

1 **Title:**

2 Validation of backscatter measurements from the Advanced Scatterometer on Metop-A

3

4 **Authors:**

5 C Anderson, J Figa, H Bonekamp & JJW Wilson

6 EUMETSAT,

7 EUMETSAT-Allee 1,

8 D-64295 Darmstadt,

9 Germany.

10

11 J Verspeek & A Stoffelen

12 KNMI,

13 PO Box 201,

14 NL-3730 AE De Bilt,

15 Netherlands.

16

17 M Portabella

18 Unitat de Tecnologia Marina (UTM-CSIC),

19 Pg. Marítim de la Barceloneta 37-49,

20 08003 Barcelona,

21 Spain.

22

23 **Corresponding Author:**

24 Craig Anderson

25 email: craig.anderson@eumetsat.int

26 tel: +49 6151 807 7160

27 **Abstract**

28

29 The Advanced Scatterometer (ASCAT) on the Metop series of satellites is designed to
30 provide data for the retrieval of ocean wind fields. Three transponders were used to give an
31 absolute calibration and the worst case calibration error is estimated to be 0.15-0.25 dB.

32

33 In this paper we validate the calibrated data by comparing the backscatter from a range of
34 natural distributed targets against models developed from ERS scatterometer data.

35

36 For Amazon rainforest we find that the isotropic backscatter decreases from -6.2 to -6.8 dB
37 over the incidence angle range. The ERS value is around -6.5 dB. All ASCAT beams are
38 within 0.1 dB of each other. Rainforest backscatter over a three year period is found to be
39 very stable with annual changes of approximately 0.02 dB.

40

41 ASCAT ocean backscatter is compared against values from the CMOD-5 model using
42 ECMWF wind fields. A difference of approximately 0.2 dB below 55 degrees incidence is
43 found. Differences of over 1 dB above 55 degrees are likely due to inaccuracies in CMOD-5
44 which has not been fully validated at large incidence angles. All beams are within 0.1 dB of
45 each other.

46

47 Backscatter from regions of stable Antarctic sea ice is found to be consistent with model
48 backscatter except at large incidence angles where the model has not been validated. The
49 noise in the ice backscatter indicates that K_p is around 4.5% which is consistent with the
50 expected value.

51

52 These results agree well with the expected calibration accuracy and give confidence that the
53 calibration has been successful and that ASCAT products are of high quality.

54

55

56 **1. Introduction**

57

58 The Advanced Scatterometer (ASCAT) is a European space-borne C-band radar instrument
59 carried on the Metop-A satellite which was launched in October 2006 (Figa *et al.* 2002; Klaes
60 *et al.* 2007). The instrument is designed to accurately measure the radar backscatter from the
61 surface of the Earth. Over the ocean surface the backscatter characteristics are primarily
62 influenced by the wind speed and direction and hence ocean wind vector information can be
63 inferred from the radar measurements.

64

65 The main purpose of ASCAT is to provide estimates of the ocean wind vector to be exploited
66 in weather fore- and nowcasting, ocean modelling and climate research applications.
67 Operational wind services have been setup in the framework of the Eumetsat Polar System
68 application ground segment. The ASCAT instrument is also exploited in other operational
69 applications such as soil moisture retrieval (Bartalis *et al.* 2007) and sea ice mapping and drift
70 measurements (Lavergne *et al.* 2010).

71

72 The accuracy of the retrieved geophysical information depends on the accuracy of the
73 underlying radar backscatter measurements. These are expressed in terms of the Normalized
74 Radar Cross-Section (NRCS), which is the ratio of the received backscattered energy to that
75 of an isotropic surface scatterer as given by the two-way radar equation. NRCS
76 measurements, denoted by σ_0 , typically vary between -35 to -3 dB over the ocean for a wind
77 speed range of 2 to 25 ms^{-1} .

78

79 The complete ASCAT commissioning process is described in the ASCAT Calibration and
80 Validation Plan (Eumetsat 2004) and involves

- 81 • the setting of basic instrument and processing parameters,
- 82 • analysis of the gain patterns and calculation of calibration factors using transponders,
- 83 • validation of the backscatter from a variety of natural targets,
- 84 • validation of retrieved ocean winds against Numerical Weather Prediction (NWP)
- 85 results and ocean buoy measurements.

86

87 The gain pattern analysis and results of the calibration are described by Wilson *et al.* (2010)
88 and the validation of the retrieved ocean winds is given by Verspeek *et al.* (2010). Although
89 the calibration and validation plan did not give any emphasis to cross-calibrations with other
90 scatterometers (such as ERS1/2 and QuikSCAT), first comparisons with ERS-2 are given by
91 Bartalis (2009).

92

93 The aim of this paper is to provide an overview of the calibration of the ASCAT and to assess
94 the accuracy and stability of the NRCS measurements by means of geophysical validations.

95

96 In section 2 the ASCAT instrument and ground processing is briefly described. In Section 3
97 the external calibration with transponders is summarized and the key results on the accuracy
98 of the σ_0 measurements, as elaborated by Wilson *et al.* (2010), are presented. Section 4
99 discusses the geophysical validation activities over rainforest, open ocean and sea ice. The
100 latter are based on comparisons with established geophysical models. The performance of the
101 ASCAT calibration against expectations is discussed in section 5.

102

103

104 **2. ASCAT Instrument and Processing**

105

106 The ASCAT instrument, described by Gelsthorpe (2000), is the follow-on scatterometer for
107 the Active Microwave Instruments (AMI) on ERS-1 and 2. Like these, ASCAT operates at a
108 frequency in C-band (5.3 GHz) and the radar signal polarisation is vertical (VV). A major
109 difference in design is that ASCAT comprises two sets of three fan beam antennas. One set
110 points to the left of the sub satellite track and the other to the right so that measurements from
111 two 550 km wide swaths located approximately 360 km left and right of the satellite ground
112 track and covering an incidence angle range of 25-65° are obtained. This differs from the
113 AMI which has only a single set of fan beam antennas covering a single swath with an
114 incidence angle range of 19-55°.

115

116 In order to achieve a high range resolution, ASCAT transmits long pulses (of approximately
117 10 ms) with a linear frequency modulation at a carrier frequency of 5.225 GHz and with a
118 peak power of about 120 W. The received echoes are low pass filtered, demodulated and
119 fourier-transformed on board. The resulting spectra give the received power as a function of
120 slant range.

121

122 Echo measurements are averaged along-track on board and passed, together with
123 measurements of noise and internal calibration data, to the ground for further processing. The
124 measurement mode processing consists of corrections to the raw power echoes (to remove
125 range dependent receiver filter response, noise and instrument power gain variations),
126 normalisation into NRCS values, and finally spatial averaging to obtain triplets of σ_0
127 estimates (corresponding to the three antenna beams) at the required locations. Two products
128 containing spatially averaged backscatter values are produced:

- 129 • SZO in which the backscatter resolution is around 50 km and the backscatter values
130 are calculated at 21 locations (termed nodes or wind vector cells) across the swath.

131 The spacing between nodes and between successive rows of nodes is approximately
132 25 km.

133 • SZR with a resolution of around 28 km, 41 nodes across the swath and a node spacing
134 of approximately 12.5 km.

135 Details of the processing and products are described in the ASCAT Product Generation
136 Function Specification (Eumetsat 2005) and the ASCAT Product Guide (Eumetsat 2009).

137

138 **3. External Calibration**

139

140 ASCAT is calibrated by means of three transponders which have been designed to provide
141 stable and accurately known point target cross-sections. Each transponder tracks the Metop
142 satellite during an overpass and when they receive the signal transmitted by the ASCAT they
143 wait a fixed time interval before sending a signal of precisely known cross-section back to it.
144 The transponders are located in Turkey and their position was carefully chosen to give
145 optimum sampling of each antenna beam during the 29 day repeat cycle of Metop-A.

146

147 The calibration procedure has several steps. Firstly, the ASCAT data containing the
148 transponder signal is processed to give the antenna gain value in the antenna coordinate
149 system. This gives the antenna gain on a cut through the beam pattern at a particular elevation
150 angle. An example of the raw ASCAT data containing a transponder signal is shown in figure
151 1 and an example of the antenna gain as a function of the normalised antenna azimuth angle
152 is shown in figure 2. This process is repeated for a number of passes over the transponders at
153 various elevation angles and a well sampled antenna gain pattern is obtained, as depicted in
154 figure 3.

