vose et al., 1992) v2b with more than 40 years of precipitation data, those with the highest correlation with Niño3 are Banda Island, Indonesia (4.53°S, 129.88°E) with $r = -0.82^{+6}_{-4}$ and Beru, Kiribati (1.40°S, 176.00°E) with $r = +0.82^{+8}_{-13}$ for Aug–Nov rainfall. In eastern Indonesia and the West Pacific this is the dry season, in which drought has large effects. In central and western Indonesia this time of year includes the onset of the monsoon, which starts in September on Sumatra, October on Java and November on Bali.

^{1.} The number of ensemble members is also not constant over this period, in Fig. 4b the points labeled 1 and 7 have 41 members up to 2001 and the others only 5 up to 10.2001. Starting 11.2001 all seasons have 40 members. The influence of this on the skill is much less than the variations due to ENSO.

In central Indonesia, the onset is the season most sensitive to ENSO; western Indonesia has no long-term linear ENSO teleconnections in this season.

The ECMWF forecasts from starting dates around 1 Jun (the +2 forecasts) are compared with observations in Fig. 5. One sees that the models are better than both the STAT model and a contemporaneous statistical Niño3 relationship (panel f) in predicting the dry season precipitation anomalies in Indonesia: r > 0.8 in the eastern part for S1, in spite of the very rough approximation of the orography and land-sea mask there. The S2 correlations are somewhat lower, possibly due to the limited ensemble size (5) and the smaller amplitude of the predicted ENSO signal. The STAT model has near zero skill in some boxes, for instance in the Java region, in spite of the ENSO teleconnection. This is due to the influence of the second EOF of SST which is included in the STAT model: during the training period this EOF contributed positively to the skill, but this was reversed after 1987.

Although the correlation of the GCMs is (very) good, the magnitude of the dry-season anomalies was in general underpredicted, so post-processing of the output would be desirable. For example, in Aug–Nov 1997 the S1 (S2) model ensemble mean forecast was 55% (50%) of the normal rainfall in the region 10° S–EQ, 110° – 130° E. Less than 35% was observed.

Eastern Australian rainfall is also forecast rather better by the GCMs than the statistical model, which is mainly based on ENSO $(r(N_3) \approx -0.4$ over the last century). This teleconnection was not as strong in 1987–2001 as in the training period, leading to little skill in the statistical model. Variations in the strength of ENSO teleconnections have occurred before: a 15-year running correlation on NINO3 SST with precipitation at Melbourne (37.82°S, 144.97°E, 1855–1992) is even positive in 1932–1941, in spite of the long-term value of $r = -0.39 \pm 0.13$. A Monte-Carlo study (Gershunov et al., 2001) shows that this is not necessarily due to decadal climate variability: most random series with r = -0.39 will have 15-year periods with r > 0 in 100 years. Apart from the weak observed ENSO teleconnections, the ECMWF models used other predictors to forecast precipitation more successfully. However, both dynamical models overextend their ENSO teleconnections into central and western Australia, leading to spurious forecasts there.

The strong ENSO teleconnection in the west Pacific is generally handled well by the model giving high skill forecasts. There is a nodal line in the teleconnections which crosses the equator near 150°E (panel f). Obviously any forecast skill which is based on ENSO teleconnections will be reduced in the vicinity of the nodal line. The nodal lines in the model (panels b, d) are slightly shifted relative to that in panel f. Perhaps as a consequence of this the skill in Papua New Guinea is reduced. The forecasts in the equatorial central Pacific are very good: r reaches 0.9 there.



Figure 5: Verification of West Pacific Aug–Nov (dry season) precipitation forecasts from 1 Jun against the GPCP analysis. a) Skill of S1 ensemble mean, b) S1 Niño3 teleconnection patterns, c), d) same for S2, e) skill of STAT, and f) Niño3 teleconnection patterns over 1987–2001.

