

**Mechanisms behind the temporary shutdown of deep convection
in the Labrador Sea: Lessons from the Great Salinity Anomaly
years 1968-1971**

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ABSTRACT

From 1969 to 1971 convection in the Labrador Sea shut down, thus interrupting the formation
10 of the intermediate/dense watermasses. The shutdown has been attributed to the surface
freshening induced by the Great Salinity Anomaly (GSA), a freshwater anomaly in the
subpolar North Atlantic. The abrupt resumption of convection in 1972, in contrast, is
attributed to the extreme atmospheric forcing of that winter. Here we use oceanic and
atmospheric data collected in the Labrador Sea at Ocean Weather Station Bravo and a one-
15 dimensional mixed layer model to examine the causes of the shutdown and resumption of
convection in detail. These results highlight the tight coupling of the ocean/atmosphere in
convection regions and the need to resolve both components to correctly represent convective
processes in the ocean. They are also relevant to present-day conditions given the increased
ice melt in the Arctic Ocean and from the Greenland Ice Sheet. Our analysis shows that the
20 shutdown was initiated by the GSA-induced freshening as well as the mild 1968-1969 winter.
After the shutdown had begun, however, the continuing lateral freshwater flux as well as two
positive feedbacks (both associated with the sea-surface temperature (SST) decrease due
to lack of convective mixing with warmer subsurface water) further inhibited convection.
First, the SST decrease reduced the heat flux to the atmosphere by reducing the air-sea
25 temperature gradient. Second, it further reduced the surface buoyancy loss by reducing the
thermal expansion coefficient of the surface water. In 1972 convection resumed both because
of the extreme atmospheric forcing and advection of saltier waters into the convection region.

1. Introduction

In the northern North Atlantic the winter heat loss from the ocean to the atmosphere is
30 so extreme that in certain areas, notably the Labrador Sea and the Nordic Seas, the water
column becomes statically unstable and convectively mixes surface water downwards to form
dense water masses (Marshall and Schott 1999). These convectively-formed dense water
masses feed the lower limb of the Atlantic Meridional Overturning Circulation (AMOC).
Contrary to the classical view (e.g. Stommel 1961), the current understanding is that dense
35 water formation does not act as a driving force for the AMOC (Marotzke and Scott 1999;
Kuhlbrodt et al. 2007), but that it is essential for setting its shape and strength and the
variability therein (Kuhlbrodt et al. 2007).

The AMOC is responsible for a northward heat transport of the order of 1 PW (1 PW =
10¹⁵ W; Ganachaud and Wunsch 2000) and therefore plays an important role in the climate
40 system. Major abrupt climate changes in the past have been attributed to large changes in
the AMOC (Broecker et al. 1985; Broecker 1997; Clark et al. 2002; Alley et al. 2003), and a
shutdown of the AMOC would have significant consequences for the oceanic heat supply to
the North Atlantic region. As argued by Kuhlbrodt et al. (2007), the strength of the AMOC
is set by dense water formation processes, and models show a strong correlation between the
45 variability in deep Labrador Sea convection and AMOC variations on interannual to decadal
time scales (Eden and Willebrand 2001; Biastoch et al. 2008). Both in modern times and in
past and future climate scenarios, a slowdown or collapse of the AMOC is typically associated
with a reduction of convection in the North Atlantic. In order to accurately simulate AMOC
variability and its consequences for climate, it is thus very important to understand what

50 causes deep convective variability in the Labrador Sea. In this paper we study the details of the extreme case of a complete convective shutdown.

Two mechanisms are often proposed in literature as a potential cause of a shutdown of deep convective activity in the Labrador Sea: (1) a reduction in the heat (buoyancy) loss to the atmosphere which drives deep convection and (2) a convergence of buoyant (typically 55 fresh) water in the convection region due to advection by the ocean circulation. Variations in the heat loss have generally followed the phase of the North Atlantic Oscillation (NAO) for at least the length of the instrumental records (Curry et al. 1998; Yashayaev 2007). In the early 1990s, for example, the deepest convection on record (up to 2400 m) was observed in the Labrador Sea when the NAO index was high for several years. The convergence of 60 buoyant water, on the other hand, is associated with a lateral influx from the boundary currents surrounding the Labrador Sea (Straneo 2006a). Variations in the boundary current characteristics, either due to changes in the freshwater carried at the surface or in the warm, salty Irminger water found below it, can thus also influence convective activity (Lazier 1980; Dickson et al. 1988; Curry et al. 1998; Häkkinen 1999; Houghton and Visbeck 2002; Mizoguchi 65 et al. 2003; Straneo 2006a). Many studies on the distant past, recent history as well as future scenarios point to large freshwater anomalies as means of shutting down convection and affecting the AMOC, but the details on how this happens are unclear.

A well-known example of the second mechanism in recent history, which could shed more light on how fresh water anomalies cause deep convection to shut down, occurred when the 70 Great Salinity Anomaly (GSA; Dickson et al. 1988), a low salinity signal, passed through the Labrador Sea in the late 1960s and early 1970s and restricted convection to the upper ca 300 m (Lazier 1980). This event, however, also coincided with a low NAO period raising

the question of how mild winters may have contributed to the shutdown. In the early 1980s convection was also strongly reduced by a fresh water anomaly (Belkin et al. 1998), yet
75 this occurred during a high NAO period (Curry et al. 1998). Several model studies have been carried out with the aim of determining the dominant factor of the two in shutting down convective activity in the Labrador Sea during the GSA, but the results are conflicting (Häkkinen 1999; Haak et al. 2003; Mizoguchi et al. 2003).

The GSA is a particularly interesting case in recent history as deep convection was
80 completely shut down for three winters in a row. In 1968 the GSA entered the Labrador Sea and caused a substantial freshening of the surface layer, increasing the ocean stratification. During the three following winters, all particularly mild, the convection depth did not exceed the extent of the fresh surface layer. It was not until the winter of 1971/1972 (hereafter we will refer to this winter as 1972), one of the harshest winters on record in this region (Uppala
85 et al. 2005, see also Figure 8), that deep convection resumed to 1500 m depth. The traditional view (e.g. Dickson et al. 1988) is that the large fresh surface anomaly of the GSA increased the ocean stratification and thereby inhibited convective mixing, after which the very harsh winter of 1972 made convection resume. Curry et al. (1998) noted that the mild winters could have played a role as well in shutting down convection, but stated that the phase of
90 the NAO was of minor importance based on the notion that the low-salinity event which restricted the convection depth in the 1980s coincided with a high NAO period. Yet, to date, the exact mechanism by which convection shut down has not been identified.

Here we examine in depth the relative contribution of the mild winters and of the surface freshening in shutting down convection from 1968 to 1971. Furthermore, we analyze an
95 important feedback of the presence of the GSA on the surface buoyancy flux. Under typical

deep convection conditions, warm subsurface water is mixed upwards, keeping the surface water relatively warm and enhancing the air/sea temperature gradient and, thus, the surface heat loss. On the other hand, if no deep convection occurs the surface becomes anomalously cold. Colder water is denser, which could in theory facilitate convection, but because of the larger impact of a low salinity on the water density this does not occur. The low temperature of the water also decreases the surface heat flux, which depends on the temperature gradient between the relatively warm ocean and the cold atmosphere. Moreover, it limits the surface buoyancy flux by affecting the thermal expansion coefficient, which is smaller for lower temperatures. These observations suggest that once convection has stopped, its resumption becomes increasingly more difficult. This is not only because of the increasing stratification of the ocean (as been noted before; Dickson et al. 1988), but also because the surface ocean properties actively decrease the magnitude of the surface buoyancy flux. Thus in order to understand the full impact of freshening on deep convection - an important current topic with the increasing ice melt rates in the Arctic region (Maslanik et al. 2011; Kwok et al. 2009; Rignot et al. 2011) - a more quantitative understanding of these feedbacks is required.