155

156 In the second step, a model of the antenna gain, antenna pointing error and gain pattern
157 distortion is fitted to the set of data points. The residual between the data and the fitted model
158 gives an indication of calibration accuracy.

159

160 In the third step of the process, the gain pattern models are used to obtain normalisation
161 factors for converting the ASCAT measurements into absolutely calibrated backscatter. To do
162 this we assume the Earth's backscatter to be unity and use the gain patterns to estimate the
163 signal measured by ASCAT. Any differences between estimated and actual signal are taken
164 to be a result of the Earth's backscatter not being unity and dividing the actual signal by the
165 estimated signal gives an estimate of the Earth's backscatter. Hence, the estimated signal is
166 the required normalisation factor. These are calculated at various locations around the Metop-
167 A orbit to take into account height and geometry variations.

168

169 Calibration campaigns, in which the transponders are operational and ASCAT is switched to
170 calibration mode during every overpass, last approximately two months and are planned to
171 take place every 18-24 months during the ASCAT lifetime.

172

173 The first campaign took place in November and December 2006, using the single transponder
174 that was operational at that time. This gave a preliminary calibration and allowed products to
175 be distributed as soon after launch as possible.

176

177 The second campaign, using all three transponders, took place during winter 2007-2008. The
178 results from this campaign marked the end of the ASCAT commissioning phase and were
179 used to reprocess older data as well as being applied to operational data. A description of this
180 campaign and an initial investigation of the calibration quality are given in the ASCAT

181 Commissioning Quality Report (Eumetsat 2009). A more detailed report is given by Wilson
182 *et al.* (2010) where an error analysis suggests a worst case around orbit calibration error of
183 0.15-0.25 dB.

184

185 **4. Geophysical Validations**

186

187 Geophysical validations form part of the ASCAT Calibration and Validation Plan (Eumetsat
188 2004). In these, the response from distributed natural targets is investigated to assess the
189 quality of the backscatter. Geophysical validations can be performed over a variety of natural
190 targets, e.g. rainforest, open ocean, sea ice and land ice. Validations over the global ocean
191 have been used to derive bias correction coefficients which, when applied to the calibrated
192 ASCAT data, bring it into alignment with the ERS based CMOD-5 ocean backscatter model
193 (Verspeek *et al.* 2010). This was done in order to allow the retrieval of ASCAT winds soon
194 after the Metop launch, using the only available backscatter model. These coefficients have
195 been also used, until recently, to generate scatterometer soil moisture values from an ERS-
196 based model (Bartalis *et al.* 2007). Geophysical validations are also routinely used to monitor
197 the quality of the backscatter data produced by the operational ASCAT processor.

198

199 In this paper we report on validation results obtained from the 50 km resolution reprocessed
200 backscatter data from the period 2007-2008 and the 50 km resolution operational data
201 produced during 2009. This validation data set covers a period of three years.

202

203 **4.1 Validation using rainforest backscatter**

204

205 The backscatter from areas of rainforest has been extensively studied using the ERS-1 and
206 ERS-2 scatterometers and has been found to be relatively stable. In particular the isotropic
207 backscatter given by $\gamma_0 = \sigma_0/\cos \theta$ is found to be approximately constant with respect to time,
208 viewing geometry and spatial location. An example of this as a function of incidence angle
209 (taken from the ERS wind scatterometer cyclic report for cycle 42 in April to May 1999) is
210 shown in figure 4. The region of Amazon rainforest used for monitoring ERS lies within
211 longitudes -70 and -60.5° and latitudes -2.5 and 5° and the value of γ_0 given by ERS data is
212 approximately -6.5 dB. Hence we can validate ASCAT data by taking ASCAT backscatter
213 measurements from this region, calculating γ_0 and comparing it to the expected value.

214

215 Figure 5 shows the mean ASCAT γ_0 for the left hand antennas as function of incidence angle
216 using all descending pass data during 2007 (which gives approximately 4300 samples at each
217 value of incidence angle). The most obvious aspect of these plots is that ASCAT γ_0 is not a
218 constant value close to -6.5 dB but instead decreases from approximately -6.2 to -6.8 dB over
219 the incidence angle range. The γ_0 values in each of the three beams are similar with
220 differences of at most 0.1 dB. This value does not completely represent the relative
221 calibration between beams as it is also influenced by non-homogeneities in the rainforest and
222 differences in viewing geometry. The mean γ_0 for the right hand antennas is shown in figure 6
223 and we find similar behaviour.

224

225 These results validate ASCAT to a certain extent as the -6.2 to -6.8 dB range for γ_0
226 encompasses the expected value of -6.5 dB. They also show that the relative calibration
227 between beams is better than 0.1 dB. The behaviour of ASCAT γ_0 with incidence angle is
228 unexpected as the γ_0 from ERS data is generally considered to be approximately constant
229 across the incidence angle range. However, other authors have found dependencies on

230 incidence angle. For example Zec *et al.* (1999) examine backscatter data from the Ku band
231 NASA scatterometer (NSCAT) over the Amazon rainforest and model the incidence angle
232 behaviour by fitting a third order polynomial. Their data shows that the Ku band backscatter
233 over the rainforest changes from around -6 to -8 dB over an incidence angle range of 20 to
234 50°. These values of backscatter correspond to γ_0 values of -5.7 and -6.1 dB. This gives a
235 change in NSCAT γ_0 of around -0.4 dB as the incidence angle increases from 20 to 50° and
236 this very similar to behaviour we observe in ASCAT γ_0 .

237

238 The stability of ASCAT is also of importance and can be examined using rainforest data.
239 Figure 7 shows the mean γ_0 as a function of incidence angle for beam 1 (left mid beam) using
240 data from the years 2007, 2008 and 2009. The difference between these is less than 0.02 dB
241 which shows that both ASCAT and the annual averages of rainforest backscatter were very
242 stable during this period.

243

244 Stability over shorter time scales is shown by the time series plot of rainforest γ_0 in figure 8.
245 Each point in the figure shows the mean γ_0 at a particular incidence angle during a pass over
246 the rainforest. The spread in γ_0 values is partly due to the incidence angle effect noted earlier
247 in which larger incidence angles have lower γ_0 values.

248

249 However, there is another contribution to the spread caused by inhomogeneities in the
250 rainforest. This is demonstrated by figure 9 which shows the geographical location of the
251 near, mid and far range nodes in beam 1 ascending pass data during the years 2007 and 2008.
252 These are not uniformly distributed across the region but cut through the rainforest at
253 characteristic locations. Hence different incidence angles observe different parts of the
254 rainforest.

255

256 Figure 10 shows the mean γ_0 along each of the near, mid and far range lines of nodes (red,
257 blue and green symbols) as a function of the mean longitude. The different coloured symbols
258 are displaced from each other in the vertical direction (showing variation of the γ_0 with
259 incidence angle) but also show a characteristic variation with longitude which is caused by
260 spatial variations in the rainforest.

261

262 Both of these factors need to be corrected in order to detect any small changes in the
263 behaviour of ASCAT. The variation with incidence angle can be reduced by adding a node
264 dependent bias correction so that the different coloured symbols in figure 10 are brought into
265 alignment. The spatial variation can be reduced by adding a longitude dependent bias
266 correction so that the γ_0 values become approximately constant. The bias corrected data is
267 shown in figure 11 and shows very little variation with respect to incidence angle or
268 longitude. A time series of the bias corrected data is shown in figure 12 and is less noisy than
269 the original time series of figure 8. Seasonal variation in the rainforest of up to 0.2 dB can
270 clearly be seen in this plot.

271

272 This method can be used to monitor the behaviour of the ASCAT calibration. Figure 13
273 shows a time series of the rainforest γ_0 in the left beam around September 2009 and we
274 observe an unexpected step change of approximately 0.1 dB. This change is investigated in
275 more detail in the next section.

276

277 The results presented in this section show that the calibrated ASCAT data over the rainforest
278 has a similar value of γ_0 to ERS scatterometer data. However, the incidence angle behaviour
279 is different, pointing to some differences in the ERS and ASCAT calibrations. The reasons

280 for this need to be understood before merging of ERS and ASCAT data can take place to
281 create a single data set with consistent characteristics. The results also show that γ_0 values
282 from the individual ASCAT beams are within 0.1 dB of each other, which is consistent with
283 the expected calibration accuracy. Yearly averages of rainforest backscatter are also found to
284 be very stable, with changes less than 0.02 dB over the period 2007–2009.