North of the equator, in the Philippines, the statistical model only finds strong enough historical teleconnections at one single grid point, where it showed good skill over 1987–2001, r = 0.68. The ECMWF models only have skill $r \approx 0.4$ because the ENSO teleconnection observed in this period is not present in the models. The lack of a teleconnection is representative of the long-term behavior of other stations in the Philippines, which did not get included in the statistical model. The skill of the statistical model is therefore to a large extent due to chance.

December–February In contrast to the onset date, the intensity of the wet monsoon in central Indonesia is normally not affected by El Niño — once it rains, it pours. In eastern Indonesia the correlations of Dec–Feb rainfall with Niño3 are also much smaller than in the dry season. Because of this lack of correlation the statistical model makes few predictions (Fig. 6e). However, the rainfall response in the 1997/98 El Niño was very different to the normal response, in that over large parts of Indonesia the monsoon rains were substantially reduced in January. To emphasize the marked reduction in rainfall in January 1998, Fig. 7 shows the relative precipitation anomaly; panel d shows that the rainfall was typically reduced by 50% over Java and estern Indonesia. The S1 model correctly predicted the tendency for a dry January in this specific case (panel a).

S1 tends to predict reduced rainfall in most of Australia during El Niño (Fig. 6b). Over the whole century observations show that only the north-eastern coast had significant ENSO teleconnections (r = -0.3...-0.4) in this season. During the last 15 years these teleconnections were absent, however, leading to very little skill in both GCM and statistical forecasts for eastern Australian summer precipitation. In contrast, the GCM predictions for western Australia had some skill.

On the other side of the equator, rainfall anomalies in the Philippines, a standard El Niño teleconnection in this season, were predicted well by the ECMWF models. The wet teleconnection to the Chinese East coast (Tang et al., 1997) is also present. Its modeled strength is similar to the long-term record, which is weaker than Fig. 6f shows. These last two teleconnections were also present in the uncoupled ECHAM and NCEP models in Peng et al. (2000).

March–May Rainfall anomalies during the onset of the Asian Monsoon in Mar– May are forecast very well (see Fig. 8) in a zone extending from the Philippines westward to the Andaman Islands (12°N, 93°E). The correlations are higher than can be explained by the model ENSO teleconnections. A lag-correlation study of observational data shows that SST in the region east of the Philippines also influences rain in this zone.



Figure 6: Verification of West Pacific Dec–Feb (rainy season) precipitation forecasts from 1 Oct against the GPCP analysis. a) Skill of S1 ensemble mean, b) S1 Niño3 teleconnection patterns, c), d) same for S2, e) skill of STAT, and f) Niño3 teleconnection patterns over 1987–2002.



Figure 7: a) The S1 and c) S2 ensemble mean forecasts for the rain anomaly in January 1998 from starts around 1 Oct 1997 relative to the 1987–2001 model climatology, b) the statistical model forecast and d) observed relative precipitation anomalies relative to the 1987–2001 observed climatology.



Figure 8: Verification of West Pacific Mar–May (onset Asian monsoon) precipitation forecasts from 1 Jan against the GPCP analysis. a) Skill of S1 ensemble mean, b) S1 Niño3 teleconnection patterns, c), d) same for S2, e) skill of STAT, and f) Niño3 teleconnection patterns over 1987–2001.

June–July In the remaining months, Jun–Jul, ENSO teleconnections are much weaker in South-East Asia and the West Pacific (not shown). The STAT model performs very badly due to the spring barrier in ENSO predictability. The ECMWF models still have skill r > 0.8 in parts of eastern Indonesia and $r \approx 0.6$ in the northern Philippines, in both cases much higher than can be explained by correlation with model Niño3.