To address these questions we use the oceanographic data set from Ocean Weather Station Bravo (hereafter OWS Bravo), which comprises frequent oceanographic measurements taken from 1964 to 1974 along with the usual atmospheric observations (Lazier 1980). This data set has, fortuitously, carefully documented the only complete shutdown of deep convection in the Labrador Sea in the past decades. We also investigate the causes of the return of deep convection in the winter of 1972. By unraveling the details of this particular event we hope to shed light on the mechanisms leading to both a shutdown and a return of deep convection, which will help to understand past and future climate scenarios involving

convective shutdowns.

120 The paper is structured as follows. In sections 2 and 3 the observational data used in this study are presented (the hydrographic observations in section 2 and the air-sea fluxes in section 3). These data are carefully analyzed in section 4 to assess the relative importance of the mild winters versus the low surface salinity in the shutdown of deep convection in the winters of 1969 to 1971. First, in section 4a we discuss the increasing stratification that is
125 traditionally assumed to be responsible for the absence of deep convection in these years. Then, using bulk formulas, in section 4b the impact of the low sea surface temperature on the surface buoyancy fluxes is analyzed, which could have played a role in the persistence of the non-convective state (through the surface feedbacks). Also, the effect of the mild winters on the surface buoyancy flux is quantified in this section. Finally, the actual impact of the
130 ocean surface feedbacks and the mild winters on the convection depth are quantified using a simple 1D mixed layer model in section 4c. In section 5 the same model is used to investigate the return of deep convection in 1972. The results presented in this study are summarized and discussed in section 6.

2. Hydrographic characteristics at OWS Bravo

135 The oceanic part of the OWS Bravo data set (Figure 1) comprises 11 years of year-round, relatively high-frequency oceanographic measurements, from January 1964 to September 1974 (Lazier 1980). The sampling rate during this period varied between 6 hours and 2 months. Here we use monthly averages of the data interpolated to standard depth levels (Kuhlbrodt et al. 2001). Linear interpolation was used for months when data were missing.

140 The upper 1500 meters in the interior Labrador Sea broadly consist of three layers (Straneo 2006a,b; Yashayaev 2007). The upper layer, which typically occupies the upper ca. 200 m, is fed by the fresh and cold boundary current water of Arctic origin found on the continental shelves. The lower boundary of this layer is indicated in Figure 1 by the thick gray line, which represents the $S = 34.75$ psu isohaline¹. Below that layer resides a relatively warm and saline layer, which is typically found between ca. 200 and 800 m depth. 145 It obtains its properties from the Irminger Current that carries water of subtropical origin, and encircles the basin while it follows the continental slope. In Figure 1 this layer is found between the thick gray line and the thick black line. The latter represents the $\sigma_\theta = 27.72$ kg m⁻³ isopycnal, which marks the upper boundary of the Labrador Sea Water (LSW) layer 150 (Straneo 2006a). Note that the results we will present are not very sensitive to the exact values of the dividing isohaline and isopycnal.

The first five years and the last three years of the time series in Figure 1 show a clear seasonal cycle. In winter the water is convectively mixed to one homogeneous layer² of several hundred meters or more. During spring and summer, the water column is restratified

¹The oceanographic community is currently moving towards the use of a new equation of state, TEOS10 (IOC et al. 2010), in which the practical salinity is replaced by absolute salinity. For easier reference to earlier literature on OWS Bravo data and as the difference between practical and absolute salinity is negligible in the Labrador Sea (McDougall et al. 2009), we used psu throughout this paper.

²As in Lazier (1980), the mixed layer depths in Figure 1d are based on a subjective estimate of the depth to which cold and fresh surface water was mixed downward, i.e. to the depth to which convective mixing appeared to have influenced the temperature and salinity. The values are all within 100 m of Lazier's MLD estimates (Lazier 1980), except for 1973 for which Lazier's estimate is 600 m shallower, and 1974, for which no winter estimate was given. The reason for Lazier's low estimate for 1973 is unclear, as his Figure 4 clearly shows similar cooling at 1500 m depth in the winters of 1972 and 1973.

155 and the three layers reappear. In the winters of 1969, 1970 and 1971, however, no deep convective mixing was observed (Figure 1d). This period coincided with the time when the GSA passed through the Labrador Sea as seen by the large freshening of the surface layer (Figure 1a). During this period a thickening of the upper two layers is observed, with cold and fresh water accumulating in the surface layer and the subsurface waters becoming
160 increasingly warmer and saltier (Figures 1a and 1b). The result was a rapid increase in the stratification during these years (Figure 1c).

3. Air-sea fluxes

Besides the stratification and water properties described in the previous section, the magnitude of the surface buoyancy flux from the ocean to the atmosphere has a decisive
165 influence on the variability of deep convection. The surface buoyancy flux consists of a surface heat flux and a surface fresh water flux component. Although estimates of the fresh water flux contribution vary due to large uncertainties in the precipitation data (Sathiyamoorthy and Moore 2002; Straneo 2006a), Myers and Donnelly (2008) clearly show this term to be an order of magnitude smaller than the heat flux contribution. Moreover, the fresh water
170 flux contribution is such that it adds buoyancy to the ocean surface and thereby inhibits convective mixing (Sathiyamoorthy and Moore 2002; Straneo 2006a; Myers and Donnelly 2008). Thus, the heat flux is the dominant contributor to the surface buoyancy loss in winter. The magnitude of the heat flux and its efficiency in extracting buoyancy from the ocean, in turn, depend on the sea surface conditions. Therefore, these are briefly discussed
175 below before we look at the heat fluxes.

a. Conditions at the air-sea interface

The sea surface salinity (SSS) time series (Figure 2a) shows a clear seasonal cycle with maximum SSS around March and minimum value around October. This is a result of the convergence of fresh water from remote oceanic sources, precipitation, and vertical mixing
180 into the saline subsurface layer in winter (Kuhlbrodt et al. 2001; Houghton and Visbeck 2002; Schmidt and Send 2007). After the winter of 1968 the SSS strongly decreased due to the GSA. The freshening continued up to early 1972, when winter convective mixing with the salty subsurface layer restored the SSS towards the pre-GSA level.