285

286 **4.2 Validation using ocean backscatter**

287

288 Data from the ERS scatterometers has been used to develop a number of ocean backscatter
289 models in which the backscatter is a function of incidence angle, wind speed and wind
290 direction. The latest of these are CMOD5 (Hersbach 2003) and its equivalent neutral wind
291 counterpart CMOD5.n (Hersbach 2008; Verhoef *et al.* 2008; Potabella & Stoffelen 2009). If
292 the wind vector over the ocean is known, either from buoy measurements or from NWP
293 models, then the output of the ocean backscatter model can be compared to the ASCAT data.
294 Any bias between the two indicates either a difference between the ASCAT and ERS
295 calibrations or to different biases in the input wind vectors (CMOD5 and operational
296 European Centre for Medium Range Weather Forecasting (ECMWF) input are now found to
297 produce backscatter values that are biased low for ERS data by about 0.5 dB (Verhoef *et al.*
298 2008) and this may be due to a bias in the ECMWF winds, which can be roughly removed by
299 increasing them by about 0.5 ms^{-1} .) Variations on this approach have been developed and
300 used by the Ocean and Sea Ice Satellite Application Facility (OSI-SAF), e.g. the NWP ocean
301 calibration (NOC) and visual ocean calibration (VOC) methods (Verspeek *et al.* 2010).

302

303 Figure 14 shows the mean difference between the backscatter produced by CMOD-5 with
304 ECMWF winds and ASCAT data over the open ocean during July 2009. The plots agree

305 strongly with the results presented by Verspeek *et al.* (2010) and show two distinct types of
306 behaviour.

307

308 Firstly, between 30-55° incidence the mean difference between ASCAT and the ERS based
309 CMOD-5 is approximately constant at about 0.2 dB. This contrasts with the rainforest
310 validation shown in the previous section which implies that the difference between ASCAT
311 and ERS calibrations varies with the incidence angle.

312

313 Secondly, above 55° incidence the difference rises rapidly to about 1 dB. However, as
314 CMOD5 was developed from ERS data covering the incidence angle range 19-55°, it seems
315 likely this is a result of inaccuracies in CMOD-5 when extrapolated to large incidence angles
316 rather than an indication of problems in the ASCAT calibration.

317

318 As CMOD-5 forms the basis for many wind vector retrieval algorithms this discrepancy at
319 large incidence angles could potentially lead to large errors in the retrieved wind speed.
320 However the approach taken by the OSI-SAF (Verspeek *et al.* 2010) circumvents this
321 problem by applying bias correction factors to ASCAT data before wind retrieval.

322

323 The ocean validation can also be used to monitor the stability of the ASCAT. Figure 15
324 shows a time series over several years using the NOC calibration corrections (Verspeek &
325 Stoffelen 2010). Note that the small step change in the calibration of the left mid beam during
326 September 2009 has been provisionally corrected by subtracting 0.125 dB from September
327 2009 onwards. The ocean calibration residual (difference between measured backscatter and
328 CMOD5.n simulated backscatter values obtained from the collocated NWP wind field) is in

329 the order of 0.1 dB. The results from all beams are close together showing that interbeam
330 variations are very small.

331

332 A seasonal variation is clearly seen in figure 15. This may be due to seasonal changes in the
333 mean wind speed and mean stability at the buoys affecting the mesoscale wind variability.
334 This would then cause some modulation in the spatial representation (wind component) errors
335 as a function of season. As discussed in Stoffelen (1998) the random errors in wind
336 components may cause apparent biases when comparing wind sensing systems with different
337 random error characteristics.

338

339 These results show that the ASCAT instrument is very stable over time although there does
340 appear to be a small downward trend. This may be due to changes in the operational ECMWF
341 model over time (the forecasting system is updated twice a year). To verify such changes, the
342 ASCAT winds are monitored against a set of buoy winds. The buoys cover the whole globe
343 but are located mainly in the northern hemisphere and tropics.

344

345 Figure 16 shows evidence that over an extended set of Northern Hemisphere and tropical
346 buoy winds collocated with ASCAT, the ECMWF model has been rather stable with a similar
347 seasonal variation each year. There appears to be a small decrease in ASCAT wind speeds
348 over this set of buoys, which is in line with figure 15, although further evidence is needed to
349 support such subtle change.

350

351 It is also possible to use ocean backscatter to directly monitor the ASCAT calibration without
352 the use of backscatter models, NWP or buoy winds. Figure 17 shows a section of width 0.4
353 dB through a three dimensional plot of the ASCAT backscatter triplets from the open ocean

354 during August 2009. The data points tend to fall into two distinct regions, with higher and
355 lower mid beam backscatter values. The x axis is then divided into bins of width 0.4 dB and
356 the black circles show the location of the peak density of the data in the upper region of each
357 bin. If the position of peak density is calculated for two separate months then a mean of the
358 differences in the bins can be calculated. Figure 18 shows the mean difference for the months
359 of August and November 2009 as a function of incidence angle and we find that there has
360 been a change of approximately 0.1 dB between these two dates.

361

362 This approach can also be used to determine the date on which the change took place. If we
363 calculate the position of the peak density using data from August 2009 then the number of
364 ocean triplets in each orbit lying above and below this position should be approximately
365 equal if the calibration remains constant. However, as shown in figure 19, a change occurs on
366 September 11th 2009. The cause of this change has not yet been determined but it is not
367 related to an upgrade to the ASCAT level 1b processor (which took place several days before
368 this date) or to a satellite manoeuvre (which took place several days later).

369

370 The results presented in this section show that ASCAT data is within 0.2 dB of the value
371 predicted by CMOD5.n with ECMWF equivalent neutral wind fields over incidence angle
372 range 25-55°. This is consistent with the expected ASCAT calibration accuracies given by
373 Wilson *et al.* (2010). Although the differences between the two become larger above 55° this
374 may not be a reflection of the ASCAT calibration accuracy, but a result of possible
375 inaccuracies of the CMOD5 model when extrapolated to this incidence angle range.

376

377 **4.3 Validation using stable sea ice**

378

379 Analysis of data from the ERS scatterometers has shown that backscatter from some regions
380 of sea ice is approximately stable and can be accurately modelled. De Haan & Stoffelen
381 (2001) find that the points given by plotting the fore, mid and aft backscatter from stable sea
382 ice in a 3D measurement space form a line, with the position along the line being related to
383 the “age” characteristic of the ice. This ice line model can easily be inverted to retrieve an
384 estimate of the ice age from any backscatter triplet.

385

386 As we do not have prior information about the ice age we cannot use this model to give
387 backscatter values that can be compared to ASCAT data. However, we can compare ASCAT
388 data over stable sea ice to the model to see if they are consistent. Additionally, as sea ice is a
389 relatively stable distributed target, we can use the backscatter from it to investigate the noise
390 characteristics of ASCAT measurements.

391

392 In order to find regions of sea ice we bin ASCAT data in a polar grid and identify the grid
393 cells where the RMS difference between the fore and aft beam backscatter is below a
394 threshold of 0.5 dB. This strategy for locating sea ice is discussed and compared to other
395 methods by Neyt *et al.* (2004). We then use the ice line model of de Haan & Stoffelen (2001)
396 to retrieve the ice age for all the triplets in cells identified as sea ice. Cells in which the
397 standard deviation of the ice age is below 0.5 are assumed to contain stable sea ice.

398

399 Sections through the three dimensional plot of the resulting stable sea ice triplets are shown
400 in figures 20 to 22 for near range, mid range and far range of the left hand swath (i.e. for low,
401 mid and high incidence angles).

402

403 At low and mid range incidence angles, the ASCAT data lies close to the model line. At
404 larger incidence angles the data and model start to differ. However, as the ice line model was
405 developed from ERS data covering the incidence angle range 19-55°, discrepancies between
406 model and data above 55° are likely due to inaccuracies in the extrapolated model.

407

408 Fitting a straight line to the backscatter from stable sea ice and calculating the RMS distance
409 between the data and line gives an estimate of noise in ASCAT measurements. Figure 23
410 shows the noise (converted to K_p) as a function of incidence angle. This is approximately
411 4.5% across the swath which is close to expected value of 3-4%.

412

413 The results presented in this section show that calibrated ASCAT data from regions of stable
414 sea ice in the Antarctic is consistent with the ice line model at small and medium incidence
415 angles which gives further confidence in the accuracy of the ASCAT calibration. At large
416 incidence angles the ASCAT data and the model show discrepancies. However, this does not
417 immediately point to any problem with the ASCAT data as the ice line model has not been
418 validated over 55°.