2.3.3 The Americas

December–February In the Americas the strongest teleconnections are at the peak of El Niño, Dec–Feb (Ropelewski and Halpert, 1987; Kiladis and Diaz, 1989). These are: rain along the equator in the Pacific just reaching parts of the Peruvian and Ecuadorean coasts, wetter than normal weather in southern Brazil and Uruguay, drought in northern South America and more rain in Mexico and the southern U.S., especially Florida. The forecast skill of the dynamical models was at least as good as that of the statistical models in these areas (see Figs 9a,c,e), although the model teleconnections were weaker than observed (Figs. 9b,d,f). There was also skill in north-eastern Brazil, where Dec–Feb contains the onset of the rainy season. This skill is not simply related to ENSO and it is absent in our ENSO-based statistical model. The ECHAM and NCEP forced models did not show some of the South America teleconnections (Peng et al., 2000).

The weak ECMWF model teleconnections to the Californian coast resemble those of the average historical record, which the statistical model is based on, like the forced NCEP model, but unlike the forced ECHAM model. However, in this area there are large decadal variations. A 20-yr running-window correlation analysis of the GHCN precipitation data for Santa Barbara (34°N, 120°E, 1867– 2001) shows that the correlation coefficient was around -0.4 from 1890 to 1930, +0.3 up to 1990 and +0.6 since then. A Monte-Carlo study shows that these decadal variations in the strength of the teleconnection are unlikely to be due to sampling effects (P < 5%). The non-stationarity of the climate led to very little skill in the statistical model forecasts on the West Coast in 1987–2001. As the ECMWF models are closer to the long-term average than the situation of the last ten years their skill is also low. Other factors that may play a role in lowering skill are the fairly strong nonlinear effects present in this area (Hoerling et al., 1997; Sardeshmukh et al., 2000; Mason and Goddard, 2001) or the wrong position of the Aleutian pole of the PNA-like teleconnection pattern in the ECMWF models.

March–May In Mar–May there are teleconnections in the observations to North-East Brazil and Southwest U.S./North Mexico (Figs 10e,f). Neither of these is present to the same extent in either ECMWF model. This is not due to the spring barrier. The ECMWF models have good skill in



Figure 9: Verification of Dec–Feb precipitation forecasts from 1 Oct in the Americas against the GPCP analysis. a) Skill of S1 ensemble mean, b) S1 Niño3 teleconnection patterns, c), d) same for S2, e) skill of STAT, and f) Niño3 teleconnection patterns over 1987–2002.



Figure 10: Verification of Mar–May precipitation forecasts from 1 Jan in the Americas against the GPCP analysis. a) Skill of S1 ensemble mean, b) S1 Niño3 teleconnection patterns, c), d) same for S2, e) skill of STAT, and f) Niño3 teleconnection patterns over 1987–2001.

Mar-May in South-East Asia and the Pacific Ocean. Also, the statistical model, with a much larger spring barrier, obtains decent skill scores. There are known deficiencies in the climatology of the dynamical models in this season: a too intense ITCZ and a large dry bias over Brazil (Anderson et al., 2003). These probably affect the ENSO teleconnections. (See also http://www.ecmwf.int/products/forecasts/d/charts/seasonal/verification.)

In North-East Brazil there is predictability at this time, the rainy season, due in part to SST in the Atlantic Ocean (Hastenrath and Greischar, 1993). S2 shows quite good predictability in this region apparently beyond that due to ENSO. The skill ($r \approx 0.7$) is comparable to the skill of the UK Met Office forecasts for Fortaleza/Quixeramobim (4°S, 39°W) over 1987–1998 (1996 missing) in quintiles, $r = 0.73^{+23}_{-42}$ (Folland et al., 2001).

June–November During the rest of the year the ENSO teleconnections to precipitation over the Americas are much weaker.

2.3.4 Africa

The rainfall over Africa has a strong regional and geographical variation. Rather than show plots for all seasons we will condense the rainfall information neatly into one figure. In Fig. 11 we plot rainfall and correlations with Niño3 in three sectors, corresponding to different times of year with ENSO teleconnections (Hastenrath, 1995). The top-left sector, showing West Africa, has a monsoon climate with rainfall maximum in Jul–Sep. Area-averaged Sahel rainfall in this season has a weak link to El Niño (Palmer, 1986), r = -0.41 over 1901–2000. At individual stations the teleconnection is much weaker, so that the statistical model only makes predictions at a few grid boxes.