The sea surface temperature (SST) and surface air temperature (SAT) display a clear
185 seasonal cycle as well³ (Figure 2b). In summer, the SST and SAT are very similar and show little interannual variability. In contrast, wintertime SATs are generally much lower than the SSTs. The 3-hourly SAT values are highly variable and the low-passed SATs vary by as much as 7°C between winters. Generally, the winters with the lowest SATs were winters with deep convection (e.g. 1967 and 1972), while winters with relatively high SATs (e.g. 1966 and
190 1969) were associated with shallow convective mixing (see also Figure 1). The wintertime SSTs, on the other hand, are much less variable with differences on the order of 1 or 2°C between winters. In contrast to the SAT time series, deep convection winters have relatively high SSTs due to convective mixing with the warm subsurface layer, while during winters when convection was very shallow the SSTs declined (Figure 3). Thus, in the absence of
195 deep convection both the SSS and SST steadily decrease during winter. Convective mixing

³Atmospheric measurements and sea-surface temperature (SST) observations were taken at OWS Bravo every 3 hours and thus had a much higher frequency than the deep oceanographic observations. From the COADS data base we retrieved the data from 1964 to 1972. The data from 1973 and 1974 were not available.

with the saline and warm subsurface layer levels off this trend for SST and even reverses it in the SSS time series.

b. Heat fluxes

The surface heat flux is the sum of the sensible heat flux, the latent heat flux, the short
200 wave incoming radiation and the net outgoing long wave radiation. During winter the heat
flux in the Labrador Sea is dominated by the sensible and latent heat flux components
(Figure 4; note that we use ERA40 reanalysis product as according to Renfrew et al. (2002)
these fluxes are within the bounds of observational uncertainty). Like for the SAT time
series (Figure 2b), the deep convection winters are associated with a large heat flux (1965,
205 1967, 1968, 1972, 1973 and 1974; note that we will not include 1973 and 1974 in the analysis
later on because we do not have the 3-hourly data for these two years). Contrary, the three
years without deep convection (1969 to 1971) are associated with a remarkably small heat
flux. On average, the mean heat flux over the winter months (December to April) in years
with deep convection (193 W m^{-2}) is about 70% larger than in the winters without deep
210 convection (113 W m^{-2}), 56% of which is due to a change in sensible heat flux, 33% due to
latent heat flux and 11% due to changes in the radiative fluxes.

4. Absence of deep convection in 1969-1971

In the winters of 1969 to 1971 convective mixing was restricted to the upper 200 meters.
The absence of deep convection in these winters is generally attributed to anomalously low

215 surface salinity due to the GSA (Dickson et al. 1988; Curry et al. 1998), but the details of
the process have never been quantified. A low surface salinity inhibits deep convection in
two ways ('Ocean' box in Figure 5): (1) by increasing the stratification (Dickson et al. 1988,
here discussed in section 4a) and (2) while deep convection is shut down, by decreasing the
surface buoyancy flux (section 4b). The latter effect has been mostly neglected in literature
220 and is shown here to have a non-negligible impact. It is depicted schematically in Figure 5
as 'Surface feedback loop', and works as follows: when convection is limited to the cold and
fresh surface layer, no warm water is mixed upwards during the winter months (Figure 3).
Furthermore, the small mixed layer depth implies that the accessible heat reservoir available
for cooling is small. Both effects result in a rapid decline of the SST. The low SSTs reduce
225 the heat flux to the atmosphere (Q) and thus the buoyancy flux. In addition, the thermal
expansion coefficient of seawater (α) is also reduced at lower water temperatures, which
further decreases the surface buoyancy flux to the atmosphere. Oceanic conditions aside,
the surface buoyancy flux was also limited by the mild winters that occurred during the
GSA years ('Atmosphere' box in Figure 5). These three contributions to the lower surface
230 buoyancy flux - mild winter, low SST via Q and low SST via α - are quantified in section
4b.

a. Buoyancy storage through increased stratification

Due to the increasing stratification from 1969 to 1971 (Figure 1) the "resistance" of the
ocean to deep convection increased. To quantify this increase we calculated the amount of
235 buoyancy (ΔB) that needs to be removed for convection to reach the upper boundary of the

LSW layer from early-winter (November) profiles for each year:

$$\Delta B = \frac{g}{\rho_0} \int_{z_{\sigma_\theta=27.72}}^0 \sigma_\theta dz \quad (1)$$

with ΔB the required buoyancy loss to induce deep convection (in $\text{m}^2 \text{s}^{-3}$), g the acceleration due to gravity (9.81 m s^{-2}), ρ_0 a reference density (1027 kg m^{-3}), and σ_θ the potential density (in kg m^{-3}) ($z_{\sigma_\theta=27.72}$ is the depth of the upper boundary of the LSW layer, Figure 1). During the period when deep convection was absent (1969 to early 1972), ΔB initially remained stable, but sharply increased after 1969 (solid line in Figure 6). Note that the oceanic resistance to convection at the beginning of the winter of 1969 (November 1968) was not unusually high. It is similar in magnitude to the resistance in the winter of 1967 (November 1966), which was a year with deep convection (Figure 1).

Next, we considered whether changes in ΔB were due to the buoyancy stored in the cold and fresh upper layer (dotted line in Figure 6) or the amount stored in the warm and saline intermediate layer (dashed line). The water in both of these layers grew in volume over the summer 1968 to early 1972 period (Figure 1), but we do not know a priori how much they contributed to the ΔB increase over this period. Figure 6 clearly shows that the increase in ΔB during the summer 1968 to early 1972 period is almost entirely due to the increasing buoyancy storage in the upper cold and fresh layer, and that it dominates the increase over the first year. The buoyancy stored in the intermediate warm and saline layer on the other hand is more or less constant over the first two years of this period and only shows a steady increase during 1970 and 1971 when the thickness of the layer grew. To summarize, ΔB increased over the GSA period, although it was not unusually large at the beginning of this period, and this increase is primarily due to the buoyancy stored in the upper fresh layer.

b. Reduced surface buoyancy flux

In the previous section we estimated how much buoyancy needed to be removed from the ocean to induce deep convection (Figure 6). Next we consider the magnitude of the buoyancy flux. As mentioned above in section 3, we neglect the fresh water contribution, which is thought to be small. The surface buoyancy flux is then defined (Gill 1982) as

$$B_f = \frac{g\alpha}{\rho_0 c_p} [Q_{sens} + Q_{lat} + Q_{lw} - Q_{sw}] \quad (2)$$

where g is the acceleration due to gravity (m s^{-2}), α the thermal expansion coefficient of seawater ($^{\circ}\text{C}^{-1}$), ρ_0 a reference density for seawater (kg m^{-3}), c_p the heat capacity ($\text{J (kg }^{\circ}\text{C)}^{-1}$), and Q_{sens} , Q_{lat} , Q_{lw} and Q_{sw} (W m^{-2}) are the sensible and latent heat flux and the heat fluxes due to long wave and short wave radiation, respectively.

The objective of this section is to assess why the surface buoyancy loss during the 1969 to 1971 winters, when convection did not reach beyond the upper fresh and cold surface layer (hereafter "NOCONV years") was smaller than during deep convection winters (1965, 1967, 1968 and 1972, hereafter "CONV years"; note that 1964 could in principle be considered a CONV year, but is excluded from the analysis as only part of this winter is covered by the data set). There are two possible mechanisms (see Figure 5):

- i. Mild winters \Rightarrow small heat flux $Q \Rightarrow$ small buoyancy flux B_f
- ii. Cold ocean surface (low SST) \Rightarrow small heat flux Q and low thermal expansion coefficient $\alpha \Rightarrow$ small buoyancy flux B_f

As the surface buoyancy loss is a function of the coupled ocean/atmosphere conditions it is difficult to separate these mechanisms. If we assume however that the air temperature is

mostly related to larger scale atmospheric features (e.g. wind direction) rather than to the SST, we can look at anomalies of just one of these mechanisms at a time. Support for this assumption is found in the fact that when the SAT is high, the SST is low and vice versa,
280 which is not what one would expect if SST had a significant impact on the local SAT.

