# Characteristics and development of European cyclones with tropical origin

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Abstract Using the Modern-Era Retrospective analysis for Research and Applica-7 tions (MERRA) reanalysis data set for the period 1979-2013, the characteristics of 8 cyclones originating from the tropics that reach western Europe have been analyzed. Q Four different life cycles have been identified, that differ in structure during the trop-10 ical phase, extratropical transition and final development when they reach Europe. 11 The strongest storms that reach Europe are warm seclusion cyclones. They are char-12 acterized by a warm core and a frontal T-bone structure. Rapid deepening occurs in 13 the latest phase, around their arrival in Europe. A recent modeling study that has 14 been reported earlier suggests that warm seclusion storms might become a serious 15 threat for Europe in a warmer climate. The strong similarity between the observed 16 and simulated storms supports the physical arguments for this statement. 17

Keywords Cyclones · North Atlantic · Extratropical Transition · Reanalysis

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## 1 1 Introduction

Hurricane-force winds pose a significant threat to coastal regions, inflicting severe 2 damage to infrastructure and agriculture (Dorland et al, 1999). In the North Atlantic, 3 most hurricanes (> Beaufort 12) originate and stay near the Caribbean sea, the Gulf 4 of Mexico or along the eastern coast of the United States. Only a few hurricanes ac-5 tually reach Europe. The question of whether this will change in the future has been 6 debated. Recent research suggests that climate warming causes a poleward and east-7 ward extension of the hurricane genesis area (Zhao and Held, 2012; Murakami et al, 8 2012). However it is uncertain whether these changes and the increase in sea surface 9 temperatures (SSTs) are large enough to enable hurricanes to enter Europe. Recent 10 studies have shed some light on this debate. Using a high resolution (~25 km grid size) 11 global climate model, Haarsma et al (2013) showed that the frequency of hurricane-12 force winds will increase considerably in Europe by the end of the twenty-first cen-13 tury. They suggested that higher SSTs and extension of the hurricane breeding ground 14 imply that tropical cyclones are more likely to reach the midlatitudes before they dis-15 sipate, which will facilitate reintensification through merging with a baroclinic wave. 16 Baatsen et al (2015) subsequently showed for these model simulations that a large 17 percentage of future cyclones that enter Europe reattain a lower warm core and that 18 a pronounced part of these so-called warm seclusions upholds a sting jet. As these 19 cyclones pose a threat to Europe, it is crucial to assess whether observation-based 20 analysis of the development of the cyclone structure lends further support to the key 21 result of Baatsen et al (2015), specifically that warm seclusion cyclones typically 22 produce the strongest winds. This is the focus of this paper. 23 Concerning structural development, extratropical transition is a major feature in 24 cyclones from low-latitudes to eventually enter Europe. Of the North-Atlantic tropi-25 cal cyclones, 46% undergoes extratropical transition (Hart and Evans, 2001), which 26 includes changes to the structure of the cyclone, such as asymmetries in wind, thermal 27 structure and moisture field (Klein et al, 2000). This transition is the consequence of 28 the interaction of the northward propagating tropical cyclone with the midlatitudinal 29 environment, which may include the presence nearby troughs, increased baroclinic-30 ity, vertical shear, cooler SSTs and strong SST gradients (Jones et al, 2003). 31 Analyses of cyclone life cycles have been done in various ways. For example, 32 Agusti-Panareda et al (2004) described three different stages in their study of hurri-33 cane Irene (1999): the tropical stage, the transformation stage and the extratropical 34 stage (complementary to the two stages used in Klein et al (2000)). This has the po-35 tential of describing different parts of a particular cyclone evolution, but does not 36 qualitatively distinguish one cyclone life cycle from another. Hart (2003) proposed a 37 three-dimensional cyclone phase space using relative thickness symmetry, which is a 38 measure of frontal nature of the cyclone and the vertical derivative of the horizontal 39 height gradient (at two different atmospheric layers), which is a measure of the ther-40 mal wind and thus the thermal nature of the core. Using this phase space analysis, 41 Hart showed the potential to distinguish different cyclone life cycles and therefore to 42 categorize these life cycles. Hart (2003) used NCEP-NCAR reanalyses to examine 43

<sup>43</sup> a large number of storms and subsequently described different kinds of life cycles

<sup>45</sup> using cyclone examples. This paper extends the research on cyclone life cycles, by

focusing on storms that enter Europe. An important question is whether a connection exists between the cyclone life cycle and cyclone strength, and if so, what physical processes are behind this connection.