For the top right sector, we use the period Oct–Nov to capture the short rains. These have a well-established relationship with ENSO (Ogallo, 1988), although the relationship is fairly weak, r < 0.4. Rainfall is more strongly connected to Indian Ocean SST (Goddard and Graham, 1999; Latif et al., 1999), so predictability could be larger than the ENSO teleconnection suggests. The relationship between the East African long rains and ENSO is less clear. For example Rowell et al. (1994); Philipps and McIntyre (2000), based on different temporal and spatial scales, did not show any significant correlations between the East Africa long rainy season and either the atmospheric or oceanic component of ENSO.

The bottom sector covering southern Africa is for summer (Dec–Feb), when there is a (weak) ENSO teleconnection to less rain during El Niño. In fact the full twentieth century only shows teleconnections to the southwest corner, although shorter records also hint at possible teleconnections to Zimbabwe (Cane et al.,



Figure 11: Verification of West-African monsoon (Jul–Sep, top left), East African short rains (Oct–Nov, top right) and South African summer (Dec–Feb, bottom) precipitation forecasts at lead time +2 months against GPCP analysis. a) Skill of S1 ensemble mean, b) S1 Niño3 teleconnections, c), d) same for S2, e) skill **23** STAT, f) observed ENSO teleconnections.

1994).

The last 15 years show stronger ENSO teleconnections to Africa (Fig. 11f). In East Africa this is entirely due to the heavy rains in 1997. This dependence on one event means the uncertainty in these correlations is large. For instance at Mombasa, Kenya (4.03°S, 40.10°E, 1890–1991), the correlation over 1987–2001 is r = 0.65 with a 95% confidence interval -0.60 < r < 0.92, which easily includes the long-term value $r = 0.30 \pm 0.18$. In the Sahel, a Monte-Carlo study of decadal changes in 1000 random time series with the same long-term regression and correlation with Niño3 as Jul–Sep Sahel rainfall shows that the recent correlation of $r = -0.52 \pm 0.26$ falls well within the range expected due to the sampling uncertainty and decadal changes in ENSO variability. The same holds for the Dec–Feb southern Africa rainfall, which over 1987–2002 had stronger and more northerly teleconnections than earlier in the twentieth century.

Figs 11b,d show that S1 and S2 have only weak ENSO teleconnections and panels a,c show that there is only limited skill with S1 somewhat better than S2. The skill around the Persian Gulf is related to a weak ENSO teleconnection that also shows up in the historical record. The STAT model is very incomplete due to lack of data. In the regions with enough historical observations, its skill (Fig. 11e) is lower than the absolute value of the strength of the historical ENSO teleconnections over 1901–1986. For comparison, the combined statistical/dynamical model Sahel forecasts of the UK Met Office (Folland et al., 1991) had skill only in the Sudan.

Except in South Africa, the skill shown by S1 in fig 11a and to a lesser extent S2 in 11c is not directly attributable to ENSO as can be seen by comparing with the ENSO (Niño3) teleconnections in Figs 11b,d. These are much weaker than the correlations between Niño3 and observed precipitation over the last 15 years, (fig 11f) and even weaker than those in the historical record.

We are aware of the failure to capture the strong flooding over Kenya/Somalia in late 1997 in the seasonal prediction model. In fact the rainfall was located off the coast and further model studies using the observed SSTs for this period failed to capture the heavy rainfall over land in this region though predicting the excess rainfall over the ocean to the east of Kenya and Somalia was well done. Other models, such as the IRI version of the ECHAM3 model, simulated the strong anomalies very well (Goddard and Graham, 1999), although other versions of the ECHAM model did poorly (Latif et al., 1999; Palmer et al., 2004).