The sensible and latent heat fluxes were calculated using the COARE bulk flux formulas (Fairall et al. 2003). In these formulas the heat fluxes are both a function of the wind speed (including a gustiness factor) and a transfer coefficient, which depends on the stability of the atmosphere. The sensible heat flux furthermore depends on the air-sea temperature
285 difference, while the latent heat flux is a function of the difference between the water vapor mixing ratio in the atmosphere and the interfacial water vapor mixing ratio. The fluxes were first calculated for the observed atmospheric and oceanic conditions to obtain the actual heat flux and buoyancy flux during the CONV and NOCONV winters. It was found that, on average, the winter heat flux in CONV winters was 65% larger⁴ than in the NOCONV
290 winters, while the mean winter buoyancy flux was 76% larger (Table 1).

Next, we combine the oceanic conditions of for example the (NOCONV) 1969 winter with the atmospheric conditions of the (CONV) 1965 winter to examine how much larger the heat flux would have been if the 1969 winter had not been so mild. To examine the impact of a cold ocean, on the other hand, we use the atmospheric conditions of the (NOCONV) 1969
295 winter with the oceanic conditions of the (CONV) 1965 winter. This gives an idea how much larger the heat flux would have been if the ocean surface had been warmer. This procedure is

⁴The difference with the 70% reported in section 3b is mainly because the present number does not include radiation terms. About 1% is due to the difference between our own calculations from the bulk formulas with BRAVO data (this section) and ERA40 data (section 3b).

applied to all possible combinations of winters and then results are averaged. Finally, for all those combinations we calculate from Eq. 2 how much larger the buoyancy flux would have been, both through the increased heat flux and, in the case of different oceanic conditions, through the larger α . By doing this, we necessarily neglect the radiation terms in Eq. 2, but this does not affect the results significantly as from the ERA40 reanalysis it is found that the radiation terms together only explain about 10% of the difference in the total heat flux between the CONV and the NOCONV winters.

The heat fluxes are calculated with the 3-hourly BRAVO data for atmospheric measures and SST. The thermal expansion coefficient α is calculated using the high resolution SST data, and SSS data linearly interpolated to the same 3-hourly resolution. An overview of the cases is given in Table 1.

1) MILD WINTER EFFECT ON THE BUOYANCY FLUX

To quantify the impact of the mild winters we compare the heat and buoyancy fluxes of the NOCONV years with those obtained using atmospheric conditions of the (harsh) CONV winters and oceanic conditions from the (mild) NOCONV winters. We find that the average winter heat and buoyancy flux would have been 42% larger if the atmospheric conditions alone had been different (Table 1 and dash-dotted line in Figure 7).

2) COLD OCEAN SURFACE EFFECT ON THE BUOYANCY FLUX

Second, the effect of the low SST on the buoyancy flux is estimated. This effect has two contributions: from the heat flux and from α (Figure 5). The heat flux contribution is due

to both the sensible and latent heat fluxes. The former depends on the temperature gradient between the ocean and the atmosphere, i.e. a colder ocean can give up less heat. As the wintertime SST was lower by about 1°C (Figure 3), we expect a reduction of the heat flux. The latent heat flux is also reduced due to lower SSTs, as the saturation value of the air just above the sea surface is lower. Because of the lower SST (and SSS), α is reduced on average over the whole winter by about 10%.

The combined effect of the reduced heat flux and α resulting from the low ocean surface temperature is investigated by combining NOCONV atmospheric conditions with CONV oceanic conditions (Table 1 and dashed line in Figure 7). The winter heat flux would have been 21% larger during the NOCONV years if the oceanic conditions had been those of the CONV years, while the buoyancy flux would have been 33% larger (the impact on the buoyancy flux is larger because Q and α are both larger for a higher SST). The surface buoyancy flux would thus have been 21% larger due to the Q -feedback, while the α -feedback gives an additional 12%.

3) CONCLUSIONS ON MILD WINTER AND LOW SST EFFECTS ON THE BUOYANCY FLUX

In summary, the winter surface buoyancy flux in the years with deep convection was 76% larger than in the years when convection was restricted to the cold and fresh surface layer. This was partly caused by lower SSTs in the NOCONV years (as a result of lack of convective mixing with the warm intermediate layer) and partly by the mild NOCONV winters. While the contribution of the atmosphere to the surface heat flux increase (+42%) is twice that of the ocean (+21%), the contribution of the atmosphere to the buoyancy flux is only slightly

larger (+42% vs. +33%) due an additional feedback in the ocean component via the thermal expansion coefficient α . In other words, the reduced buoyancy loss during the NOCONV
340 years was in almost equal parts due to mild winters and to having lower SSTs.

c. Cause of the shutdown: 1D mixed layer model analysis

1) 1D MIXED LAYER MODEL

For a conclusive answer to the question whether the ocean or the atmosphere was solely responsible for the sudden cease of convection in the winter of 1969, or whether it was a
345 combination of the two, we simulated the convection season with a 1D mixed layer model (Price et al. 1986). This model relies on bulk stability considerations to calculate the mixed layer depth. It calculates the density profile using the nonlinear equation of state, then applies surface heat and fresh water fluxes, and finally deepens the mixed layer until static stability is achieved in the density profile and a bulk Richardson number criterion is satisfied
350 for wind mixing. A gradient Richardson number criterion is used to smooth the sharp gradient below the mixed layer. This relatively simple model has been successfully used before to simulate deep convection in the Labrador Sea (Bramson 1997) as well as the Irminger Sea (Våge et al. 2008). The model is initialized with the observed November profiles for temperature and salinity. [The results are not very sensitive to the choice to use
355 November profiles as other initial conditions (October, December or January) give similar results; the choice is supported by model results from Mizoguchi et al. (2003), who observed that the preconditioning in November contributes significantly to the determination of the convection depth.]

The model is forced by surface heat fluxes and lateral fresh water fluxes. For the heat
360 fluxes the 6-hourly ERA40 (Uppala et al. 2005) surface fluxes are used (Figure 4). This
choice is based on a comparison of the sensible and latent heat fluxes from ERA40 and
the recalibrated NCEP data set (Kistler et al. 2001; Renfrew et al. 2002) with our own
calculation of the fluxes from observations at OWS Bravo using the COARE bulk formulas.
The ERA40 fluxes closely resembled our own estimates. Note that we need a reanalysis
365 product for an estimate of the incoming short-wave radiation and net outgoing long wave
radiation, which we cannot calculate with bulk formulas. Lateral heat fluxes are ignored
because, in the presence of strong surface fluxes and deep convection, it is not feasible to
extract the necessary information on lateral heat fluxes from the OWS Bravo data. This does
not pose a problem, however, because they are relatively small compared to the surface heat
370 flux in winter (Straneo 2006a) and the mixed layer temperature be can fairly well simulated
by the 1D model without lateral heat fluxes (which supports the previous statement that
the surface fluxes dominate).