In this paper the MERRA reanalysis for the period 1979-2013 is used (details of this dataset will be given in section 2). This data set, together with the analysis tools, is described in the methodology section. To distinguish and classify the different life cycles of those cyclones that enter Europe, the phase space analysis of Hart (2003) is used. This is discussed in the methodology (section 2), together with the cyclone tracking and the indices for baroclinic instability. Section 3 contains the actual classification based on the mentioned phase space analysis. In section 4 the structural evolution of the different life cycles is described. Section 5 contains the conclusions and a discussion with respect to the impact of global warming.

## 2 Methodology

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# 2.1 Model specifications

For this study the MERRA reanalysis of the Global Modeling and Assimilation Office (GMAO) has been used, which is conducted with version 5.2.0 of the GEOS-5 ADAS. The resolution of the MERRA dataset is 0.66° longitude by 0.5° latitude and it has 72 vertical layers. For the analysis the vertical resolution has been interpolated to an equidistant resolution of 17 layers of 50 hPa from 1000 to 200 hPa, similar as in Hart (2003). 20

## 2.2 Cyclone detection and tracking

The analysis period is 1979-2013 for the months of August through November, which covers the main hurricane season. Cyclone tracking is done for the region  $100^{\circ}$ W- $40^{\circ}$ E and  $0^{\circ}$ N-90°N. Around local 10 m wind maxima (found using the threshold of  $\geq 14 \text{ m s}^{-1}$ ), the lowest sea level pressure within a  $10^{\circ}$  range is defined as a cyclone center. The threshold of  $\geq 14 \text{ m s}^{-1}$  is intentionally chosen relatively low to prevent gaps in the tracking of cyclone. The  $10^{\circ}$  range around the wind maximum prevents a single storm's location to be counted twice.

To track cyclones, the detection described above will be done at each timestep ( $\Delta t$ 29 = 1h) and the given pressure minima will be automatically linked to a past pressure 30 minimum if it is within  $10^{\circ}$  range of a pressure minimum one timestep later. A newly 31 found pressure minimum may be linked to a past pressure minimum up to 16 hours 32 back. In some cases, corrections had to be done manually, for example if two systems 33 merge or if a cyclone starts following the track of a nearby other storm. These cor-34 rections are based on horizontal 850 hPa equivalent potential temperature ( $\theta_E$ ) and 35 sea level pressure (p) fields. After the tracking of the cyclones the following selection 36 criteria are used: 37

- At least once throughout the cyclone life cycle, the cyclone attains a wind speed  $_{38}$  of at least Beaufort class 8 (>17.2 m s<sup>-1</sup>).  $_{39}$ 

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- The cyclone is tracked over a period of at least three days.
- The cyclone originates from latitudes equatorward of 37.5°N. This criterium selects those of tropical origin. The specific value of 37.5°N is based on the fact that
- <sup>4</sup> extratropical transition occurs at 30°N-35°N during early and late hurricane sea-
- son and at  $40^{\circ}$ N- $50^{\circ}$ N during peak of hurricane season (Hart and Evans, 2001).
- The cyclone reaches Europe, which is defined as 15°W 40°E by 37.5°N 90°N (indicated in Fig. 4).

## <sup>8</sup> 2.3 Phase space analysis

<sup>9</sup> The phase-space analysis by Hart (2003) is used to describe the cyclone structure and its evolution. This analysis involves three parameters: thermal symmetry (*B*) of the cyclone and the lower and upper cyclone thermal wind ( $T_L$  and  $T_U$ ). Below we briefly outline these three parameters. For a more detailed description we refer to Hart (2003). The thermal symmetry *B* is computed by the difference of the geopotential height averaged over two semicircles with a radius of 500 km around the cyclone center:

$$B = h(\overline{Z_{600 \text{ hPa}} - Z_{900 \text{ hPa}}}|_{R} - \overline{Z_{600 \text{ hPa}} - Z_{900 \text{ hPa}}}|_{L})$$
(1)

where *h* is an integer of value +1 for Northern Hemisphere, *Z* the geopotential height and the subscripts *R* and *L* indicate the semicircles right and left of the propagation direction, respectively. Near-zero values of *B* indicate a thermally symmetric or tropical character, while high values indicate a thermally asymmetric character, which is often extratropical. Generally, the threshold for determining whether the cyclone is symmetric or asymmetric is 10 m (Hart and Evans, 2001; Hart, 2003).