In fact it is striking how poorly the S1 and S2 models seem to capture the El Niño teleconnections over Africa (Fig 11b,d) at this lead time, even though they perform quite well in other regions. It should be noted that at a shorter lead time (1Jun forecast) the pointwise skill in the Sahel is much better, $r \approx 0.6$ for Jul–Sep rain.

The predictability of rainfall on the west coasts of Africa seen in experiments with prescribed SST (Rowell, 1998; Peng et al., 2000) is not recovered in these coupled systems. Rainfall in these areas is correlated with coastal SST, which is not forecast very well by the ECMWF models (see Fig. 1).

2.3.5 Other ENSO precipitation teleconnections

The Jun–Aug South Asian monsoon historical teleconnection was too weak to enter the simple statistical model. The monsoon was also not forecast well by the ECMWF models. The increased strength of the Oct–Dec monsoon rains in southern India and Sri Lanka during El Niño was captured well by the statistical model, but not by the ECMWF models. Curiously, both S1 and S2 predicted the relatively wet Dec–Feb 1997/98 in central India correctly, although this is not an ENSO teleconnection.

The weak ENSO teleconnection to spring precipitation in Europe (van Oldenborgh et al., 2000) lead to some skill in the statistical system in Morocco, Ireland and Scotland, and the Ukraine. However, it was not reproduced in either dynamical model. S2 did have skill in Europe, although based on effects other than on the teleconnection (not shown).

2.3.6 Systematic comparison

Due to the limited number of years for which forecasts (hindcasts) are available, few of the above differences in apparent skill between the statistical and dynamical models are likely to be considered highly significant in a statistical sense when assessed individually. Our ability to compare the skill of the models can be improved by sacrificing geographical and seasonal detail, and considering aggregate statistics. To do this, we selected 40 regions and 3- or 4-month seasons of historical simultaneous ENSO teleconnections with correlations r > 0.4 on the basis of the Hulme, GPCP and GHCN datasets. For the area-averaged precipitation in each of these regions and seasons the skill of the STAT, S1 and S2 models at lead time +2 was computed. The results are shown in Table 1. The error estimates show the 95% confidence interval computed with a bootstrap method. When the lower bound exceeds zero the number is printed in bold, corresponding to P < 2.5% in a one-sided significance test.

Of the 40 areas and seasons where skill is expected on the basis of ENSO teleconnections, S1 has a higher skill score than STAT in 29 and S2 has a higher skill score than STAT in 32. As the skill in predicting ENSO is much higher than the skill in the seasonal precipitation over most of the earth, the forecasts are fairly independent even though they are based on the same set of El Niño and La Niña events. Assuming full independence, the null hypothesis that the STAT model has equal skill to the ECMWF models can be rejected at the 0.5%