In the case of fresh water fluxes the situation is reversed. While the exact magnitude of
the surface fresh water flux is uncertain, literature hints towards a minor role of the surface
375 fluxes with respect to lateral fluxes (Lazier 1980; Khatiwala et al. 2002; Straneo 2006a).
Although in some years the lateral salinity flux is small, in other years it must be included in
the model calculations to obtain a realistic mixed layer depth and properties. Therefore, the
surface fresh water flux is ignored and the lateral salinity fluxes are simulated by restoring
the salinity over the whole depth of the profile to the monthly mean observed profiles (Figure
380 1) with a restoring time scale of a month.

2) MODEL RESULTS

The first hypothesis that is tested using the 1D mixed layer model is whether convection ceased only because of the low SSS and SST (as a result of the GSA; 'Ocean' box in Figure 5). If this were the case, no reasonable winter heat flux could have induced deep convection in these winters. To test this we initialized the mixed layer model with the observed November
385 profiles of temperature and salinity from the winters of 1969, 1970, and 1971. Then the model was forced with increasingly larger heat fluxes, until the minimum heat flux was found that resulted in deep convective mixing (mixing down to the LSW layer).

In Figure 8 the winter (December to April) surface heat fluxes from the ERA40 reanalysis
390 are given for the winters of 1960 to 1999. The NOCONV winters are indicated by open squares and the winter of 1972, when deep convection returned, is highlighted by the filled circle. To put these values in perspective, consider that the winter heat loss in 1972 was 69% larger than the 40-year mean of 139 W m^{-2} , while the winter heat loss in 1969 to 1971 was up to 53% smaller. The heat flux required to induce deep convection in the model
395 simulations is indicated by the open triangles in Figure 8. The likelihood of obtaining these heat fluxes (or larger ones) in the 40 years of the ERA40 record is 12.5%, 10% and 2.5% for 1969, 1970 and 1971, respectively. A harsher winter in 1969 would thus have induced deep convection despite the cold and fresh surface layer in the ocean, even though the likelihood of deep convection decreased rapidly afterwards through the continuing freshening of the
400 surface layer and the surface feedbacks explained in section 4b.

The second hypothesis that we can test is whether convection ceased only because of the mild winters ('Atmosphere' box in Figure 5). If this were the case, the 1969 winter heat flux

would not have caused deep convection in other winters with 'normal' oceanic conditions either. We therefore used the model to predict the extent of convection using the November
405 temperature and salinity profiles of non-GSA winters and the 1969 winter heat flux. In the winter of 1965, when the LSW layer was closer to the surface, this heat flux would have been sufficient to induce deep convective mixing. For 1968 the mixing depth is on the edge of the LSW layer, and in all the other years no deep convection would have taken place. The likelihood of deep convection with the 1969 winter heat flux is thus at least 1 (possibly 2)
410 out of 10 winters. In conclusion, although the sea surface conditions were unusual and the winters were unusually mild, it was the combination of these two effects that was responsible for the complete shutdown of deep convection during the GSA winters.

5. Return of deep convection in 1972

In the winter of 1972 deep convection returned (Figure 1). Here we examine whether this
415 was due to the very harsh winter of 1972, or due to changes in the oceanic conditions. We know from Figure 6 that the amount of buoyancy needed to be removed for deep convection in 1972 was the highest in this decade-long record. Also, Figure 2a shows that the surface salinity in the beginning of the winter of 1972 was still very low. The oceanic conditions at the start of the convection season were thus not favorable at all for deep convection. That
420 being said, they may have changed over the course of the winter due to lateral fluxes, for example because the GSA was moving away at the time (Dickson et al. 1988). On the other hand, the winter heat flux was exceptionally large as this was a very harsh winter (Figures 2b, 4 and 8). The atmospheric conditions were thus very favorable for deep convection.

To answer the question whether the ocean or the atmosphere was responsible for the
425 return of deep convection we again used the 1D mixed layer model. To study the effect of
the large heat flux alone, we first calculated the evolution of the mixed layer over the winter
of 1972 without (lateral) salinity fluxes, the surface heat flux thus being the only forcing. Our
model run shows that convection would not have reached the observed mixed layer depth of
1500 m, but instead only to less than 600 m. The heat flux which would have been required
430 for deep convective mixing is never observed in the 40-year ERA40 time series. Also, when
a sufficiently large heat flux was imposed to mix down to the observed mixed layer depth,
the water in the mixed layer was about 0.2°C too cold. This implies that (changes in) the
salinity of the water column must have played a role in the resumption of deep convection.
When the observed lateral salinity fluxes are added to the model simulations, the mixed
435 layer depth and properties are well captured.

Thus, contrary to what is commonly assumed (Straneo 2006a; Yashayaev 2007), for the
deep convection event in the winter of 1972 both the large winter heat flux and a change
of oceanic salinity conditions were essential. The salinity change could have been caused
either by the withdrawal of the GSA or by a larger than usual lateral eddy flux with a
440 subsurface salinity maximum (Lilly et al. 2003; Hatun et al. 2007). The time resolution of
the available oceanographic data is however insufficient to be conclusive on which mechanism
was responsible for the change in salinity, because once the water is mixed one does not know
whether it originates from the surface or deeper down. A regional model study could provide
more insight on this point.

445 6. Summary and discussion

Our analysis shows that the two primary factors that inhibited deep convection during the Great Salinity Anomaly (GSA) period were the mild atmospheric winter conditions of 1969-1971 and freshening due to the GSA. The mild winters were associated with a small heat and buoyancy loss to the atmosphere. The way in which the GSA affected convection is more complex (Figure 5): The initial response of the Labrador Sea to the GSA was an increasing stratification, which inhibited convective mixing into the underlying warm, salty layer. Due to a continuing lateral influx of fresh boundary current water in the upper layer and saline water in the subsurface layer, the stratification continued to increase (Figure 6) which made a resumption of deep convection increasingly more difficult (a phenomenon previously described by Welander (1982), Lenderink and Haarsma (1994) and Kuhlbrodt et al. (2001)). Furthermore, two positive feedbacks ensued which further decreased the surface buoyancy flux and resulted in the shutdown of convection until the winter of 1972.

The surface feedbacks are as follows (Figure 5). In a regular convection winter, warm subsurface water is mixed upwards and counteracts the surface cooling. When no convection occurs, however, the surface continues to cool down from about 3.2°C to about 2.2°C (Figure 3). A lower sea surface temperature (SST) limits the surface sensible and latent heat fluxes to the atmosphere and thus the magnitude of the surface buoyancy flux. The surface buoyancy flux is further diminished by the dependence of the thermal expansion coefficient α on SST (Eq. 2; the mean winter surface α value during the convective winters was $9.4 \times 10^{-5} \text{ }^{\circ}\text{C}^{-1}$, while in the shutdown winters it was $8.6 \times 10^{-5} \text{ }^{\circ}\text{C}^{-1}$). Thus, when convection was initially inhibited the ocean surface cooled, which restricted the surface buoyancy fluxes which in

turn inhibited deep convection. There is thus a positive feedback loop which reinforces a shutdown state.

We note that there exists a negative feedback associated with the surface cooling: as the
470 sea surface cools, density increases thus contributing to decreasing the stratification. An
estimate of the impact of this negative feedback based on the data shown in Figure 3 shows,
however, that this effect is smaller than the two positive feedbacks mentioned above (not
shown).