The lower and upper thermal wind  $(T_L \text{ and } T_U)$  are computed for the 900-600 hPa and 600-300 hPa layers respectively:

$$T_L \equiv -|V_T^L| = \left. \frac{\partial (\Delta Z)}{\partial \ln p} \right|_{900hPa}^{600hPa}$$
(2)

$$\mathbf{T}_{U} \equiv -|V_{T}^{U}| = \left. \frac{\partial (\Delta Z)}{\partial \ln p} \right|_{600hPa}^{300hPa} \tag{3}$$

where p is pressure and  $\Delta Z = Z_{max} - Z_{min}$ , where  $Z_{max}$  and  $Z_{min}$  are the maximum and 24 minimum geopotential height at a specific pressure level within the 500 km radius of 25 the cyclone center. To get the average value of  $\Delta Z$  over the specified pressure ranges, 26 a linear regression of the  $\Delta Z$  and ln p data is made with a vertical resolution in p of 50 27 hPa. The angle of this linear regression is used as the derivative of  $\Delta Z$  to ln p. Positive 28 (negative) values of  $T_L$  or  $T_U$  indicate cores that are warm (cold) compared to the 29 environment. This is because the cyclone height perturbation ( $\Delta Z$ ) is proportional 30 to the geostrophic wind (Hart, 2003), and the derivative to p then basically is an 31 expression for a scaled thermal wind magnitude, which is positive (negative) for a 32

<sup>33</sup> warm (cold) core.

In addition to these three parameters the cyclone size is computed, which is defined by the mean distance of the gale force wind field edge  $(16.9-18.5 \text{ m s}^{-1})$  towards the center of the cyclone.

#### 2.4 Baroclinic instability

Baroclinic instability is often expressed by the Eady index (Hoskins and Valdes, 1990):

$$\sigma = 0.31 \frac{f}{N} \left| \frac{\partial u}{\partial z} \right|,\tag{4}$$

where *f* is the Coriolis parameter, *u* the zonal wind and  $N^2 = \frac{g}{\theta} \partial \theta / \partial z$  the Brunt-Väisälä frequency in which  $\theta$  is the potential temperature and *g* the gravitational constant. Using the thermal wind balance and changing to pressure coordinates, the Eady index can be rewritten as  $\sigma = 0.31 \frac{g}{N} |\frac{\nabla \theta}{\theta}|$ , where now  $N^2 = -g^2 \frac{\rho}{\theta} \partial \theta / \partial p$  (with  $\rho$  the density). In regions where  $N^2 \leq 0$  there is convective instability.

The Eady index is a measure for the slope of the isentropes (surfaces of constant  $\theta$ ). Vertical displacements in a dry atmosphere are only baroclinically unstable if the slope of their movement is smaller than the slope of the basic state. However, we study systems that include moisture. A consequence of the air being moist is that it reduces the stability, as the air may release latent heat through condensation in the ascending branch of developing baroclinic waves. Therefore, the relevant slopes for instability are those of the equivalent potential temperature ( $\theta_E$ ) which takes into account the change in temperature due to the release of latent heat. For moist systems, such as tropical cyclones, we argue that baroclinic instability is better represented by a moist Eady index  $\sigma_m$  in which  $\theta$  is replaced by  $\theta_E$ :

$$\sigma_m = 0.31 \frac{g}{N_e} |\frac{\nabla \theta_e}{\theta_e}|,\tag{5}$$

where  $N_e$  is the Brunt-Väisälä frequency using the equivalent potential temperature  $\theta_e = \theta \cdot e^{L_v r_s/c_p T}$ .  $L_v$  is the latent heat of evaporation,  $r_s$  the saturation mixing ratio,  $r_s$  the saturation mixing ratio,  $r_s$  the heat capacity of dry air and T the absolute temperature, resulting in  $N_e = \sqrt{-g^2 \frac{\rho}{\theta_e} \frac{\partial \theta_e}{\partial p}}$ .

#### 3 Classification of cyclone life cycles

The cyclone tracking algorithm outlined in section 2.2 on the MERRA data provides a set of 53 cyclones. Originating from latitudes equatorward of 37.5°N, these cyclones encounter different physical circumstances when crossing the North Atlantic and undergo various kinds of structure evolution before reaching Europe. Using the phasespace analysis of Hart, four physically distinct life cycle classes have been identified. These life cycle classes describe the different possible transitions a cyclone may undergo when entering the midlatitudes. In the classification we have been guided by 23

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<sup>1</sup> the known structure evolutions that that have been described in the literature (Hart,

2003; Hart and Evans, 2001; Jones et al, 2003; Maue, 2010). The four classes are

<sup>3</sup> described below.

**Table 1:** Characteristics of the four different life cycle classes, showing number of storms, average pressure minimum and average wind maximum (shown with standard deviations). The last column shows the latitude where they enter Europe (i.e. entering rectangle  $15^{\circ}$ W by  $37.5^{\circ}$ N). The warm seclusion life cycle has been subdivided into two classes (see 4.2). \*ETT is an abbreviation for extratropical transition.