Region	latitude	longitude	season	STAT	S1	S2
East Indonesia	10°S_FO	110°E_130°E		$\frac{0.37^{+38}}{0.37^{+38}}$	0.87+9	0.82 +13
East indonesia	10 D-EQ	110 E-150 E	Dog Fob	0.37_{-45}	0.67_{-19}	0.82_{-8} 0.22 ⁺⁴⁴
		_	Mov-Jul	0.08_{-41}	0.03_{-42} 0.64 ⁺²⁵	0.32_{-37}
Philippinos	5°N_10°N	120°E_130°E	Doc-Fob	0.00_{-0}	0.04_{-36} 0.72 ⁺²⁰	0.02_{-20} 0.72 ⁺²⁰
1 milppines	5 N-10 N	120 E-150 E	Mor-Mov	0.34_{-39} 0 70 ⁺¹⁷	0.12_{-31} 0.82 ⁺¹³	0.72_{-40} 0.85 ⁺¹⁰
	_	_	Son Nov	0.70_{-31}	0.02_{-39} 0.18 ⁺⁶⁴	0.83_{-21} 0.56 ⁺²⁶
Fast Australia	40°S 20°S	- 145°F 155°F	Jul Sop	0.09_{-23}	0.10_{-56} 0.62^{+29}	0.30_{-32}
Last Australia	40 5-20 5	145 E=155 E	Jui-Sep Oct-Doc	0.00_{-0} 0.31^{+32}	0.03_{-64} 0.37^{+44}	0.73_{-84} 0.42^{+34}
South Decific	20°S 10°S	160°E 200°E	Oct-Dec	0.31_{-46} 0.76 ⁺¹⁵	0.57_{-55}	0.42_{-49}
South 1 actine	20 5-10 5	100 E=200 E	Jan Apr	0.70_{-20}	0.00_{-49}	0.37_{-54}
	—	—	Jan-Apr - Max Jul	-0.30_{-44}	0.40_{-50}	-0.19_{-45}
Equatorial David	- E FOC FON	- 170°F 940°F	May–Jul -	-0.40_{-40}	0.00_{-24}	0.70_{-38}
Equatorial 1 acm	IC 5 5–5 IN	170 E=240 E	Dec-Feb Man May	0.10_{-30}	0.90 ₋₁₉	0.69_{-30}
	—	—	Jup Aug	0.09_{-33}	0.62_{-38}	0.70_{-35}
	_		San Naw	0.01_{-37}	0.07_{-22}	0.77_{-33}
Carth Obias	- 200N 200N	- 110°E 190°E	Sep-nov	0.50_{-25}	0.94_{-61}	0.92_{-67}
South Unina	20 N-30 N	110 E-120 E	Nov–Jan	0.24_{-76}	0.19_{-65}	0.03_{-73}
TT	-	- 1600W 1FF0W	red–Apr Nasa Iasa	0.19_{-59} 0.22+44	0.13_{-72}	0.49_{-31}
Hawall	10° N=20° N	100° W-199° W	Nov-Jan	0.33_{-61}	0.70_{-35}	0.12_{-77}
	-	-	Feb-Apr	0.34_{-73}^{+	0.30_{-50}^{+10}	0.57_{-38}^{+-3}
New Mexico	30° N -40° N	$110^{\circ} \text{W} - 95^{\circ} \text{W}$	Oct–Jan	0.37_{-56}	0.44_{-54}	0.40_{-56}
1.1.1	-		Feb-May-	-0.09_{-47}^{+18}	0.40_{-57}	0.41_{-48}
Florida	$25^{\circ}N-30^{\circ}N$	$85^{\circ}W - 80^{\circ}W$	Dec-Mar	0.76_{-44}	0.57^{+-0}_{-31}	0.72_{-36}^{+1}
NW US	$45^{\circ}N-55^{\circ}N$	$125^{\circ} \text{W} - 105^{\circ} \text{W}$	Nov-Feb	0.30^{+10}_{-44}	$0.41_{-55}^{+0.0}$	0.45_{-70}^{+10}