In this study we quantified the effects of the mild winters and the low surface salinity
475 in the Labrador Sea during the GSA years. First the initial response of the ocean to the
low surface salinity, the increasing stratification, was studied (left-hand side of the 'Ocean'
box in Figure 5). It was shown that the stratification of the whole water column above the
Labrador Sea Water (LSW) layer was not unusually large at the beginning of the winter
of 1969, but instead comparable to that of winters when deep convection did take place.
480 A notable difference with deep-convection winters however was found in the amount of
buoyancy stored in the upper cold and fresh layer, which was the signature of the GSA. The
stratification of this upper layer was about twice the pre-GSA value.

Second, the limiting effect of the low SST and the mild winters on the surface buoyancy
flux was studied ('Atmosphere' box and 'Surface feedback loop' in Figure 5). Using bulk
485 formulas it was shown that the buoyancy flux was 76% larger in the years with convection
with respect to no-convection years. The effect of a harsher winter (the mean 2-meter
temperature in the convective winters was $-0.7\text{ }^{\circ}\text{C}$, while in the non-convective winters is
was $0.1\text{ }^{\circ}\text{C}$) on the heat flux (193 W m^{-2} in convective winters vs. 113 W m^{-2} in non-
convective winters (Uppala et al. 2005)) is much larger than the effect of a higher SST (42%

490 vs. 21%). We found that this difference was much smaller for the buoyancy flux (42% vs. 33%), however, because of the additional α -feedback.

Using a 1D mixed layer model it was shown that neither the low surface salinity nor the mild winters alone could have prevented deep convection. In the winter of 1969 the magnitude of the winter heat flux needed for deep convection occurred only 12% of the years
495 in the ERA40 40-year reanalysis data set. On the other hand, the magnitude of the 1969 winter heat flux would have induced deep convection in years such as 1965 and 1968, two out of the ten-winter BRAVO record. So, although in 1969 both the oceanic and atmospheric conditions made deep convection unlikely, it was the combination of the two that set off its shutdown.

500 The return of deep convection in the winter of 1972 is generally attributed to the very harsh winter and large surface heat flux. The 1D-model simulations showed, however, that this heat flux alone, without lateral salinity fluxes, would have been insufficient for deep convection to occur. When the lateral salinity fluxes were added to the simulation, the mixed layer depth and properties were reproduced well by the model. The source of the high
505 salinity water cannot be identified from the data. It could have been the retreat of the GSA, and thus less fresh surface water, or eddy-induced lateral fluxes with a typical subsurface salinity maximum, or both.

So far, we have not specifically discussed the impact of wind forcing. Wind influences deep convection in two ways. The direct mixing effect is small; wind hardly mixes below
510 several hundred meters depth, but it is included in the buoyancy flux calculation in section 4b and the model simulation in section 4c. The second effect of wind forcing, the wind stress curl effect on the doming of the isopycnals, is left out as the hydrographic data showed no

sign of increased doming during the GSA period.

This study has a number of implications for our understanding of the effects of fresh
515 water anomalies on deep convection. First, although both changes in the fresh surface layer
as well as the warm and salty subsurface layer can alter the likelihood of convection, during
the GSA years it was primarily the freshening of the upper layer that caused the shutdown.
Once deep convection had stopped, both layers contributed to a consolidation of the status
quo. In the light of the recent changes in the boundary current characteristics (a warmer
520 and more saline Irminger Current and more fresh water export from the Arctic) this is an
important result. It means that, very likely, increasing ice melt in the Arctic is a larger threat
to decreasing convection rates than warmer and more saline Irminger current water. Also,
convection resumed due to a lateral salt influx (combined with a very harsh winter). This
suggests that since anomalies like the GSA pass the ocean may naturally recover. Conversely,
525 if the fresh water inflow remains high, deep convection will not resume. Second, it is unclear
whether the unusually large heat fluxes in 1972 were a coincidence, or whether the ocean
played an active role in this. For example, Våge et al. (2009) suggested that the large sea-ice
extent in the winter of 2008 kept the passing winds cold, so that the air was still very cold
when it reached the central Labrador Sea. Given the anomalous amount of freshwater in
530 the surface layer and the harsh winter in 1972, a similar mechanism could have been at play
then. Third, the system is apparently very sensitive to the ocean surface temperature. Once
the SST is low, it will tend to remain low because of the surface feedbacks to the buoyancy
flux. It is thus of vital importance in ocean and climate models to accurately simulate the
ocean surface temperature and its effect on the surface fluxes, and to be particularly careful
535 with restoring SSTs in deep convection areas towards too low or too high temperatures.

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	Ocean	Atmosphere	Q	Bf ($\times 10^{-8}$)	ΔQ	ΔBf
NOCONV	NC	NC	105	2.19		
CONV	C	C	173	3.86	65%	76%
cold winter	NC	C	149	3.12	42%	42%
warm ocean surface	C	NC	127	2.92	21%	33%

Table 1: The buoyancy flux increase with respect to the mean buoyancy flux over the NOCONV winters (NC, 1969-1971) is calculated for two hypothetical cases (see text). For reference, the top two rows of this table give the mean winter heat (Q) and buoyancy (Bf) fluxes over the NOCONV and CONV winters (C, 1965, 1967, 1968 and 1972). ΔQ and ΔBf represent the increase of the heat and buoyancy flux respectively with respect to the mean over the NOCONV winters. Q is in $W m^{-2}$ and Bf is in $m^2 s^{-3}$.