	Class	Amount	$\overline{p_{min}}$ (hPa)	$\overline{v_{max}}$ (m s <sup>-1</sup> )	Enter Europe
a.	Tropical cyclone life cycle	8 (15%)	977±9	$21.9 \pm 1.7$	52°
b.	Extratropical cyclone life cycle	7 (13%)	970±13	$21.5 \pm 2.3$	58°
c.	Classic ETT* cyclone life cycle	10 (19%)	972±7	$22.5 \pm 1.7$	55°
d.	Warm seclusion (WS) life cycle	28 (53%)	963±13	$22.5 \pm 2.4$	53°
	- Extratropical WS life cycle	17 (32%)	967±14	$21.6 \pm 2.2$	51°
	- Tropical WS life cycle	11 (21%)	958±13	$23.9{\pm}2.1$	58°
	- •				
	Total	53 (100%)	968±13	$22.2{\pm}2.1$	54°

<sup>4</sup> 3.1 Symmetric warm-core development: Tropical cyclone life cycle

5 Cyclones with tropical characteristics can be characterized by a thermally symmetric

<sup>6</sup> or non-frontal structure (B < 10 m) and a warm core ( $T_L > 0, T_U > 0$ ). Cyclones of the

7 tropical life cycle start with these characteristics. The lower-troposphere warm core

<sup>8</sup> increases upwards due to sustained convection (Hart, 2003). Combined with subsi-

<sup>9</sup> dence within the eye, a deep warm core (high  $T_U$  and  $T_L$ ) is established, visible at

the start of Fig. 2a. Because, by selection, all systems move across the North-Atlantic into Europe, these cyclones are subject to decreasing SSTs and lose their warm-core

structure. The fact that these cyclones do not develop thermal asymmetry (*B* remains

 $^{13}$  <10 m) can be explained by that they are not subject to strong vertical shear, trough

<sup>14</sup> interaction or baroclinicity. In the early phase of this life cycle, the cyclone's spatial

extent is usually small (200-400 km), which steadily grows in time. The pressure

<sup>16</sup> minimum is in some cases found early in the life cycle during tropical intensification,

<sup>17</sup> but in other cases it is found near the point where the cyclone, after attaining a deep <sup>18</sup> cold-core structure that has been developed over cold SSTs, re-establishes a shallow

cold-core structure thatwarm core.

<sup>20</sup> We find that about 15% of the observed cyclones show this life cycle. These <sup>21</sup> cyclones tend to enter Europe in France and Great-Britain and never attain latitudes <sup>22</sup> higher than 60°N. The average wind speed maximum and average pressure minimum <sup>23</sup> of this class are  $21.9\pm1.7$  m s<sup>-1</sup> and  $977\pm9$  hPa, respectively, which makes this the <sup>24</sup> muchant class of level latitude ariginating for the starting Furger (error Tab. 1)

<sup>24</sup> weakest class of low-latitude originating storms entering Europe (see Tab. 1).



**Fig. 1:** Diagrams showing the composite thermal symmetry (m) and thermal wind at 900-600 hPa  $(m^2s^2kg^{-1})$  for different cyclone life cycle classes: tropical life cycle (a), extratropical life cycle (b), classic ETT life cycle (c), warm seclusion life cycle (d). Composition is made by averaging around the pressure minimum, with the minimum averaging of at least three cyclones. Colors indicate central mean sea level pressure and dot size indicates system size, based on mean radius of gale force winds (17 m s<sup>-1</sup>). Grey shaded lines show all the single life cycles of that class. A 24 h running mean has been used for all graphs. Timestep in composite figures is 6 hours. Capitals B and E in the figures point to the beginning and end of the life cycle. Dashed horizontal line shows the threshold value of B = 10 m.

3.2 Asymmetric cold-core development: Extratropical cyclone life cycle

The cyclones pertaining to the extra-tropical life cycle originate with a cold-core 2 structure ( $T_L < 0$ ,  $T_U < 0$ ) (Fig. 2b) and retain it throughout their development in 3 combination with a strong thermal asymmetry (B>10) (Fig 1b). Although coming 4 from below 37.5 N, these are the characteristics of an extratropical cyclone. Often 5 visible in these cyclone life cycles is an increase of the cold-core structure in an early 6 phase, which is reflected by  $T_L$  becoming increasingly negative. The middle- and 7 upper-tropospheric height gradients above the surface cyclone then intensify (isobaric 8 heights decrease) more rapidly than near the surface, leading to an increasing cold-9 core cyclone signature. With B increasing, thermally direct circulation dictates that 10 cold air is advected in the rear of the cyclone and warm air is advected further north, 11 sustaining the strongly negative  $T_L$ . This is often followed by an increase of  $T_L$  as can 12 be seen in Fig. 1b and Fig. 2b, where also an increase in pressure is clearly visible. 13 For detailed analyses of this life cycle, see Hart et al. (2003). Occlusion (following the 14



Fig. 2: As Figure 1 but now for the thermal wind at 900-600 hPa and at 600-300 hPa for different cyclone life cycles.