419

420 **5. Overall Summary and Conclusions**

421

422 This paper describes the transponder based calibration approach for the ASCAT on Metop-A
423 and presents the results from validations over natural targets using data from the period 2007-
424 2009. The expected calibration accuracy of ASCAT has been estimated as 0.15-0.25 dB
425 (Wilson *et al.* 2010) through an analysis of the residuals between transponder data and fitted
426 gain patterns.

427

428 ASCAT backscatter over the Amazon rainforest has been validated by comparing the
429 isotropic backscatter against the value of -6.5 dB given by ERS data. We find that the
430 ASCAT values of γ_0 decreases from -6.2 to -6.8 dB over the incidence angle range of 25-65°.
431 This difference in behaviour suggests there may be complications when constructing long
432 term time series of ERS and ASCAT data. However the values from all ASCAT beams are
433 within 0.1 dB of each other which is consistent with the expected calibration accuracy.
434 Yearly averages of rainforest backscatter are found to be very stable with changes of about
435 0.02 dB over the period 2007–2009.

436

437 ASCAT data over the ocean has been validated by comparing it against the backscatter
438 produced by CMOD-5.n with ECMWF equivalent neutral wind fields. This shows an
439 approximately constant bias between the two of about 0.2 dB over incidence angle range 25-
440 55°. This is inconsistent with the rainforest results. Although the data and model difference
441 increases to around 1 dB at incidence angles larger than 55°, this is likely due to inaccuracies
442 in CMOD-5.n, which has not been validated at large incidence angles. The relative inter-
443 beam calibration is found to be about 0.1 dB.

444

445 Data from regions of stable sea ice in the Antarctic has been compared to the ice line model
446 of de Haan and Stoffelen (2001) and the two are found to be consistent except at large
447 incidence angles. However, as with CMOD-5, the ice line model was developed from ERS
448 data and has not been validated over 55°. Hence, the discrepancy is likely due to inaccuracies
449 in the model rather than the ASCAT calibration. An examination of the noise in the
450 backscatter measurements of stable sea ice indicates K_p to be approximately 4.5% which is
451 consistent with the expected value of 3-4%.

452

453 The results of these validation techniques are in agreement with the expected calibration
454 accuracy of 0.15-0.25 dB, indicating that the ASCAT calibration has been successful and that
455 ASCAT backscatter products are of high quality. However there are discrepancies between
456 the various calibration methods: the ocean validation suggests the difference between
457 ASCAT and ERS data is constant with respect to incidence angle while the rainforest
458 validation suggests an incidence angle dependence. The rainforest validation also points to
459 differences in the behaviour of ERS and ASCAT calibrations. These need to be investigated
460 in more detail and understood in order find the optimum method for merging ERS and
461 ASCAT data to create consistent data sets covering long time periods.

462

463 Finally, monitoring of ASCAT using rainforest and ocean data has shown that the instrument
464 is extremely stable. An unexpected but small change in the calibration of the left mid beam
465 occurred in September 2009. The reason for this change is not known and a more detailed
466 analysis of new calibration data is currently underway and will correct any anomalies.

467

468

469 **References**

- 470 Bartalis Z., Wagner W., Naeimi V., Hasenauer S., Scipal K., Bonekamp H., Figa J., and
471 Anderson C., 2007: Initial soil moisture retrievals from the METOP-A Advanced
472 Scatterometer (ASCAT). *Geophys. Res. Letters*, **34**.
- 473 Bartalis Z., 2009: ERS-ASCAT backscatter and soil moisture inter-comparison - first results.
474 ASCAT Soil Moisture Working Note No.6, IPF, Vienna University.
- 475 Eumetsat, 2004: ASCAT Calibration and Validation Plan. EUM.EPS.SYS.PLN.01.011.
- 476 Eumetsat, 2005: ASCAT Product Generation Function Specification.
477 EUM.EPS.SYS.SPE.990009.
- 478 Eumetsat, 2009: ASCAT Product Guide. EUM.OPS-EPS.MAN.04.0028.
- 479 Eumetsat, 2009: ASCAT Commissioning Quality Report. EUM.MET.REP.08.0525.
- 480 Figa-Saldaña J., Wilson J.J.W., Attema E., Gelsthorpe R., Drinkwater M.R., and Stoffelen A.,
481 2002: The advanced scatterometer (ASCAT) on the Meteorological Operational
482 (MetOp) platform: a follow-on for European wind scatterometers. *Canadian J. Rem.*
483 *Sens.*, **28**, 3, 404-412.
- 484 Gelsthorpe R.V., Schied E., and Wilson J.J.W., 2000: ASCAT-MetOp's Advanced
485 Scatterometer. ESA Bulletin No. 102.
- 486 de Haan S., and Stoffelen A., 2001: Ice discrimination using ERS Scatterometer. Ocean and
487 Sea Ice SAF report SAF/OSI/KNMI/TEC/TN/120.

488 Hersbach H., 2003: CMOD5 an improved geophysical model function for ERS C band
489 scatterometry. ECMWF technical memorandum 395.
490

491 Hersbach H., 2008: CMOD5.N: A C-band geophysical model function for equivalent neutral
492 wind. ECMWF technical memorandum 554.
493

494 Klaes D., Cohen M., Buhler Y., Schlüssel P., Munro R., Luntama J.P., von Engeln A.,
495 Clerigh E.O., Bonekamp H., Ackermann J., and Schmetz J., 2007: An introduction to
496 the EUMETSAT polar system. *Bulletin of the American Meteorological Society*, **88**, 7,
497 1085-1096.
498

499 Lavergne T., Eastwood S., Teffah Z., Schyberg H., and Breivik L.A., 2010: Sea ice motion
500 from low-resolution satellite sensors: An alternative method and its validation in the
501 Arctic. *J. Geophys. Res.*, **115**, doi:10.1029/2009JC005958.

502 Neyt X., Pettiaux P., Manise N., and Acheroy M., 2004: Single pass sea-ice discrimination
503 using ERS-2 scatterometer data. *Gayana*, **68**, 432-438.

504 Portabella M., and Stoffelen A., 2009: On scatterometer ocean stress. *J. Atm. Oceanic*
505 *Technol.*, **26**, 368-382.

506 Stoffelen A., 1998: Toward the true near-surface wind speed: Error modeling and calibration
507 using triple collocation. *J. Geophys. Res.*, **103**, C4, 7755-7766.

508
509
510
511

512
513

514
515
516

517
518
519
520
521
522
523
524
525
526
527
528

Verhoef A., Portabella M., Stoffelen A., and Hersbach H., 2008: CMOD5.n - the CMOD5 GMF for neutral winds. Ocean and Sea Ice SAF, technical note SAF/OSI/CDOP/KNMI/TEC/TN/165.

Verspeek J.A., and Stoffelen A., 2010: ASCAT NWP Ocean Calibration. KNMI/OSI-SAF report.

Verspeek J., Stoffelen A., Portabella M., Bonekamp H., Anderson C., and Figa-Saldana J., 2010: Validation and calibration of ASCAT using CMOD5.n. *IEEE Trans. Geosci. & Rem. Sens.*, **48** 386-395.

Wilson J.J.W., Anderson C., Baker M.A., Bonekamp H., Figa-Saldana J., Dyer R.G., Lerch J.A., Kayal G., Gelsthorpe R.V., Brown M.A., Schied E., Schutz-Munz S., Rostan F., Pritchard E.W., Wright NG., King D., & Önel Ü., 2010: Radiometric calibration of the advanced wind scatterometer radar ASCAT carried on board the METOP-A satellite. *IEEE Trans. Geosci. & Rem. Sens.*, **48**, 8, 3236-3255.

Zec J., Long D.G., and Linwood-Jones W., 1999: NSCAT normalized radar backscatter coefficient biases using homogeneous land targets. *J. Geophys. Res.*, **104**, 11557-11568.