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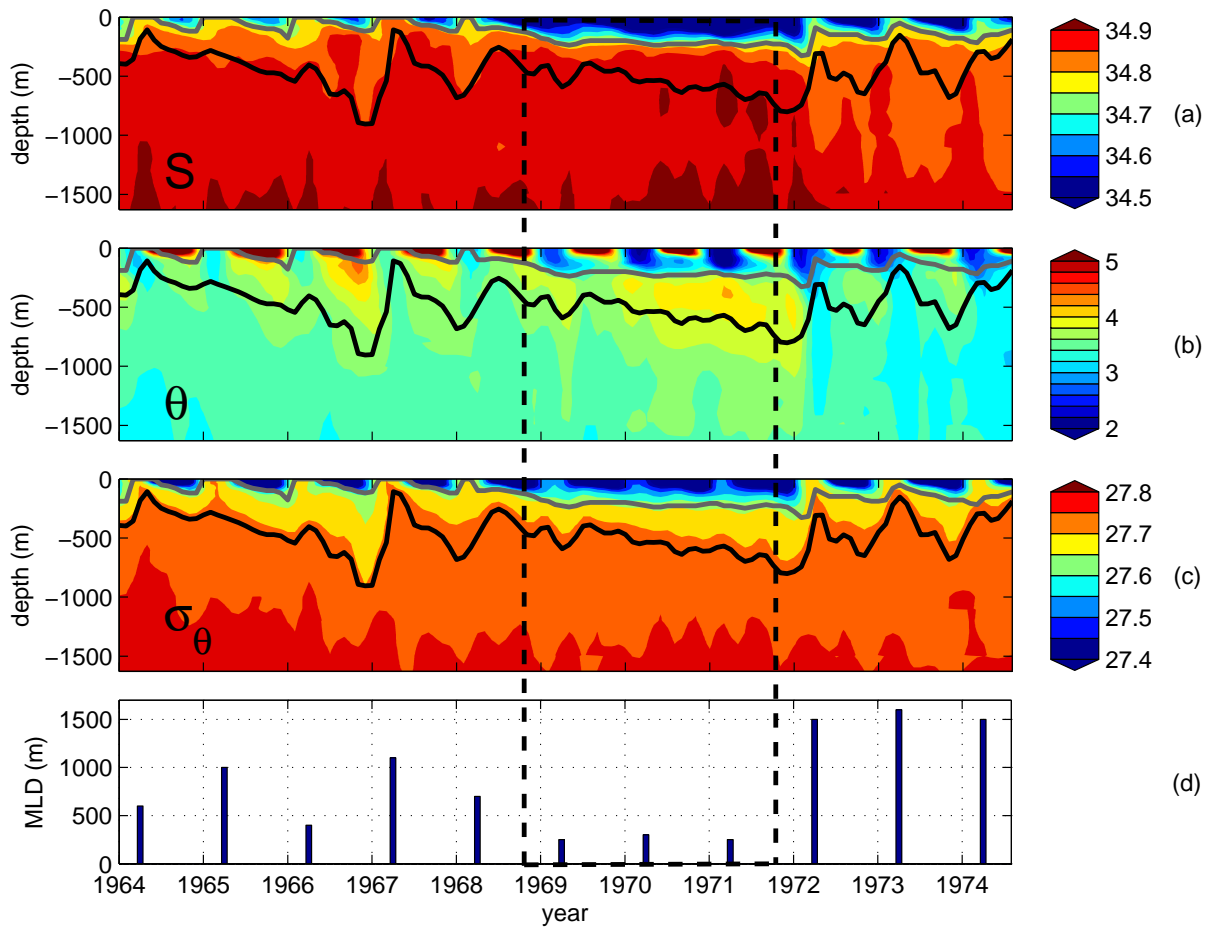


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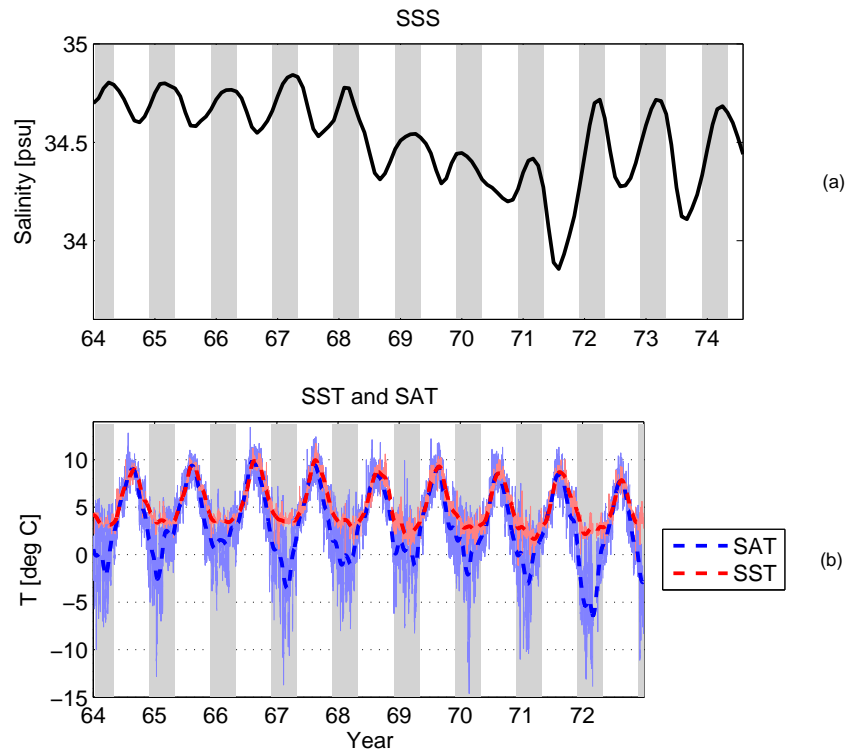


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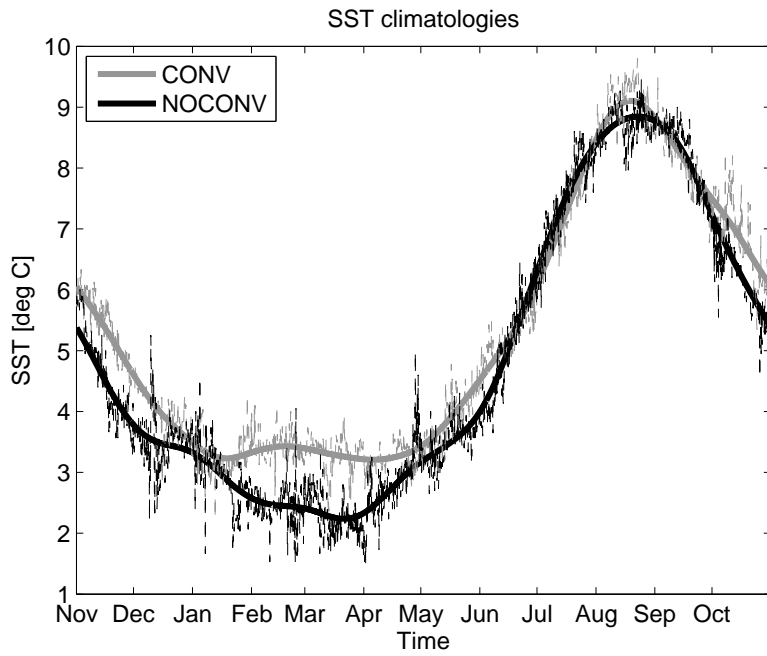


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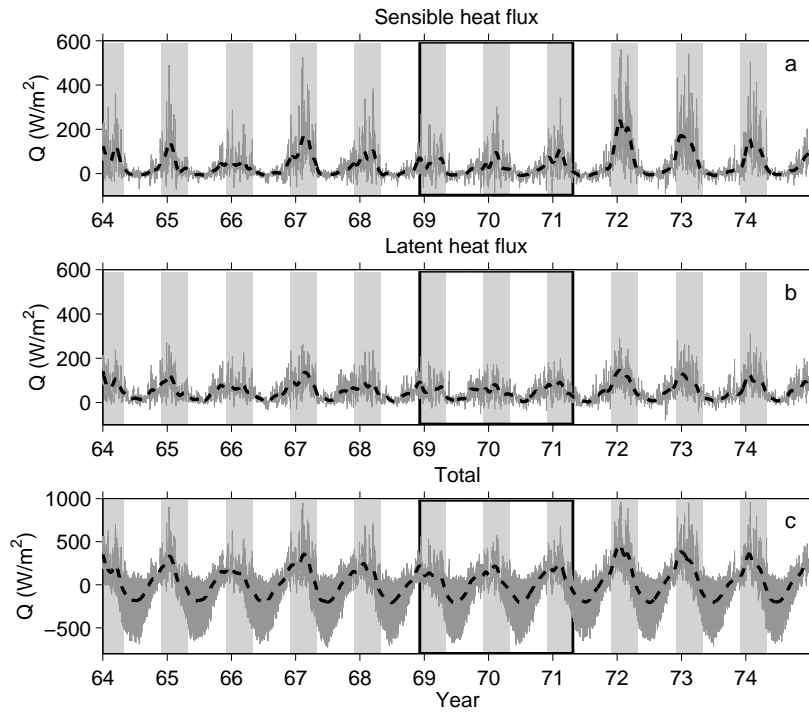