<sup>1</sup> Norwegian cyclone model) of the cyclone fronts can be recognized by re-establishing

<sup>2</sup> thermal symmetry in the latter phase of the cyclone life cycle. If the cyclone does

<sup>3</sup> not interact with another trough or is not subject to increased surface fluxes, further

4 intensification stops and the cyclone decays. The radius of the system (mean gale

force wind radius) is relatively large throughout the extratropical cyclone life cycle
 (Fig. 2b).

7 About 13% of analyzed cyclones endure an extratropical life cycle. These cy-

8 clones tend to penetrate further north than cyclones with a tropical life cycle, reaching

<sup>9</sup> Europe at the northernmost point of Great Britain or even near Iceland. The average

wind speed maximum and average pressure minimum of this class are  $21.5\pm2.3$  m

 $s^{-1}$  and 970±13 hPa, respectively, which makes these storms of moderate strength

 $_{12}$   $\,$  among the low-latitude originating storms entering Europe (see Tab. 1).

## <sup>13</sup> 3.3 Extratropical transition: Classic ETT cyclone life cycle

<sup>14</sup> The first two classes mentioned above (tropical and extratropical cyclone life cy-

<sup>15</sup> cles) are called conventional or single phase life cycles as they do not undergo major

<sup>16</sup> phase transitions in thermal symmetry and thermal wind (Hart, 2003). The remaining

two classes do undergo major phase transitions and differ in the experienced forcing mechanisms throughout their life cycle.

One of these major phase transitions is extratropical transition (ETT), changing 3 the warm-core symmetric structure of a tropical cyclone into the cold-core asymmet-4 ric structure of an extratropical cyclone (Jones et al, 2003). The tropical origin is 5 visible in Fig. 1c, with near-zero or even negative values of B and positive values of 6  $T_L$ . This is called the tropical stage. One would expect to find positive values of  $T_U$ 7 , too, which is on average not the case (Fig. 2c). This depends on the maturity of the 8 tropical cyclone. Some do start with a positive  $T_U$ , while others do not as can be 9 seen from the individual life cycles in Fig. 2c. In this tropical stage, the cyclone ra-10 dius is relatively small but slowly increasing, similar to the early phase of the tropical 11 (conventional) life cycle. We adopt the criterion Hart (2003) proposed for the onset 12 time of the ETT, when B exceeds 10 m, which corresponds to the cyclone entering 13 a baroclinic environment (Hart, 2003; Klein et al, 2000). The value of B = 10 m is 14 marked in Fig. 1c. 15

After the ETT, in the second transformation or hybrid stage, the cyclone interacts 16 with its new environment, which usually consists of merging with an extratropical 17 system or upper-level trough. During this stage, the tropical cyclone generally de-18 velops an increased translation speed. In the early stages of ETT, the cyclone tends 19 to weaken first (Hart and Evans, 2001), which could be attributed to the interaction 20 between the cyclone and an upper-level trough, as this is associated with high vertical 21 wind shear. The decrease in intensity of the cyclone also depends on the inner-core 22 convection evolution by the environmental changes (Jones et al, 2003). Important 23 changes after an ETT are the loss of organized convection in the inner core, the 24 increase in translation speed, the loss of upper-level outflow circulation, increased 25 frontogenesis, cyclone vertical tilt, asymmetry in the precipitation, moisture and tem-26 perature fields and the expansion of the gale force winds area (Hart and Evans, 2001). 27 Throughout the ETT, some cyclones directly attain a lower cold core and a thermally 28 asymmetric structure (B >10 m; Fig 1c). However, ETT as it is described in Hart 29 (2003), implies first a transition to the upper-right quadrant in Fig. 1c. The the indi-30 vidual cyclone life cycles depicted in grey reveal that indeed many of them undergo 31 this transition. This quadrant refers to the so-called hybrid stage, where a lower warm 32 core ( $T_L > 0$ ) pertains in a baroclinic environment (B > 10 m). The latent heat release 33 possible in the warm-core structure of the cyclone during this phase, may contribute 34 to a deepening. The end time of the hybrid stage ( $T_L$  becomes negative) is some-35 times referred to as the end of the ETT (Hart and Evans, 2001). The duration of 36 the hybrid stage differs among cyclones. The extratropical stage contains the decay 37 and sometimes a reintensification of the cyclone. Both weak and strong cyclones can 38 reintensify, but weak cyclones need to have a smaller duration of the hybrid stage for 39 them to survive longer. The pressure evolution is therefore determined by weakening 40 in an early phase of the ETT, the intensification during the hybrid stage (of cyclones 41 that have one), and possible reintensification after the ETT (see Fig. 1c). Cyclones of 42 this life cycle fade as cold-core, thermally asymmetric cyclones. 43

About 19% of the observed cyclones follow this life cycle. The tracks of these cyclones start relatively deep in the tropics. They usually arrive in Europe around Great Britain. The average wind speed maximum and average pressure minimum of 46

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<sup>1</sup> cyclones of this class are 22.5 $\pm$ 1.7 m s<sup>-1</sup> and 972 $\pm$ 7 hPa, respectively, which is <sup>2</sup> relatively weak (Tab. 1).