Mexico	20° N -30° N	$110^{\circ} W - 95^{\circ} W$	Oct–Dec	0.41_{-67}^{+11}	0.15_{-58}^{+01}	0.78^{+10}_{-21}
	—	—	Jan–Mar	0.11_{-43}^{+11}	0.47_{-50}^{+20}	0.49^{+30}_{-54}
			Jul-Sep	$0.00_{-0}^{+0.0}$	-0.08_{-44}	0.15_{-46}^{+30}
N South America	a EQ-15°N	$70^{\circ} \text{W} - 50^{\circ} \text{W}$	Jun–Aug	0.17^{+31}_{-34}	0.54_{-41}^{+62}	0.57^{+51}_{-54}
	—	—	Sep-Nov	0.49^{+51}_{-54}	0.80^{+10}_{-39}	0.69^{+10}_{-31}
	-	-	Dec-Feb	0.67^{+25}_{-35}	0.78^{+10}_{-25}	0.87^{+10}_{-18}
NE Brazil	$10^{\circ}\mathrm{S-EQ}$	$45^{\circ}W - 35^{\circ}W$	Apr–Jul	0.43^{+01}_{-45}	0.77^{+11}_{-30}	0.73^{+21}_{-41}
Uruguay	$40^{\circ}\mathrm{S}-30^{\circ}\mathrm{S}$	65°W-55°W	Nov–Jan	0.65_{-67}^{+22}	0.77^{+10}_{-51}	0.80^{+10}_{-31}
Coastal Ecuador	$\cdot 10^{\circ}\text{S-EQ}$	85°W-80°W	Feb-May	0.00^{+0}_{-0}	0.59^{+20}_{-76}	0.53^{+30}_{-64}
Central Asia	$35^{\circ}N-45^{\circ}N$	$60^{\circ}\mathrm{E}-75^{\circ}\mathrm{E}$	Oct–Jan	0.05^{+49}_{-46}	0.45^{+34}_{-38}	0.47^{+31}_{-59}
India	$15^{\circ}N$ – $30^{\circ}N$	$70^{\circ}\mathrm{E}{-}90^{\circ}\mathrm{E}$	Jun–Aug	0.14^{+55}_{-61}	-0.19^{+55}_{-47}	0.23^{+40}_{-55}
Sri Lanka	$5^{\circ}N-10^{\circ}N$	$75^{\circ}\mathrm{E}{-}85^{\circ}\mathrm{E}$	Oct-Dec	0.55^{+27}_{-37}	-0.10^{+40}_{-43}	0.19^{+30}_{-48}
East Africa	$5^{\circ}S-5^{\circ}N$	$30^{\circ}\text{E}-40^{\circ}\text{E}$	Oct–Dec	0.14^{+51}_{-88}	0.34^{+54}_{-40}	-0.12^{+74}_{-49}
Southern Africa	$35^{\circ}S-25^{\circ}S$	$15^{\circ}\text{E}{-}35^{\circ}\text{E}$	Nov–Feb	0.26^{+33}_{-41}	0.59^{+21}_{-23}	0.28^{+46}_{-62}
SW Europe	$10^{\circ}\mathrm{S}{-}10^{\circ}\mathrm{N}$	$30^{\circ}\text{E}-40^{\circ}\text{E}$	Jul–Sep	0.00^{+0}_{-0}	-0.12^{+57}_{-66}	0.17^{+50}_{-60}
	—	—	Oct–Dec	0.11^{+53}_{-88}	0.45^{+46}_{-35}	-0.07^{+83}_{-51}
Central Europe	$10^{\circ}N$ – $40^{\circ}N$	$45^{\circ}\text{E}-55^{\circ}\text{E}$	Oct–Dec	0.19^{+50}_{-59}	0.53^{+27}_{-49}	0.83^{+13}_{-47}