529 **Figures Captions**

530

531 *Figure 1: Image of a typical transponder signal recorded by ASCAT.*

532

533 *Figure 2. Antenna gain as a function of antenna azimuth angle derived from a single pass*
534 *over a transponder in the left fore beam.*

535

536 *Figure 3. Depiction of antenna gain as a function of azimuth and elevation angles produced*
537 *by data from multiple passes over the transponders.*

538

539 *Figure 4. An example of mean ERS γ_0 as a function of incidence angle. This plot is taken from*
540 *the ERS wind scatterometer cyclic report for cycle 42 (April to May 1999).*

541

542 *Figure 5. Mean γ_0 for the left hand beams as function of incidence angle using descending*
543 *pass data from the year 2007.*

544

545 *Figure 6. Mean γ_0 for the right hand beams as function of incidence angle using descending*
546 *pass data from the year 2007.*

547

548 *Figure 7. Mean γ_0 as a function of incidence angle for the left mid beam in the years 2007,*
549 *2008 and 2009.*

550 *Figure 8. Time series plot of rainforest γ_0 in the left mid beam for the years 2007, 2008 and*
551 *2009.*

552 *Figure 9. The position of the near, mid and far range nodes (red, green and blue symbols,*
553 *respectively) in the left mid beam in the rainforest test site during the years 2007 & 2008.*

554

555 *Figure 10. Mean γ_0 for the near, mid and far range nodes of the left mid beam (red, green*
556 *and blue symbols) as a function of the mean longitude.*

557

558 *Figure 11. As figure 10 but with bias corrected data.*

559

560 *Figure 12. Bias corrected time series plot of rainforest γ_0 in the left mid beam for the years*
561 *2007-2009.*

562

563 *Figure 13. Bias corrected time series of γ_0 in the left mid beam for July to October 2009.*

564

565 *Figure 14. Mean difference between the backscatter produced by CMOD-5 (with ECMWF*
566 *analysis winds) and ASCAT data from the right hand beams over the open ocean in July*
567 *2009.*

568

569 *Figure 15. Time series of ASCAT NWP ocean calibration residuals for each antenna. NOC*
570 *corrections accumulated from Sep 2008 through Aug 2009 are applied (Verspeek & Stoffelen*
571 *2010). All level 1B backscatter changes are compensated by reverse corrections (Verspeek et*
572 *al. 2010).*

573

574 *Figure 16. Time series of ASCAT and NWP buoy wind biases from a triple collocation data*
575 *set. Level 2 changes have been compensated and all level 1B backscatter changes are*
576 *compensated by reverse corrections (Verspeek et al. 2010).*

577

578 *Figure 17. Section along the $x=y$ axis of a three dimensional plot where the x , y and z axes*
579 *correspond to the fore, mid and aft backscatter from ocean σ_0 triplets. Small points show*
580 *data from the left hand beams during August 2009 and large circles show the position of the*
581 *maximum density of the data points in the upper region in bins along the x axis.*

582

583 *Figure 18. Mean difference between the positions of maximum density in data from August*
584 *and November 2009.*

585

586 *Figure 19. Difference in the number of ocean triplets above and below the position of*
587 *maximum density in each orbit during August and September 2009.*

588

589 *Figure 20. Backscatter from stable sea ice (circles) compared to the ice line model (dashed*
590 *line) at the near side of the left hand swath.*

591

592 *Figure 21. Backscatter from stable sea ice (circles) compared to the ice line model (dashed*
593 *line) at the centre of the left hand swath.*

594

595 *Figure 22. Backscatter from stable sea ice (circles) compared to the ice line model (dashed*
596 *line) at the far side of the left hand swath.*

597

598 *Figure 23. K_p derived from standard deviation of stable sea ice backscatter around the best*
599 *fitting straight line.*

600 **Figures**

601

602



603

604

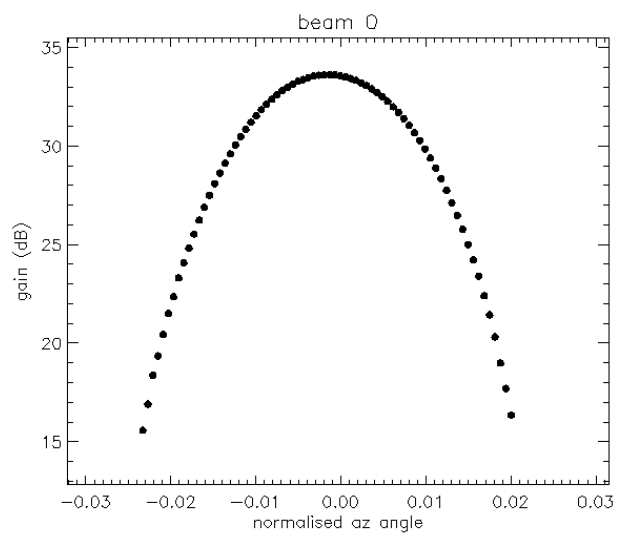
605

Figure 1: Image of a typical transponder signal recorded by ASCAT.

606

607

608



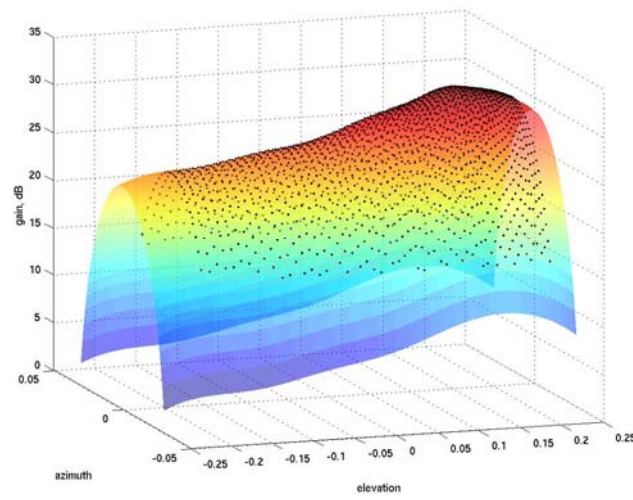
609

610

611

Figure 2. Antenna gain as a function of antenna azimuth angle derived from a single pass over a transponder in the left fore beam.

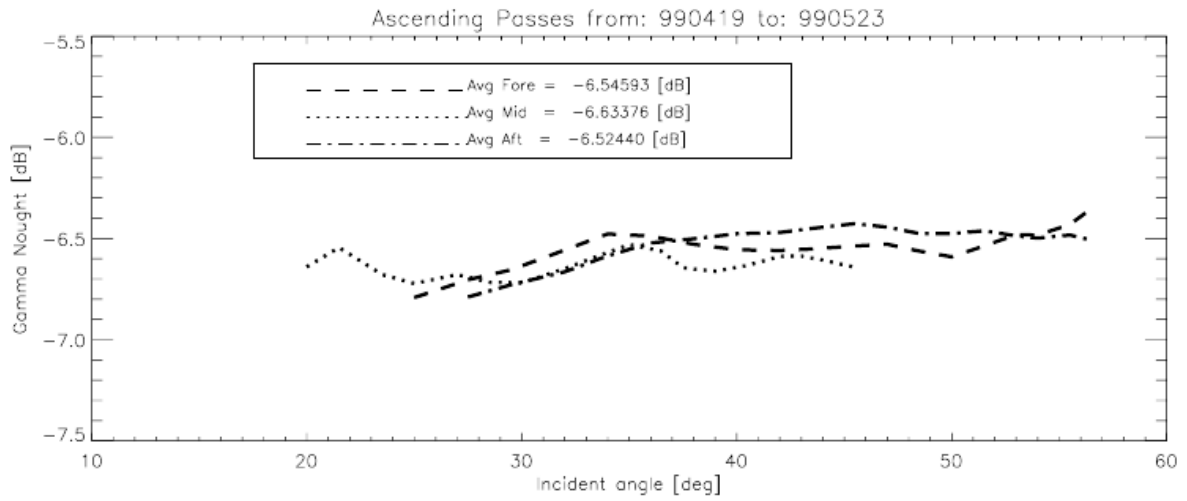
612



613

614 *Figure 3. Depiction of antenna gain as a function of azimuth and elevation angles produced*

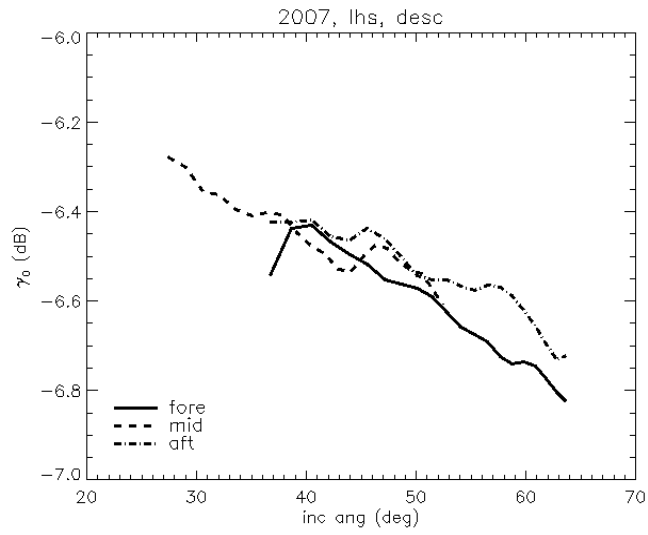
615 *by data from multiple passes over the transponders.*