<sup>3</sup> 3.4 Transition to warm seclusion: Warm seclusion life cycle

Finally, more than 50% of the storms undergo another major transition and become 4 what is known as a warm seclusion storm. A warm seclusion occurs, when during 5 the bending of the cold and warm front, part of the cold front gets separated from the 6 cyclone center and propagates eastwards, perpendicular to the warm front (Shapiro and Keyser, 1990). This process is called a frontal T-bone fracture. This is associated 8 with trapping of warm air (the so-called bent-back warm front) in the core of the 9 cyclone. A large part of the analyzed cyclones that attain a warm seclusion structure 10 start with a warm  $T_L$  and a symmetric structure as seen in Fig. 1d and 2d. In their 11 path towards Europe they lose their warm core structure and become asymmetric. 12 During this transition they weaken. What distinguishes this life cycle from the clas-13 sic ETT life cycle is that after this transition, due to the seclusion, a warm core is 14 re-established again. This is caused by the release of latent heat and advection within 15 the warm conveyor belt. The warm seclusion is often accompanied by a rapid inten-16 sification because both baroclinic processes and latent heat release contribute to the 17 development of the storm. The average deepening is about 30 hPa in two days. The 18 minimum pressure is attained when the warm seclusion is completed. Thereafter the 19 cyclone tilt disappears and they lose their warm core before they fade away. This 20 structure development is dominant for the storms that reach Europe. Moreover, the 21 warm seclusion life cycle class contains the strongest storms that originate in the 22 tropics. Eight out of the ten strongest storms are all warm seclusion storms. Also, 23 on average they are the strongest storms with an average wind speed maximum of 24  $22.5\pm2.4$  m s<sup>-1</sup> and average pressure minimum of  $963\pm14$  hPa. 25

## 26 3.5 Summary

27 We have divided the cyclones that reach Europe and originate from lower latitudes

<sup>28</sup> in four different classes based on the characteristic pathways in the Hart diagrams.

<sup>29</sup> Each of these classes describes a physically different life cycle. The life cycles of

 $_{30}$  the individual cyclones shown in Fig. 1 and 2, support this classification. The four

possible life cycles are schematically represented in Fig. 3, and correspond to the

<sup>32</sup> physical processes and transitions that cyclones may experience when they enter the

<sup>33</sup> midlatitudes with colder SSTs.

The minority of the cyclones experience small structure transitions (tropical and extratropical life cycle, 28% in total), but the majority (72%) undergoes major transi-

<sup>36</sup> tions in cyclone structure like extratropical transition and warm seclusion. Intensifica-

<sup>37</sup> tion of these cyclones mainly appears in the form of a reintensification at midlatitudes

<sup>38</sup> while warm seclusion intensification generates the lowest pressure values.



Fig. 3: Schematic picture showing the different cyclone stages within the cyclone life cycles. The blue line represents the extratropical life cycle, brown the tropical life cycle, green the extratropical transition life cycle and red the warm seclusion life cycle. Dashed line represents the threshold value of B = 10 m.

## 4 Comparison of life cycles in structure and development

Below we will further investigate the structure and the development of the four different classes. Because the warm seclusion storms are the largest and strongest of the four classes that reach Europe, thereby being a potential risk for society, we will focus on the differences of these storms with respect to the other classes. The relative importance of warm seclusion storms is also motivated by the study of Baatsen et al (2015), which suggests that those storms will increase in frequency and strength in a future warmer climate, arriving in Europe possibly with hurricane force wind.

This section will first elaborate on the cyclone structure, followed by an analysis of the development of  $\theta_E$  at 850 hPa, sea level pressure and the Eady growth parameter  $\sigma_m$  at 500 hPa.