Table 1: Regions and seasons of known ENSO precipitation teleconnections, and the skill of the three models at lead time +2 months over 1987–2001 starts. The error estimates denote the 95% confidence intervals (times 100), for boldface numbers this interval excludes zero.

level (S1) and 0.01% level (S2); the dependencies will increase these numbers. In contrast, the difference between S1 (16 better) and S2 (23 better) could easily have arisen by chance if both systems had equal skill. The same conclusions hold for the +1 and +3 forecasts (not shown).

Due to the limited number of years, the difference between the skill of the S1(S2) and the STAT model is significant at the 95% level in only 5(4) regions and seasons with strong teleconnections (East Indonesia Aug–Nov and May–Jul, South Pacific Jan–Apr (S1) and May–Jul, Equatorial Pacific Jun–Aug). The STAT model is significantly better in 1(0) region and season (Sri Lanka, Oct–Dec, S1). By chance each of these categories would be expected to have one entry.

Analysis of Table 1 suggests that the lead of the ECMWF models over the statistical model exists at all times of year, but is most dominant in the March–August period, with S1(S2) having higher skill scores than STAT in 9(12) out of 12 forecasts. This is the time when the GCMs are producing substantially better forecasts than the STAT model of ENSO-related SST anomalies, and the dominance is thus unsurprising. The fact that the GCMs appear still to have the advantage at times of year when their SSTs are not better than those of the STAT model, is an encouraging sign of the potential of GCM-based seasonal forecasting. In Sep–Feb 20(20) of the 28 seasons/areas were forecast better by S1(S2) than STAT, which are both significant at the 2% level if all forecasts are assumed independent.

The dynamical models also perform better than the model ENSO teleconnections alone would indicate (not shown). The observed skill at lead time +2 months is larger than the absolute value of the correlation coefficient with modeled Niño3 in 29 cases out of 40 in S1, and in 34 in S2. This confirms the impression from the previous sections that even in areas of known ENSO teleconnections other mechanisms that give rise to seasonal predictability are modeled correctly by the dynamical models.

3 Conclusions

We have compared the skill of the ECMWF seasonal forecast models to that of a simple SST-based statistical model on areas of known ENSO teleconnections over the period 1987–2001. ENSO teleconnections are the main, though not only, source of predictability at these time scales. The correlation coefficient is used as the skill measure. This assumes that the biases in the mean state and variability are known and corrected for. In this article the first-order forecast, the ensemble mean, is used.

The dynamical models forecast SST better than the statistical model in the Pacific, Atlantic and Indian Oceans. Temperature over land is forecast well in northern South America, but the ENSO teleconnections to North America in winter are sufficiently displaced that the 2m temperature forecasts do not show much pointwise skill away from the west coast.

The skill of precipitation forecasts depends very strongly on the region and season considered. With only 15 years of data and small decorrelation scales the chance of finding accidental skill is very high. We therefore restricted ourselves to regions and seasons of known ENSO teleconnections. The importance of ENSO for seasonal forecasting of precipitation is shown by the fact that during El Niño and La Niña the skill of the dynamical model precipitation forecasts (as measured by a spatial correlation, which emphasizes the tropics) is much higher than during neutral conditions.

In South-East Asia and the West Pacific, parts of Australia and the Americas in Dec–Feb the dynamical models forecast precipitation better than the statistical model, due to the inclusion of other SST-weather interactions. In contrast, in Mar–May the teleconnections to the Americas are not forecast well by the GCMs. Also, the (fairly weak) teleconnections to the Indian Monsoon, East Africa and Europe are not reproduced well.

Overall, the ECMWF models are on average significantly better than the statistical model in forecasting precipitation at lead times +1, +2 and +3 months when evaluated in 40 regions and seasons of known ENSO teleconnections, even though the statistical model is based on lagged ENSO regressions. The ECMWF precipitation forecasts are also better than can be accounted for by ENSO teleconnections alone, demonstrating that value is being extracted from the global, comprehensive nature of the forecast system.

And so what do we conclude about the relative merits of dynamical and statistical seasonal forecasts? The first point to make clear is that the statistical models used here, although entirely respectable, may not necessarily be the best that can be constructed, especially on a regional basis – one thinks of the Nord-Este region of Brazil as one example where this may be true. It is very likely to be the case that for some regions, statistical models still have a definite advantage over at least the two dynamical models considered here.

The argument often put forward in favor of GCMs is that they have a (supposedly) clear development path which will lead to improved forecasts in the future. Experience has shown that it is not easy to make big improvements to either the models or the seasonal forecast systems which use them. Nonetheless, models and forecast systems are being improved, and over time this is likely to lead to a transformation in the quality of the GCM-produced forecasts. A key question, which we have addressed in this paper, is whether the 'benefit' of GCMs is something that lies only in a possibly distant future, or is something which is tangible now. We hope we have made clear that although the GCMs are far from uniformly helpful, they have a real contribution to make to practical forecasting today.

As is also concluded from the comparison from ENSO forecasts, dynamical and statistical models both have strong and weak points. More accurate and reliable forecasts can be obtained from a multi-model approach, optimally combining the information from both classes of models.

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