616

617 *Figure 4. An example of mean ERS γ_0 as a function of incidence angle. This plot is taken from*

618 *the ERS wind scatterometer cyclic report for cycle 42 (April to May 1999).*



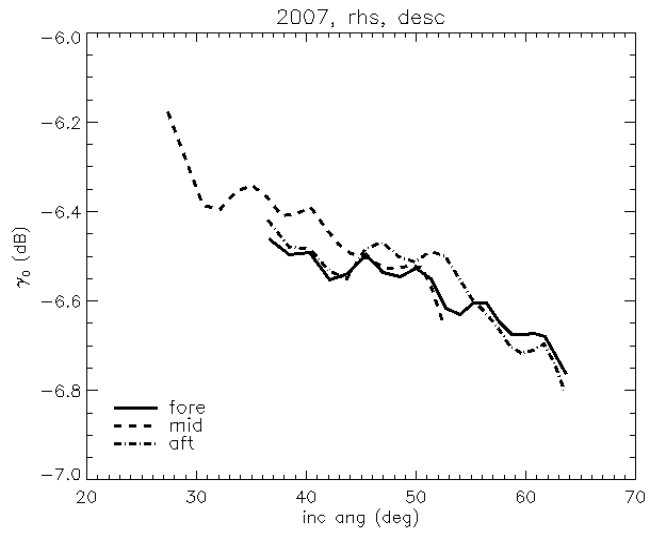
619

620 *Figure 5. Mean γ_0 for the left hand beams as function of incidence angle using descending*

621

pass data from the year 2007.

622



623

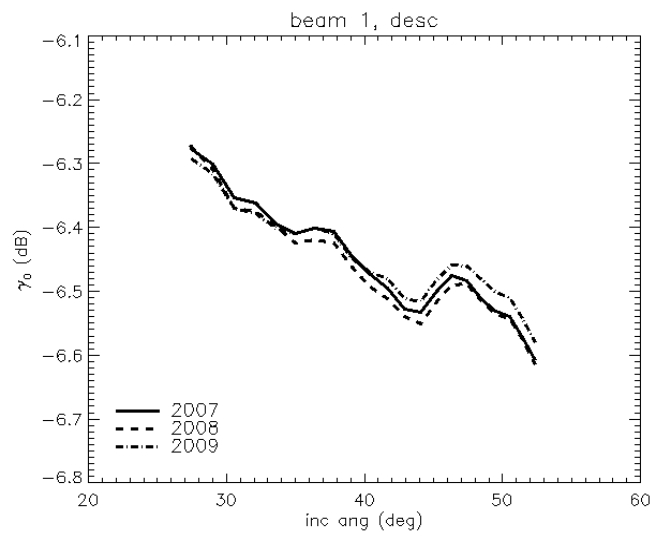
624 *Figure 6. Mean γ_0 for the right hand beams as function of incidence angle using descending*

625

pass data from the year 2007.

626

627



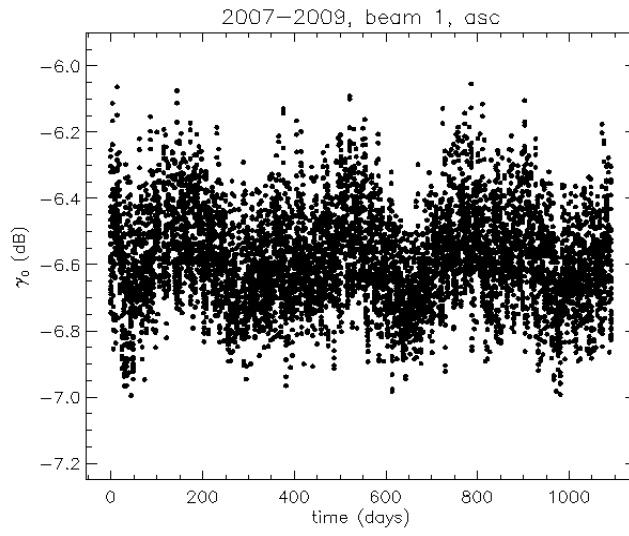
628

629 *Figure 7. Mean γ_0 as a function of incidence angle for the left mid beam in the years 2007,*

630

2008 and 2009.

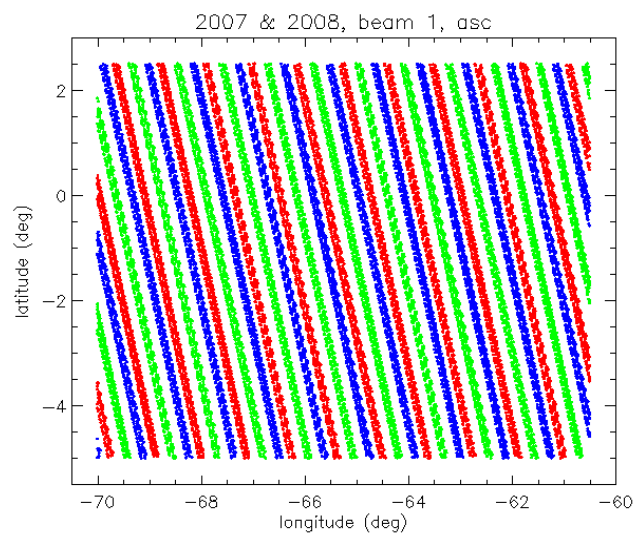
631



632

633 *Figure 8. Time series plot of rainforest γ_0 in the left mid beam for the years 2007, 2008 and*
634 *2009.*

635



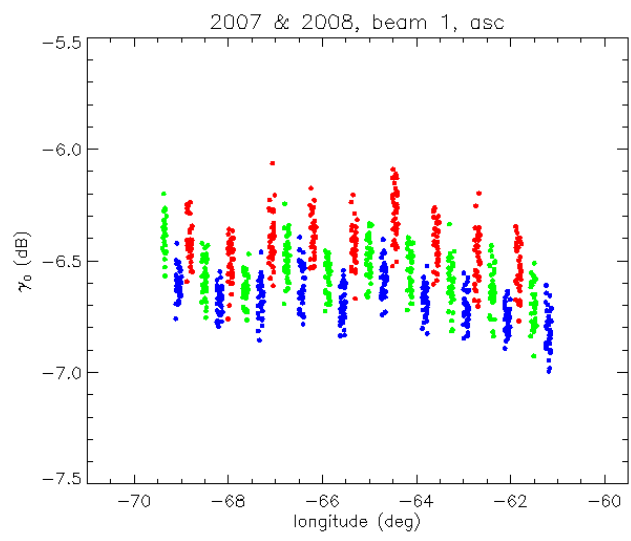
636

637 *Figure 9. The position of the near, mid and far range nodes (red, green and blue symbols,*

638 *respectively) in the left mid beam in the rainforest test site during the years 2007 & 2008.*

639

640



641

642

Figure 10. Mean γ_0 for the near, mid and far range nodes of the left mid beam (red, green

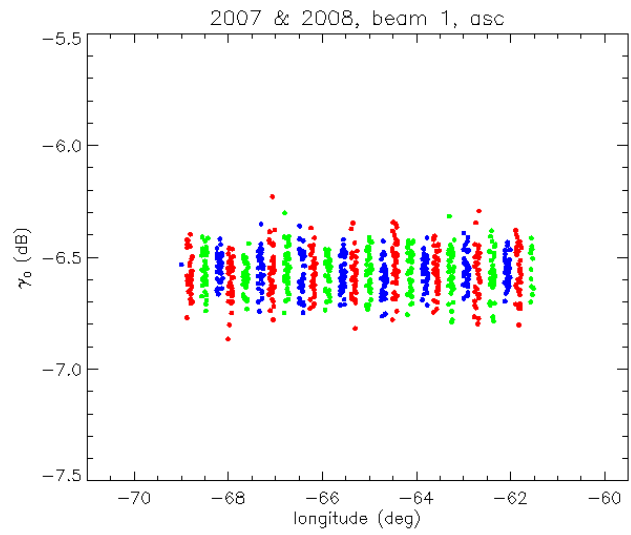
643

and blue symbols) as a function of the mean longitude.