## 4.1 Cyclone structure

The cyclone structure is analyzed at the pressure minimum point in time because it is 13 both a physical well-founded point in the life cycle to compare different cyclones and 14 because the warm secluding process is usually just prior to the pressure minimum, 15 which will allow for the warm seclusion features to be visible at this point. Figure 4 16 shows the trajectories of the warm seclusion cyclones together with the location of the 17 minimum pressure. It reveals that the minimum pressure is mostly attained during the 18 later phase of their life cycle, and often within the European domain. The composite 19 tracks of the four different life cycles (lower right panel Fig. 4) reveal that the warm 20 seclusion storms have longest tracks starting in the deep tropics and penetrating far 21 into Northern Europe. 22

Figure 5 shows the composite structures of the four cyclone life cycles at the time when central sea level pressure is at a minimum. A first observation is the overall lower  $\theta_E$  in the warm seclusion panel with respect to other classes, which is mainly because of the relatively late moment and more northward location at which these cyclones intensify. Comparing the  $\theta_E$  structure of these cyclones further with that

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**Fig. 4:** Tracks of the warm seclusions. Large white dots marked with *P* indicate the points of minimum pressure. Colors indicate pressure. Hourly data is shown, with a running mean of 24 hours. The frame on the lower right shows the composite (24 h running mean-) tracks of the different life cycles: tropical life cycle (brown), extratropical life cycle (blue), classic ETT life cycle (green), extratropical warm seclusion life cycle (red). Averaging done around the point at which the tracks enter Europe with a minimum amount of three cyclones. Notable is that the average extratropical life cycle (blue in lower right panel) does not cross 37.5°N, which is due to the high track variability in the individual tracks.

of other cyclone life cycles, the main characteristics are the consistency of the fig-1 ure, the curled warm conveyor belt (WCB), the eastward progressing cold front and 2 the vertically stacked wind pattern, indicated here by the colocation of the maxi-3 mum windspeed at 10 m, 850 hPa and 250 hPa. The smoothness of Fig. 5d points to 4 the consistency in the structure of warm seclusions at their highest intensity, which 5 is not the case for the other life cycles. Additionally, the curled WCB displaying a 6 well-defined comma shape is also quite unique to the warm seclusion life cycle. The 7 eastward progressing cold front in Fig. 5d is more strongly present than in any other 8 cyclone life cycle pressure minimum. In analogy with the curled WCB, the cold front 9 progresses far east, which is characteristic for the T-bone fracture. The intensity of 10 the cyclone at this point in time, the far propagated low- $\theta_E$  region and vertically ho-11 mogeneous eastward winds south of the cyclone may point to the presence of a dry 12 intrusion. 13

#### <sup>14</sup> 4.2 Development of $\theta_E$ , *p* and $\sigma_m$

- <sup>15</sup> The variables  $\theta_E$ , sea level pressure and the moist Eady growth rate  $\sigma_m$  at the cy-
- <sup>16</sup> clone core provide information about the development of the cyclone and the specific



**Fig. 5:** Composites of equivalent potential temperature (shaded in K) at 850 hPa in horizontal crosssections of cyclone cores during the time when the central sea level pressure is at its minimum. The four panels show the different life cycles: tropical life cycle (a), extratropical life cycle (b), ETT life cycle (c) and the warm seclusion life cycle (d). Thick contour lines show wind speed: 14 m s<sup>-1</sup> at 10 m (black), 25 m s<sup>-1</sup> at 850 hPa (white) and 30 m s<sup>-1</sup> at 250 hPa (gray). Thin contour lines show contours of 0.5 m s<sup>-1</sup> at 10 m (black) and 2 m s<sup>-1</sup> at 850 and 250 hPa (white and gray). Horizontal and vertical axes show distance w.r.t. the cyclone center in km.

phases the cyclone is in. High values of  $\theta_E$  allow for stronger latent heat driven deepening as occurs in tropical cyclones, while  $\sigma_m$  is a measure of baroclinic instability, which is the major energy source for extratropical cyclones.

More than half of the warm seclusion storms, although originating south of  $37.5^{\circ}$ N, 4 do not start with a tropical structure that is characterized by a warm core and a nonfrontal structure, but already possess extratropical characteristics. Although they are almost indistinguishable in their final warm seclusion structure, the different origin has implications for their ultimate pressure minimum and the development of  $\theta_E$ and  $\sigma_m$ . We therefore divided the warm seclusion life cycle in two sub-cycles: warm



**Fig. 6:** Development of  $\theta_E$  at 850 hPa (a), sea level pressure (b), the moist Eady growth rate  $\sigma_m$  at 500 hPa (c) and 10 m wind speed (d) at the cyclone center for every life cycle class. Averaging done around the minimum central sea level pressure along the cyclone track, with a 12 h running mean. The grey bar shows the moment at which these storms (in average) arrive in Europe, and the small vertical colored bars show the moment at which cyclones from that particular class in average cross 37.5° latitude. Averages of at least three cyclones are shown. The colors are analogous to the lower right frame in Fig. 4.

seclusions that originated as tropical cyclones, i.e. following ETT, called tropical
 warm seclusions, and warm seclusions that originated as extratropical cyclones, i.e.

<sup>3</sup> without ETT, called extratropical warm seclusions.