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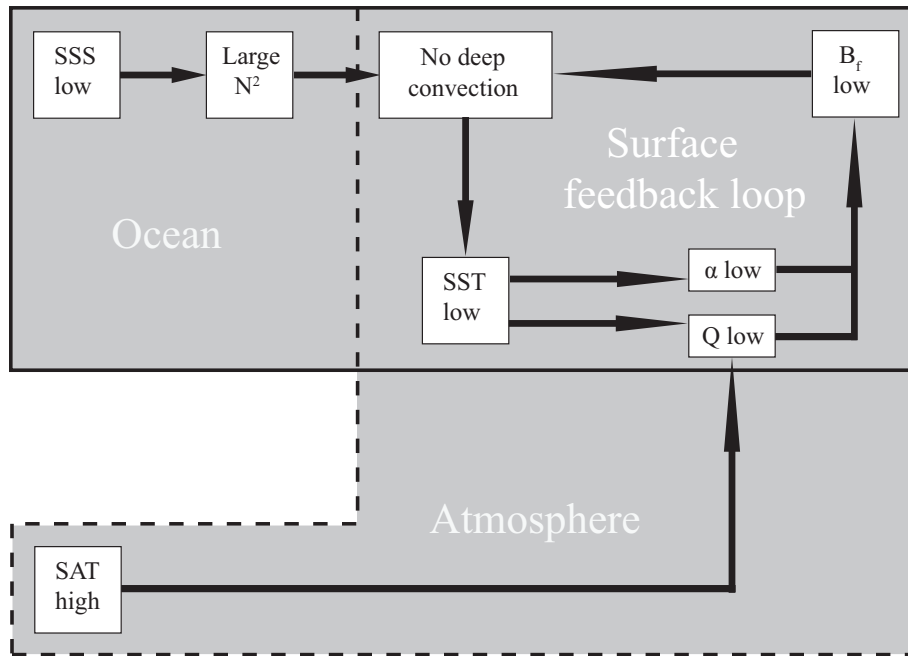


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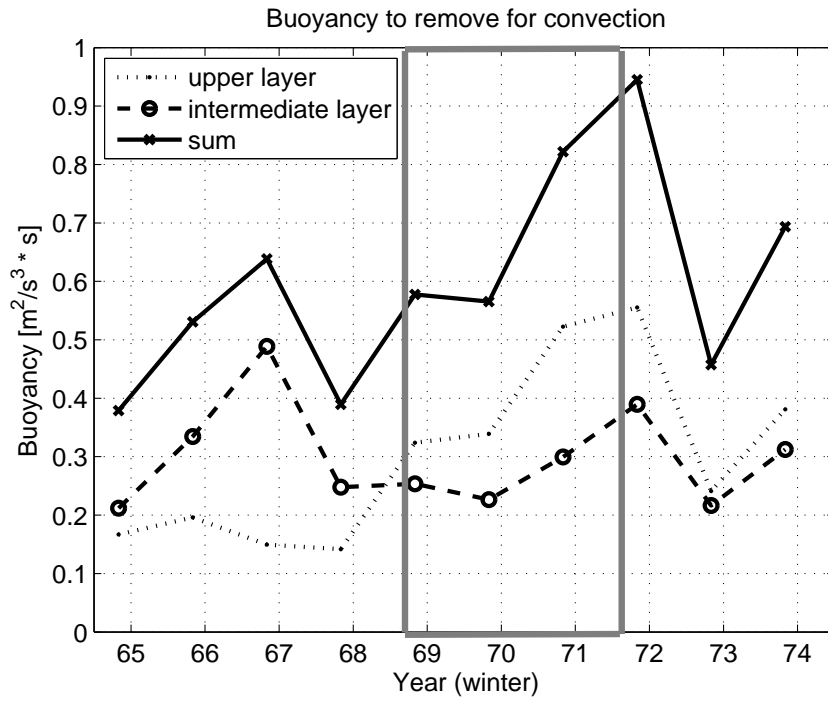


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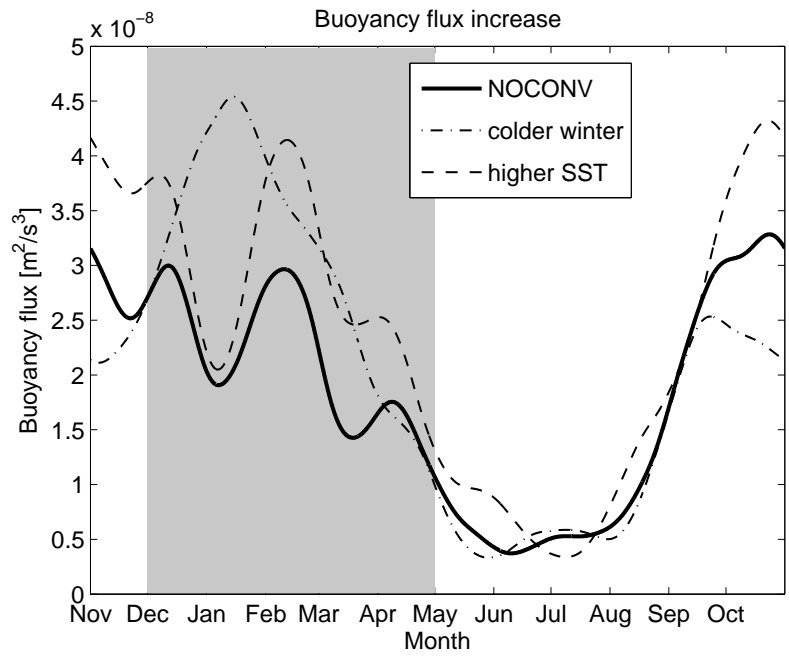


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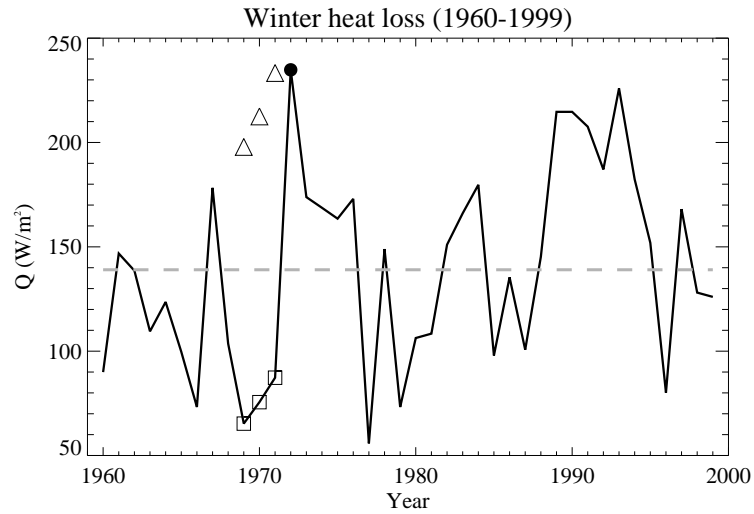


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