644

645

646

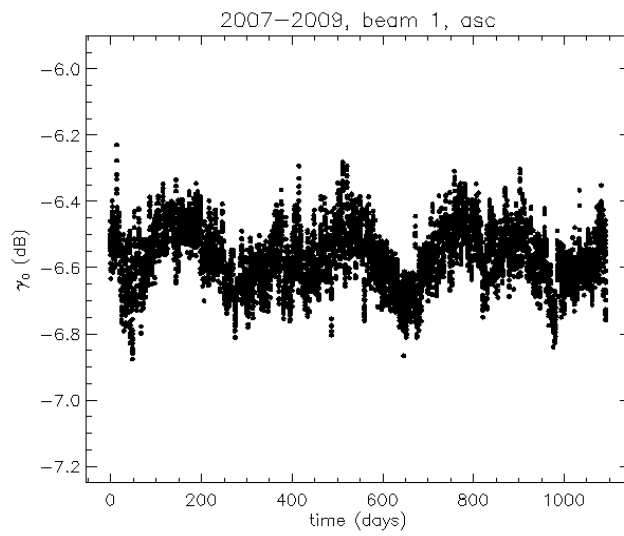


647

648

Figure 11. As figure 10 but with bias corrected data.

649



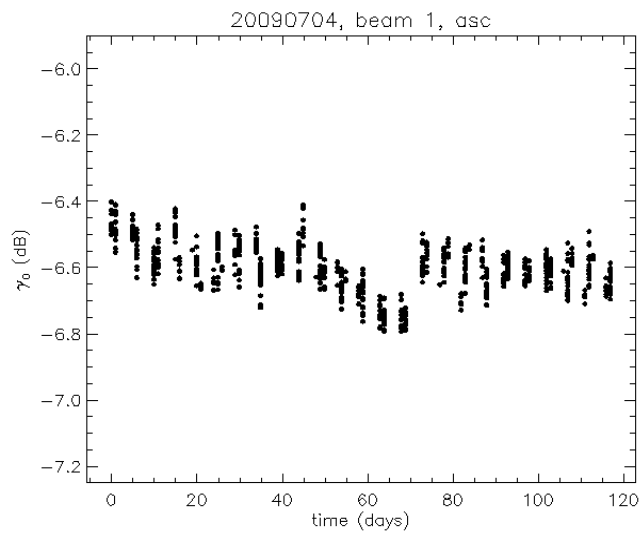
650

651 *Figure 12. Bias corrected time series plot of rainforest γ_0 in the left mid beam for the years*

652

2007-2009.

653

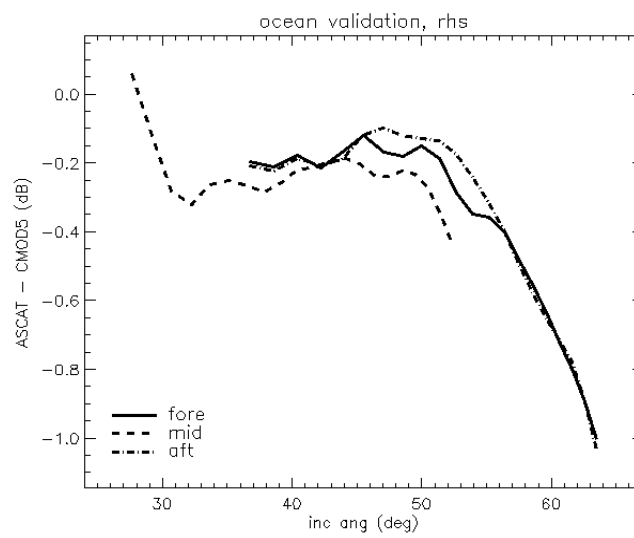


654

655 *Figure 13. Bias corrected time series of γ_0 in the left mid beam for July to October 2009.*

656

657



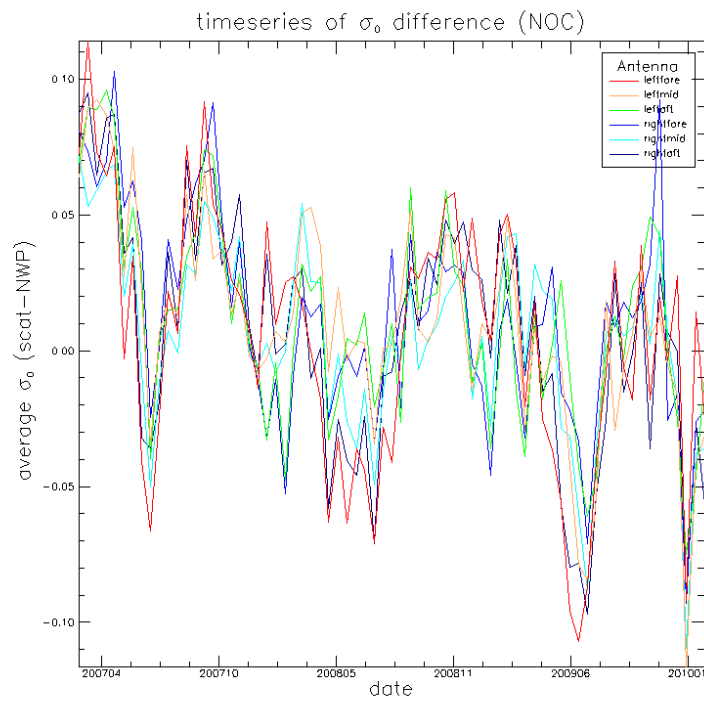
658

659 *Figure 14. Mean difference between the backscatter produced by CMOD-5 (with ECMWF*

660 *analysis winds) and ASCAT data from the right hand beams over the open ocean in July*

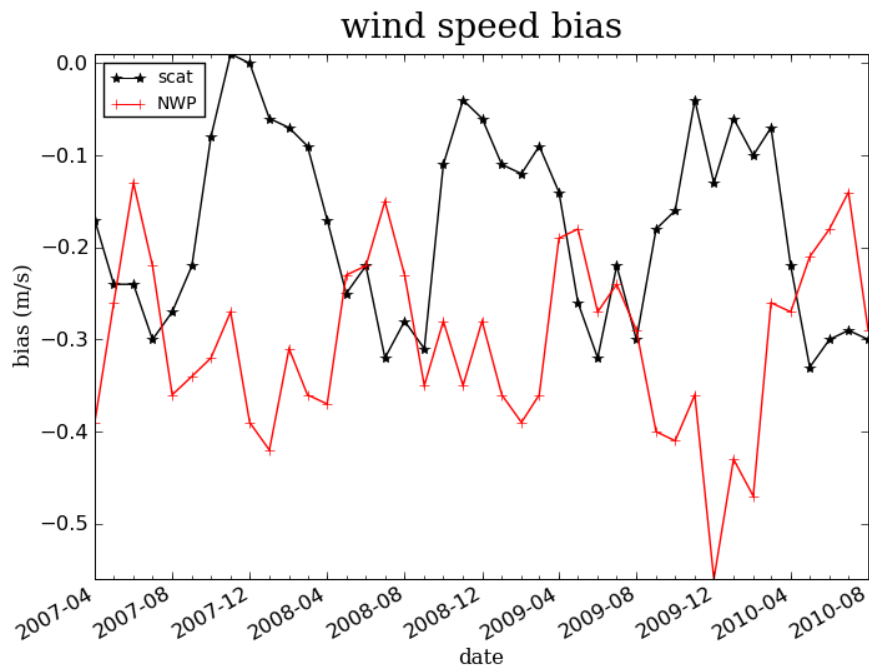
661

2009.