Figure 6a shows the  $\theta_E$  development of the cyclone life cycles. Cyclones that originated as tropical cyclones start with higher values of  $\theta_E$  than their extratropical originating counterparts. The tropical warm seclusions lose rather much of their  $\theta_E$ when they enter Europe. However, together with the extratropical warm seclusions they survive longest in Europe, up to more than 5 days. The large reduction of  $\theta_E$  of the tropical warm seclusions could be explained by the fact that they travel relatively high northward.

The sea level pressure development for each cyclone life cycle is shown in Fig.6b. 11 It reveals that both warm seclusion life cycles have their pressure minimum latest of 12 all, about a day after entering Europe, while other cyclone life cycles have this min-13 imum close to the time they enter Europe. Striking is the strong deepening rate of 14 the warm seclusions, both starting a day prior to the entering of Europe. The tropical 15 warm seclusion storms attain the lowest pressure with an average value of about 960 16 hPa. Notable is the extensive length of the tropical warm seclusion cycle prior to en-17 tering midlatitudes. Together with the extensive lengths of the extratropical transition 18 life cycle and the tropical life cycle this is in clear contrast with the short tropical 19 history the two other life cycles have; the extratropical warm seclusion life cycle and 20 the extratropical life cycle. This confirms the rather different origin these cyclones 21

have, although they all come from latitudes lower than 37.5°N. In Fig. 6b and d, for the tropical warm seclusions, a slight minimum in pressure and maximum in wind speed can be distinguished roughly 6 days prior to the entering of Europe. This may refer to the tropical intensification of these cyclones prior to entering midlatitudes, but the signal is diffused due to the averaging of the individual evolutions. The midlatitude intensification and the loss of  $\theta_E$  in tropical warm seclusions occur roughly at the same time, perhaps both influenced by ETT, which increases cyclone speed and therefore the rate at which its environment becomes colder. This may also enhance the intensification.

Figure 6c shows the moist Eady growth parameter  $\sigma_m$ . Being a measure of baro-10 clinic instability, an increase of it after the entering of midlatitudes is expected. Re-11 markable is the increase in  $\sigma_m$  of the tropical warm seclusions around their arrival in 12 Europe, reaching the highest values during their lowest core sea level pressure. This 13 indicates that moist baroclinic instability increases during ETT and the forming of 14 the warm seclusion for this cyclone life cycle. A similar behavior is observed for the 15 extratropical warm seclusions. Having these maxima in  $\sigma_m$  near their moments of 16 highest intensity, makes the warm seclusions rather unique with respect to other cy-17 clone life cycles, which do not have such maxima. It points toward the important role 18 of moist baroclinic instability for the warm seclusions during their reintensification 19 and being responsible for their relative strength. 20

## **5** Summary and Conclusions

Using the MERRA reanalysis for the period 1979-2013 we have analyzed the char-22 acteristics of 53 cyclones originating from the tropics that reach Europe. These char-23 acteristics have been classified into four classes based on the pathways in the phase 24 space diagrams of Hart (2003). The classes describe the transitions cyclones undergo 25 and the characteristic phases such as tropical, extratropical or hybrid they experience. 26 These life cycles vary in trajectory and intensity. Of those four classes, warm seclu-27 sions obtain the highest intensity in pressure and wind speed. At the time of their 28 minimum sea level pressure, warm seclusions reveal a consistent structure consisting 29 of a far eastward moving cold front, a northwestward curling WCB and the effects of 30 dry intrusion. These features are almost non-existent in the other cyclone life-cycle 31 types investigated in this study. Of the warm seclusions the subclass of tropical warm 32 seclusion storms attain the lowest pressure and show the fastest re-deepening rates. 33 Both baroclinic instability and release of latent heat contribute to the strong reinten-34 sification of these storms. The pressure minima of warm seclusions occur relatively 35 late comparing to other cyclone life cycles, about a day after entering Europe adding 36 to the potential threat for Europe of these systems. 37

Baatsen et al (2015) have suggested in a model study that tropical warm seclusions are the strongest tropical storms of the ones that reach Europe. Our observational study confirms this hypothesis. The structural development of the observed storms reveals large similarity to that described in Baatsen et al (2015) (compare for example Fig. 4d of this article with Fig. 4a of the mentioned paper). According to these authors, the frequency and intensity of tropical warm seclusions will signif-

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<sup>1</sup> icantly increase in a warmer climate, with some of them reaching hurricane force

<sup>2</sup> when they enter Europe. The large similarity between the observed and modeled

- <sup>3</sup> storms for the present climate increases the credibility of the projections made by
- <sup>4</sup> Baatsen et al (2015), although many uncertainties remain, such as for instance the
- <sup>5</sup> impact of mixing with the subsurface ocean resulting in cooler SSTs, which was not
- <sup>6</sup> modeled in the AMIP simulations of Haarsma et al (2013).
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