663

664 *Figure 15. Time series of ASCAT NWP ocean calibration residuals for each antenna. NOC*
 665 *corrections accumulated from Sep 2008 through Aug 2009 are applied (Verspeek & Stoffelen*
 666 *2010). All level 1B backscatter changes are compensated by reverse corrections (Verspeek et*
 667 *al. 2010).*



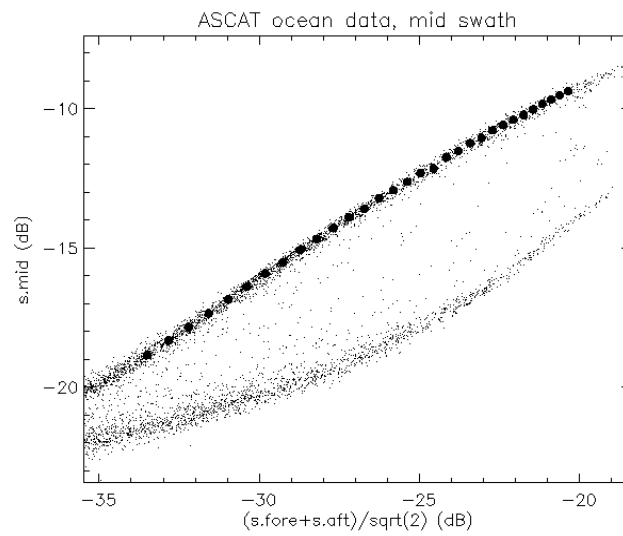
669

670 *Figure 16. Time series of ASCAT and NWP buoy wind biases from a triple collocation data*

671 *set. Level 2 changes have been compensated and all level 1B backscatter changes are*

672 *compensated by reverse corrections (Verspeek et al. 2010).*

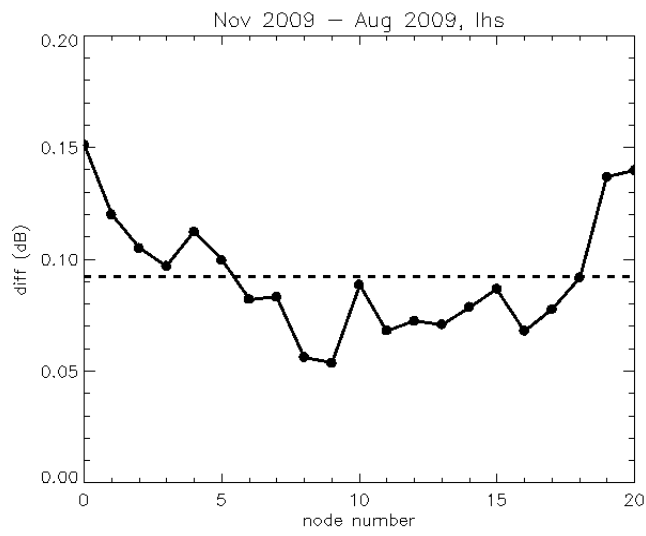
673



674

675 *Figure 17. Section along the $x=y$ axis of a three dimensional plot where the x , y and z axes*
676 *correspond to the fore, mid and aft backscatter from ocean σ_0 triplets. Small points show*
677 *data from the left hand beams during August 2009 and large circles show the position of the*
678 *maximum density of the data points in the upper region in bins along the x axis.*

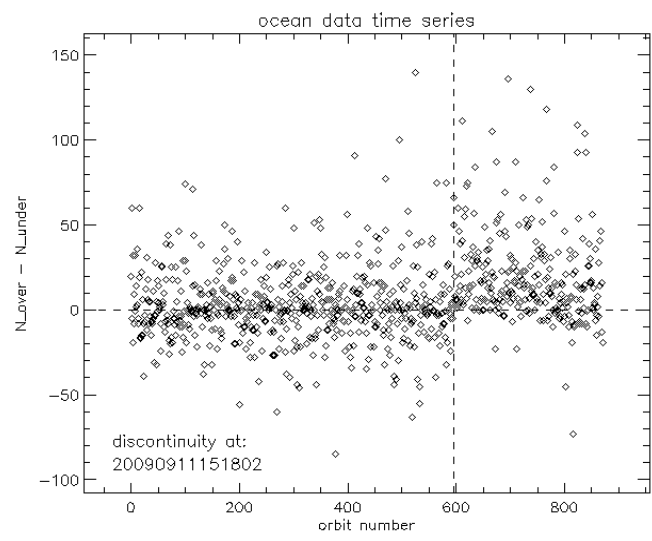
679



680

681 *Figure 18. Mean difference between the positions of maximum density in data from August*
682 *and November 2009.*

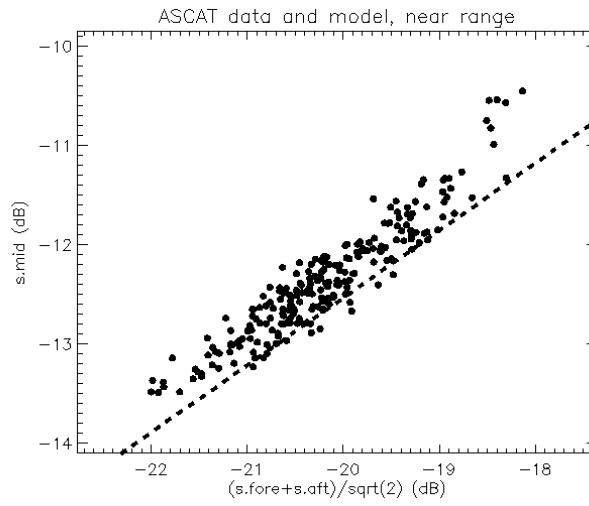
683



684

685 *Figure 19. Difference in the number of ocean triplets above and below the position of*
686 *maximum density in each orbit during August and September 2009.*

687

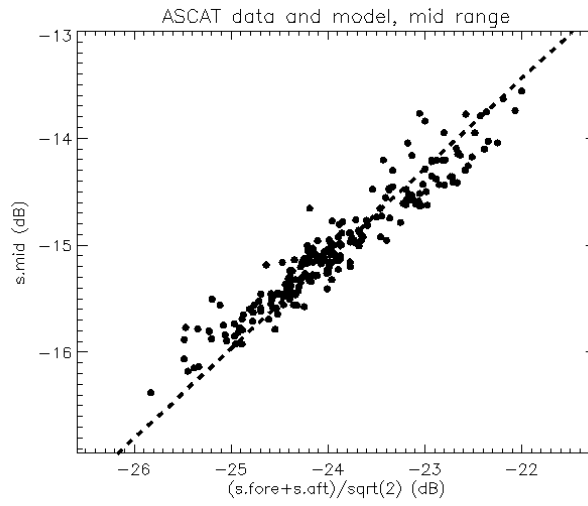


688

689 *Figure 20. Backscatter from stable sea ice (circles) compared to the ice line model (dashed*

690

line) at the near side of the left hand swath.

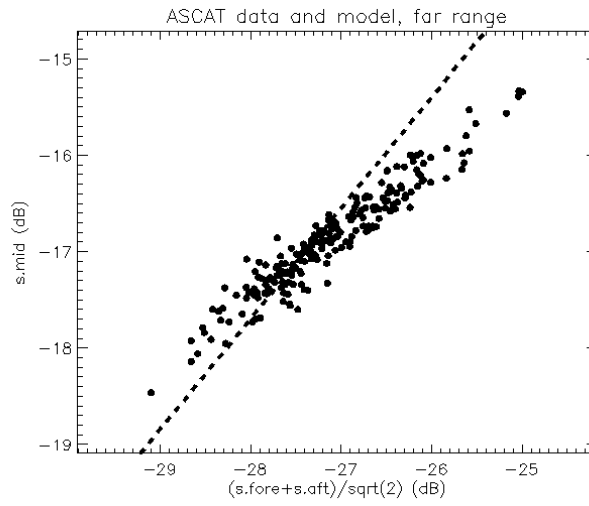


691

692 *Figure 21. Backscatter from stable sea ice (circles) compared to the ice line model (dashed*

693

line) at the centre of the left hand swath.



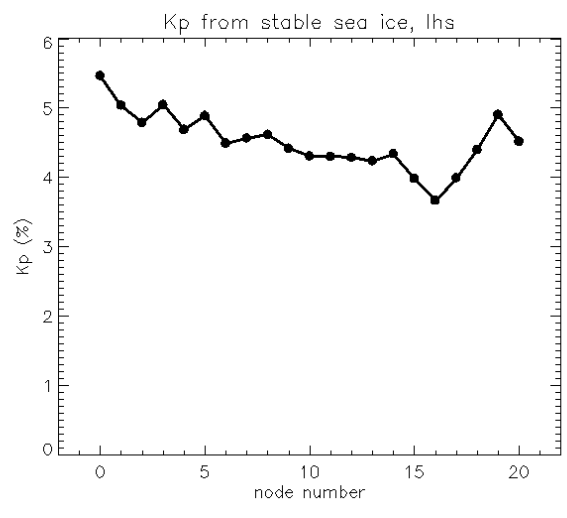
694

695 *Figure 22. Backscatter from stable sea ice (circles) compared to the ice line model (dashed*

696

line) at the far side of the left hand swath.

697



698

699 *Figure 23. K_p derived from standard deviation of stable sea ice backscatter around the best*
700 *fitting straight line.*

701

702